

## Research Paper

# Using Multifunctional Peptide Conjugated Au Nanorods for Monitoring $\beta$ -amyloid Aggregation and Chemo-Photothermal Treatment of Alzheimer's Disease

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## Abstract

Development of sensitive detectors of A $\beta$  aggregates and effective inhibitors of A $\beta$  aggregation are of diagnostic importance and therapeutic implications for Alzheimer's disease (AD) treatment. Herein, a novel strategy has been presented by self-assembly of peptide conjugated Au nanorods (AuP) as multifunctional A $\beta$  fibrillization detectors and inhibitors. Our design combines the unique high NIR absorption property of AuNRs with two known A $\beta$  inhibitors, A $\beta$ 15-20 and polyoxometalates (POMs). The synthesized AuP can effectively inhibit A $\beta$  aggregation and dissociate amyloid deposits with NIR irradiation both in buffer and in mice cerebrospinal fluid (CSF), and protect cells from A $\beta$ -related toxicity upon NIR irradiation. In addition, with the shape and size-dependent optical properties, the nanorods can also act as effective diagnostic probes to sensitively detect the A $\beta$  aggregates. This is the first report to integrate 3 segments, an A $\beta$ -targeting element, a reporter and inhibitors, in one drug delivery system for AD treatment.

Key words: Polyoxometalates, Au nanorod, Photothermal treatment, A $\beta$  inhibitors, A $\beta$  detectors, Alzheimer's Disease.

## Introduction

Misfolding, aggregation and accumulation of proteins in the brain have recently been recognized to be the common cellular and molecular mechanisms of neurodegenerative diseases including Alzheimer's disease (AD), Huntington's disease (HD), Parkinson's disease (PD), amyotrophic lateral sclerosis (ALS) and prion diseases.<sup>[1,2]</sup> Among them, AD, as the most common form of neurodegenerative disorder, accounts for 65% of all dementias.<sup>[3-5]</sup> A significant body of evidences demonstrate that accumulation of tau proteins and  $\beta$ -amyloid peptide (A $\beta$ ) are one of major characteristics of AD.<sup>[6]</sup> Given the central role of A $\beta$  fibrillation in AD pathology, sensitive detection of A $\beta$  aggregates, then effective inhibition of A $\beta$

aggregation and destabilization of the performed A $\beta$  fibrils are of diagnostic importance and therapeutic implications for AD treatment.

For this purpose, considerable progress has already been made in screening and designing inhibitors of A $\beta$  aggregation and toxicity.<sup>[7-9]</sup> Among these inhibitors, peptide inhibitors containing the hydrophobic core KLVFF of A $\beta$  have been widely reported due to their targeted inhibition effects on amyloid formation.<sup>[10-12]</sup> Although promising, the rapid degradation of the peptides in plasma, low lesions targeting efficiency and poor blood-brain barrier (BBB) permeability limit their clinical application.<sup>[13,14]</sup> Therefore, to improve inhibition

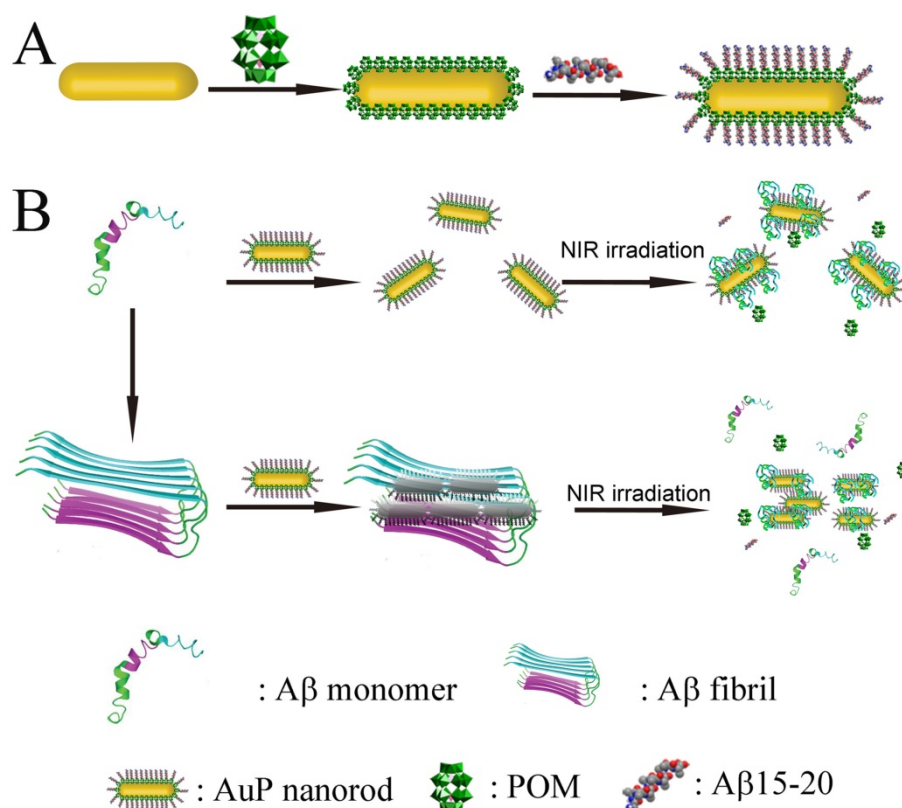
efficiency, recently, our group has successfully utilized nanomaterials as peptide carriers to realize the increasing stability of the peptides without repressing their anti-aggregation effect.<sup>[15]</sup>

With the development of nanotechnology, nanoparticles have attracted much attention for their potential applications in the area of drug and gene delivery<sup>[16-19]</sup> especially for targeting brain delivery<sup>[20-22]</sup> since that the nanoparticles ranging in size from about 10-100 nm were reported to have the ability to cross the BBB.<sup>[23,24]</sup> These insights into nanoparticle transport have provided novel opportunities to intervene in the pathology of AD. Among currently well-demonstrated nano-scaled biomaterials, gold nanorod (AuNR) is one kind of most promising and widely utilized nanomaterials owing to their biocompatibility and optical tenability.<sup>[25,26]</sup> AuNRs have shown great potential for biomedical applications, especially imaging and cancer hyperthermia.<sup>[27-30]</sup>

Herein, we employ the AuNRs as the carriers to delivery A $\beta$ 15-20 (Ac-QKLVEFF-NH<sub>2</sub>), the well-known A $\beta$ -targeted peptide inhibitor. As we and others reported previously, local heat generation (hyperthermia) could act as an effective means to dissolve A $\beta$  aggregates.<sup>[31-33]</sup> By controlling the effective size and the aspect ratio (ratio of length to diameter), the localized surface-plasmon-resonance

(LSPR) of AuNRs can be easily tailored to the near infrared region to make the AuNRs as excellent hyperthermal agents. Upon selectively attaching to A $\beta$  aggregates by A $\beta$ 15-20 on the surface of nanorods, the performed A $\beta$  fibrils can be dissociated utilizing the strong NIR optical absorption ability of AuNRs to generate local heat following low-power NIR laser irradiation. Photothermal therapy treatment can not only effectively reduce the side effects on normal tissue but also enhance the selectivity to lesion location compared to traditional chemotherapies<sup>[34]</sup>.

Intriguingly, apart from the easily modified surface and strong NIR optical absorption ability, AuNRs attract lots of attention for their two surface plasma absorption bands, a strong, long-wavelength band in near-infrared region and a weak, short-wavelength band around 520 nm<sup>[35-38]</sup>. The longitudinal absorption band is extremely sensitive to changes in the dielectric properties of the surroundings, including solvents, adsorbates and the interparticle distance of the AuNRs<sup>[35-38]</sup>. Through binding with A $\beta$ 1-40 aggregates, the A $\beta$ 15-20 conjugated AuNRs (AuP) can assemble, resulting in coupling the plasmon band of AuNR. Therefore, our synthetic AuP can not only act as a multifunctional agent to inhibit A $\beta$  aggregation, effectively dissociate amyloid deposits, but also be a diagnostic reagent to sensitively detect the A $\beta$  aggregates (Figure 1).



**Figure 1.** Schematic representation of the peptide conjugated Au nanorods used for AD treatment. (A) The schematic illustration of the preparation of AuP nanorods. (B) The peptide-conjugated Au nanorod with high NIR absorbance used for AD diagnosis and treatment.

In this work, we employ polyoxometalates (POMs) as the linkers to conjugate A $\beta$ 15-20 on the surface of AuNRs through noncovalent strategies. POMs as a class of early-transition-metal-oxygen-anion nanoclusters offer rich structural versatility and enormous applications.<sup>[39-47]</sup> Due to the negatively charged property, POMs can easily attach to the 2-aminoethanethiol coated AuNRs to obtain POM loaded AuNRs (Au-POM). According to our previous report, A $\beta$ 15-20 can easily interact with the POMs<sup>[15]</sup> to obtain the A $\beta$ 15-20 conjugated AuNRs (AuP). The noncovalent binding model is adopted to avoid the formation of self-assembled A $\beta$ 15-20 monolayers with  $\beta$ -sheet structure on the surface of AuNRs.<sup>[48]</sup> Furthermore, the POMs have also been demonstrated to effectively inhibit A $\beta$  aggregation.<sup>[49]</sup> The presence of POMs in the system would enhance the anti-aggregation efficiency.

## Materials and Methods

### Chemicals and Instruments

Sodium borohydride (NaBH<sub>4</sub>), ascorbic acid, silver nitrate (AgNO<sub>3</sub>), tetrachloroauric acid (HAuCl<sub>4</sub>), Thioflavin-S (ThS) and A $\beta$ 15-20 were purchased from Sigma-Aldrich. N-cetyltrimethylammonium bromide (CTAB) was obtained from Alfa Aesar. 2-aminoethanethiol was purchased from Aladdin. A $\beta$ 1-40 was obtained from American peptide. POMs were gifts from Prof. Wang. All the chemicals were used as received without further purification. Distilled water (18.2 M $\Omega$ ; Millipore Co., USA) was used in all experiments and to prepare all buffers. Ultraviolet-visible spectroscopy (UV-vis) measurements were recorded on a Jasco-V550 UV-Vis spectrophotometer. To determine the composition of the as-prepared samples, in situ energy-dispersive X-ray spectroscopy (EDS) analysis were performed using a HITACHI S-4500 instrument. X-ray photoelectron spectra (XPS) were acquired on an ESCALab220i-XL electron spectrometer from VG Scientific using 300W Al K $\alpha$  as the excitation source. The binding energies obtained in the XPS spectral analysis were corrected for specimen charging by referencing C1s to 284.8 eV. TEM images were recorded using a FEI TECNAI G2 20 high-resolution transmission electron microscope operating at 200 kV. The zeta potential of the nanoparticles in water was measured in a Zetasizer 3000HS analyzer.

### Preparation of Au nanorods

Cetyltrimethylammonium bromide (CTAB) solution (1.0 mL, 0.20 M) was added to 1.0 mL of 0.5 mM HAuCl<sub>4</sub> and stirred. Then 0.12 mL of ice-cold 0.01 M NaBH<sub>4</sub> was dropped into the stirred solution,

resulting in the formation of a brownish-yellow solution. After the seed solution was stirred continuously for 2 minutes, it was kept at 25 °C. The growth solution was prepared by mixing 100 mL of 0.2 M CTAB, 5.6 mL of 4 mM AgNO<sub>3</sub>, 6.5 mL of 23 mM HAuCl<sub>4</sub> and 95 mL of Milli-Q water together in 250 mL flask. Ascorbic acid (0.08 M, approximately 2.5 mL) was slowly added dropwise into the reaction mixture, until the mixture became colorless after which one quarter more of the total number of droplets to that point was added. The final step was adding 1.8 mL of the seed solution to the growth solution at 27-30 °C. The color of the solution gradually changed within 10-20 minutes. The temperature of the growth medium was kept constant at 27-30 °C during the full procedure.

### Preparation of Amine Modified Gold Nanorods

After preparation of CTAB-stabilized gold nanorods, excess CTAB molecules on the nanorods (10 mL solution) were removed by centrifuging once at 8000 rpm, discarding the supernatant and redispersing the particles in pure water. Then 1 mL of 30 mM 2-aminoethanethiol was added, and the solution was kept at 50 °C under constant sonication for 3 h. This procedure was then followed by centrifugation at 8000 rpm for 10 min to remove excess CTAB and 2-aminoethanethiol.

### Preparation of POM coated Gold Nanorods

Amine modified gold nanorods (AuNR-NH<sub>2</sub>) were dispersed in Milli-Q water (5 mL) and added 2 mM POMs (500  $\mu$ L) under vigorous stirring. POMs adsorption was allowed to proceed for 6 h. This procedure was then followed by centrifugation at 8000 rpm for 10 min to remove excess POMs. The amount of POMs absorbed on the surface of Au nanorod was 38  $\mu$ M / nM Au nanorods.

### Preparation of peptide coated Gold Nanorods

POMs coated gold nanorods were dispersed in 5 mL pure water (3 nM) and 50  $\mu$ L of a 12.8 mM A $\beta$ 15-20 /HFIP solution was added to the Au-POM solution at room temperature under vigorous stirring. Peptide adsorption was allowed to proceed for 12 h. This procedure was then followed by centrifugation at 8000 rpm for 10 min to remove excess peptide. The amount of peptides attached on the surface of Au nanorod was 32  $\mu$ M / nM Au nanorods.

### A $\beta$ fibrils formation

A $\beta$ 1-40 (lot no. U10012) was purchased from American Peptide and prepared as follows. The powered A $\beta$ 1-40 peptide was first dissolved in 1,1,1,3,3,3-hexafluoropropan-2-ol (HFIP) at a

concentration of 1 mg mL<sup>-1</sup>. The solution was shaken at 4 °C for 2 h in a sealed vial for further dissolution and was then stored at -20 °C as a stock solution. Before use, the solvent HFIP was removed by evaporation under a gentle stream of nitrogen and the peptide was dissolved in water. Aβ1-40 self-aggregation was accomplished by mixing an aliquot of the peptides from the stock solution into aggregation buffer (10 mM Tris in 150 mM NaCl, pH 7.3) at 37 °C for 7 days.

### ThT Fluorescence Measurements

The kinetics of Aβ1-40 aggregation was monitored by using ThT fluorescence assay. During the incubation, at each point of analysis (1, 2, 3, 4, 5, 6, 7 days), aggregated Aβ1-40/AuP nanorods samples were diluted to a final concentration of 2 μM (Aβ1-40 concentration) into aggregation buffer at pH 7.3 containing 10 μM ThT for fluorescence measurements. ThT is a benzothiazole dye which can selectively bind to Aβ fibrils, instead of Aβ monomers. Upon binding with β-sheet regions presented in Aβ fibrils, ThT undergoes characteristic spectral alteration, resulting in great enhancement of ThT fluorescence. When ThT is added to samples containing β-sheet-rich deposits, it fluoresces strongly with excitation and emission maxima at approximately 444 and 482 nm, respectively. The reaction is initiated immediately upon mixing β-amyloid into an aqueous environment and is completed within 1 min. Therefore, the fluorescence of ThT is dependent on the formation of amyloid fibrils. Fluorescence measurements were carried out with a JASCO FP6500 spectrofluorometer. The fluorescence signal (excitation at 444 nm) was recorded between 460 and 650 nm; 5 nm slits were used for both emission and excitation measurements. For inhibition and disaggregation experiment, the concentrations of ThT and Aβ1-40 for measurements are 10 μM and 2 μM, respectively. To obtain the proper fluorescence intensity values, fluorescence data must be corrected for the inner filter effect caused by attenuation of the excitation beam and emission signal because of the absorption by quencher and fluorophore which led to artificial decreases in the fluorescence intensities. This effect was corrected with knowledge of the absorbance values from the corresponding spectra<sup>[50,51]</sup>. The fluorescence of the system can be corrected using the following equation:

$$F_{corr} = F_{obs} \log^{-1} [(A_{ex} + A_{em}) / 2]$$

where  $F_{corr}$  and  $F_{obs}$  were the corrected and observed fluorescence intensity.  $A_{ex}$  was the absorbance value at the excitation wavelength and  $A_{em}$  was the absorbance value at the emission wavelength.

### Atomic Force Microscopy

For the atomic force microscopy (AFM) measurements, samples were diluted with deionized H<sub>2</sub>O to yield a final concentration of 1 μM. Then the sample (20 μL) was applied onto freshly cleaved muscovite mica and allowed to dry. Data were acquired in the tapping mode on a Nanoscope V multimode atomic force microscope (Veeco Instruments, USA).

### Inhibition of Aβ1-40 aggregation with or without NIR-irradiation

Aβ1-40 monomers was incubated with AuP nanorods in 10 mM Tris buffer (150 mM NaCl, pH 7.3) at 37 °C for 20 minutes with or without irradiation using an 808 nm NIR laser (1W/cm<sup>2</sup>) for 8 minutes, and the mixture was further incubated at 37 °C for 7 days.

### Disaggregation of Aβ1-40 fibrils with NIR irradiation

Aβ1-40 monomers was incubated in 10 mM Tris buffer (150 mM NaCl, pH 7.3) for 7 days to obtain Aβ1-40 fibrils, then a solution of AuP was added. The mixture was incubated at 37 °C for 20 minutes. Afterward, the mixture was irradiated using an 808 nm NIR laser (1W/cm<sup>2</sup>) for 8 minutes.

### Cell Toxicity Assays

PC12 cells (rat pheochromocytoma, American Type Culture Collection) were cultured in Iscove modified Dulbecco medium (IMDM, Gibco BRL) supplemented with 5% fetal bovine serum and 10% horse serum in a humidified 5% CO<sub>2</sub> environment at 37 °C. Cells were plated at a density of 10000 cells per well on 96-well plates in fresh medium. After 24 h, Aβ1-40 peptides (5 μM) that had been aged with or without AuP were added, and the cells were further incubated for 48 h at 37 °C. Cytotoxicity was measured by using a modified MTT assay kit (Promega). Absorbance values of formazan were determined at 570 nm with an automatic plate reader.

### Animal Experiments

Animal care and handling procedures were according to the guidelines of the Regional Ethics Committee for Animal Experiments. S4880202 normal mice which were purchased from the Laboratory Animal Center of Jilin University (Changchun, China) were chosen as test animals, in a weight range of 20-25 g (8-12 weeks old) and random in sex. Cerebrospinal fluid (CSF) was collected under anesthesia using a glass pulled micropipette after exposure of the cisterna magna, taking care not to contaminate the CSF with blood. Two to 10 μL was routinely collected.

The CSF was immediately diluted 1:10 in 1% 3-[(3-cholamidopropyl)-dimethyl-ammonio]-1-propane sulfonate (CHAPS) in phosphate buffered saline (PBS) with protease inhibitors (Roche Diagnostics, Mannheim, Germany) before freezing in liquid nitrogen and storage at  $-80\text{ }^{\circ}\text{C}$ <sup>[52]</sup>.

### ThS Staining

Inhibition experiment was accomplished by incubation of A $\beta$ 1-40 monomers (50  $\mu\text{M}$ ) with AuP nanorods (0.3 nM) in CSF at  $37\text{ }^{\circ}\text{C}$  for 7 days. For disaggregation experiment, after A $\beta$ 1-40 fibrils formed in CSF, AuP (0.3 nM) was added. The mixture was incubated at  $37\text{ }^{\circ}\text{C}$  for 20 minutes. Afterward, the mixture was irradiated using an 808 nm NIR laser (1W/cm<sup>2</sup>) for 8 minutes. Before measurement, A $\beta$ 1-40 samples were diluted with CFS to 10  $\mu\text{M}$ . Then the mixtures were incubated with 20  $\mu\text{M}$  ThS for 20 min. ThS-positive plaques were visualized using an Olympus BX-51 optical system microscope (Tokyo, Japan) at 200  $\times$  magnification. Pictures were taken with an Olympus digital camera.

### The BBB permeability

S4880202 normal mice were chosen as test animals, in a weight range of 20-25 g (8-12 weeks old) and random in sex. Animals were killed 6h after intravenous injection of 100  $\mu\text{L}$  AuP nanorods (120  $\mu\text{g mL}^{-1}$ , the concentration of Au) in the lateral tail vein. The mouse that treated without AuP was used as the control group. The brain was collected immediately, washed twice with normal saline solution, and dried under vacuum for 48 h at  $80\text{ }^{\circ}\text{C}$ . The dried samples were ground into powder, and the powders were acid-digested in 12 M aqua regia overnight. Finally the sample was diluted in 8mL water. The Au content of the samples was measured by ICP-MS (Varian 720-ES). The data points shown were the mean values  $\pm$  SEM from three independent experiments.

## Results and discussion

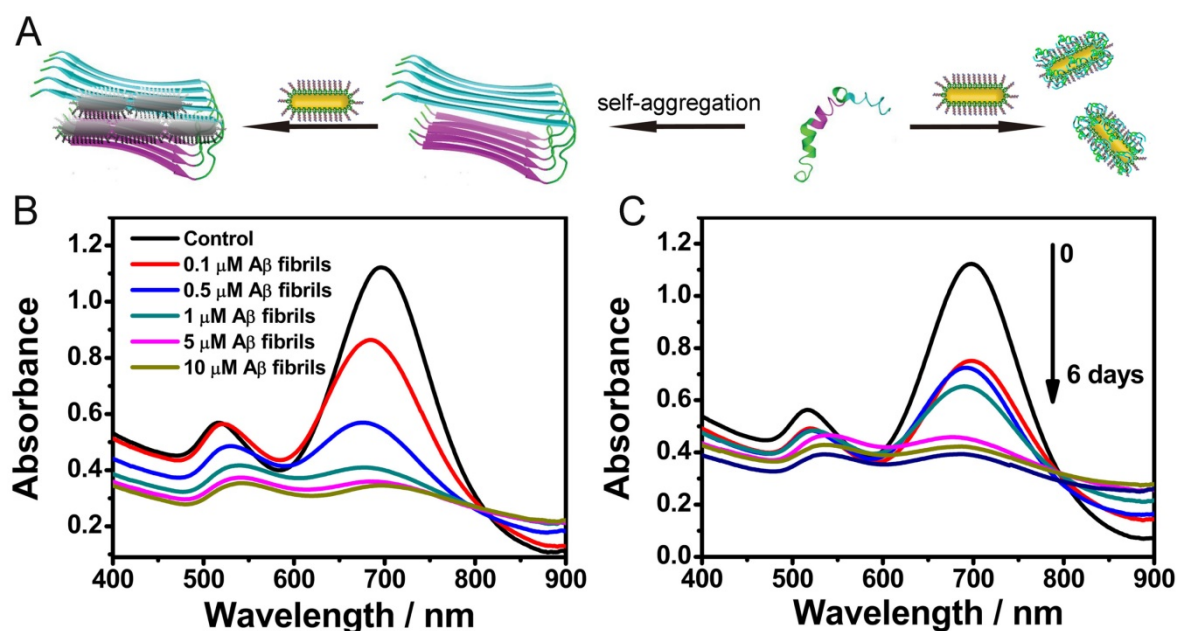
### AuP fabrication

AuNRs with CTAB coating were synthesized using the seed-less growth method<sup>[17]</sup>. Amino-functionalized AuNRs (AuNR-NH<sub>2</sub>) were prepared by covalently grafting 2-aminoethanethiol onto the surface of AuNRs to remove the CTAB. CTAB is a well-known toxic cationic surfactant. Replacing CTAB from the system can dramatically decrease the cytotoxicity of the materials to enhance their biocompatibility in biological application.<sup>[53,54]</sup> Then, 2-aminoethanethiol coated nanorods could bind with POMs and A $\beta$ 15-20 in sequence by an

electrostatic interaction. Both energy-dispersive X-ray spectroscopy (EDS) and X-ray photoelectron spectroscopy (XPS) confirmed 2-aminoethanethiol have been successfully conjugated on the surface of the Au nanorods (Figure S1). The TEM image (Figure S2A) depicted the AuNR-NH<sub>2</sub> with an aspect ratio of approximately 3.8 (length: 33 nm, width: 8.6 nm). Owing to the presence of 2-aminoethanethiol, the surface charge of the nanorods revealed a zeta potential of 17.5 mV. Loading POM could reverse the surface charge of AuNRs to negative charged (-26.0 mV) (Table S1). The relatively uniform coating on the surface of AuNRs in Figure S2C indicated that A $\beta$ 15-20 was successfully conjugated with Au-POM. The AuNR-NH<sub>2</sub> exhibited a weak transverse plasmon band at 520 nm and a strong longitudinal plasmon band at 720 nm. A $\beta$ 15-20 attachment could make a slight shift in the characteristic absorbance spectrum of the nanorods, reflecting a change in the local dielectric field resulting from the presence of A $\beta$ 15-20 (Figure S3).

### A $\beta$ aggregates detection

Once fabricated, firstly we employed the AuP as a bioprobe for monitoring A $\beta$  aggregation. Herein, A $\beta$ 1-40 was chosen as the protein model. A $\beta$ 1-40, the most common isoform of A $\beta$ , has been widely used for in vitro studies, in which its peculiar effects on neurons have been well illustrated<sup>[8]</sup>. Upon targeted with A $\beta$ 1-40, the A $\beta$ 1-40 aggregation can be visualized via A $\beta$ 1-40 aggregates induced AuP precipitation (Figure 2A). Addition of A $\beta$ 1-40 monomers with the random coil conformations into the solution of AuP made the A $\beta$ 1-40 coat on the surface of AuP which could not induce the AuP aggregation (Figure S4). However, in the presence of A $\beta$ 1-40 assemblies, the deposits of A $\beta$ 1-40 could serve as the template to cause the formation of a network between A $\beta$ 15-20-coated nanorods located close together. As shown in Figure 2B, when A $\beta$ 1-40 fibrils occurred, the longitudinal plasmon peak of AuP was blue shifted, while the transverse plasmon peak was red-shifted. This typical change of absorption spectra has been assigned to AuP aggregation<sup>[35-37]</sup>. And the aggregation of AuP was intensified with an increase in the amount of A $\beta$ 1-40 fibrils. Moreover, the color of the samples changed from brown to violet with the aggregation of AuP (Figure S5) while the AuP itself showed no changes (Figure S6), indicating that A $\beta$ 1-40 fibrils could be even discriminated by naked eyes in our system. The assembly of AuP in the presence of A $\beta$ 1-40 fibrils was also confirmed by TEM (Figure S7).



**Figure 2.** Influence of A $\beta$ 1-40 aggregates on the absorption spectra of AuNR. (A) Schematic representation of AuP nanorods used for monitoring A $\beta$ 1-40 aggregation. (B) Absorption spectra of AuP in the presence of different concentrations of A $\beta$ 1-40 fibrils. The final concentration of AuP nanorods was 0.3 nM. (C) A $\beta$ 1-40 fibrillogenesis process monitored by the absorption spectra of AuP nanorods. The final concentration of A $\beta$ 1-40 and AuP nanorods were 5  $\mu$ M and 0.3 nM.

The distinct absorbance behaviors of AuP in the presence of native and fibrillar forms of A $\beta$ 1-40 inspired us to explore the possibility of utilizing it to monitor the kinetic process of amyloid fibrosis *ex situ*. After pre-incubation of A $\beta$ 1-40 for different days, AuP was added to the resultant solution prior to UV-Vis measurement. As depicted in Figure 2C, the absorbance changed gradually following the increase of incubation time, indicating that aggregation of AuP was enhanced with an increase in the amount of A $\beta$ 1-40 aggregates.

### Inhibition tests

Having successfully established the ability of AuP for sensing A $\beta$ 1-40 aggregates, we next investigated the inhibition activity of these novel nanorods in A $\beta$ 1-40 aggregate formation (Figure 3A). To verify the effect of AuP on the assembly of A $\beta$ 1-40 into amyloid fibrils, we used a widely used thioflavin T (ThT) fluorescence assay<sup>[55-57]</sup>. A $\beta$ 1-40 was incubated for 7 days in the absence or presence of AuP. When fresh A $\beta$ 1-40 alone incubated at 37 °C, ThT fluorescence as a function of incubation time showed a sigmoidal shape (Figure 3B). This result was consistent with the nucleation-dependent polymerization model. However, in the presence of AuP, ThT fluorescence did not increase, which indicated that A $\beta$ 1-40 amyloid formation was suppressed. In the control experiment, the nanorods themselves showed no influence on the fluorescence of ThT (Figure S8).

We also performed the effect of the AuP on the

morphology of A $\beta$ 1-40 aggregates by atomic force microscopy (AFM)<sup>[56,57]</sup>. As shown in Figure 3C, classical amyloid fibrils were observed in samples of untreated A $\beta$ 1-40. The A $\beta$ 1-40 fibrils were non-branched, helical filaments with diameters of ~10 nm and lengths of up to several microns. When incubated with AuP nanorods, only small and relatively amorphous aggregates were observed, demonstrating the excellent efficacy of AuP to inhibit A $\beta$ 1-40 aggregation (Figure 3D). These results further supported the above results and indicated that AuP nanorods were effective inhibitors for A $\beta$ 1-40 aggregation.

It has been proposed that owing to the strong NIR optical absorption ability of AuNRs, the drug which conjugated on the AuNRs via noncovalent interaction can be controllably released from the surface of AuNRs with NIR laser irradiation<sup>[58]</sup>. To verify the potential of using AuP in photothermal therapy, the AuP solution was exposed to an 808 nm NIR laser with water as the control. In marked contrast to the water sample, the AuP solution showed a rapid increase of temperature when exposed to the laser within a short time (Figure S9). In order to check whether the laser irradiation could make the release of POMs and A $\beta$ 15-20 in our system, we used ICP-MS to monitor the release of POM from the AuP. As shown in Figure S10, the amount of POM released about 43.1% after irradiation for 8 min. This release was dependent on irradiation time. The release of A $\beta$ 15-20 was confirmed by MALDI-TOF Mass Spectrometry (Figure S11). The released POMs

and A $\beta$ 15-20 could enhance the inhibition effect of the AuP for A $\beta$ 1-40 aggregation, which was evaluated both by ThT assay and AFM (Figure 3B and Figure 3E). The light-triggered, remotely controlled release of the two inhibitors, POMs and A $\beta$ 15-20, from the plasmonic nanorod-based complex presented significant importance for in vivo application in AD treatment.

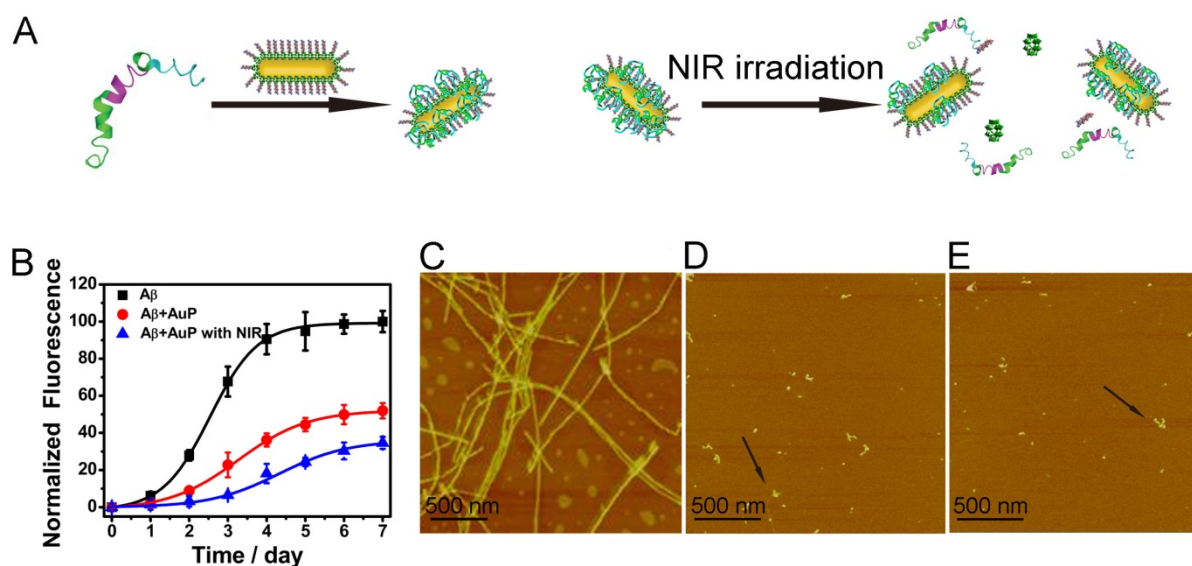
### Disaggregation tests

According to our previous report<sup>[33]</sup>, A $\beta$ 1-40 fibrils could be dissociated by local heat. Inspired by the ability of AuNRs to generate local heat, we then monitored the disaggregation effect of AuP on A $\beta$ 1-40 aggregates upon NIR irradiation. A $\beta$ 1-40 fibrils in aggregation buffer (10 mM Tris, 150 mM NaCl, pH 7.3) were incubated with AuP for 20 min at 37 °C to maximize the targeting effect of A $\beta$ 15-20 and A $\beta$ 1-40 fibrils. The presence of A $\beta$ 1-40 fibrils enhanced the fluorescence of ThT. However, after we exposed the solution to a laser with a power density of 1 Wcm<sup>-2</sup> to irradiate the AuP-A $\beta$ 1-40 aggregates for 8 min, the fluorescence signal of ThT decreased significantly compared with AuP-A $\beta$ 1-40 in buffer (Figure S12). In marked contrast, for the irradiation of A $\beta$ 1-40 fibrils alone or the AuP with A $\beta$ 1-40 aggregates without laser irradiation, the fluorescent signals remained almost unchanged, demonstrating that neither the NIR laser irradiation alone nor AuP by itself can affect the A $\beta$ 1-40 structure under our experimental condition. Interestingly, we also performed the same experiment using AuNR-NH<sub>2</sub>. As showed in Figure S13, AuNR-NH<sub>2</sub> could also dissociate A $\beta$ 1-40

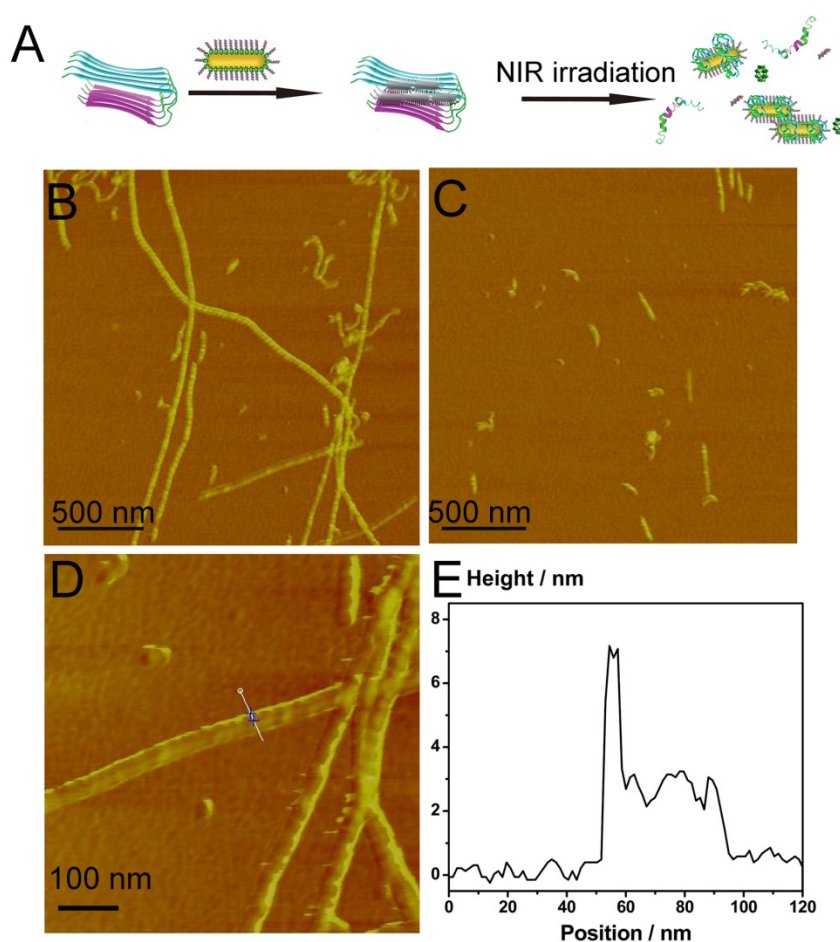
aggregates upon NIR irradiation but less effectively than AuP, indicating the targeting effect of A $\beta$ 15-20 on the surface of AuP nanorods was significantly important to the disaggregation ability of AuP upon NIR irradiation. The same results were also observed by using AFM. When incubated with AuP nanorods, the performed A $\beta$ 1-40 fibrils remained unchanged, illustrating that AuP nanorods could hardly alter the assembly of A $\beta$ 1-40 in a short time (Figure 4B). Interestingly, the A $\beta$ 1-40 fibrils induced AuP self-assembly was also easily observed in AFM images (Figure 4D). However, after irradiation with NIR laser, numerous small, relatively amorphous aggregates were observed in the AuP nanorods treated A $\beta$ 1-40 samples, demonstrating the excellent efficacy of AuP to disaggregate the amyloid deposits upon NIR laser irradiation (Figure 4C). In addition to the results of A $\beta$ 1-40, A $\beta$ 1-42 which was more central to neuronal degeneration observed in AD was employed to detect the disaggregation effect of AuP upon NIR irradiation. The similar result was observed (Figure S14).

### Inhibition and disaggregation tests in complex matrix

It is well known that A $\beta$  concentrated in CSF is a diagnostic and therapeutic target for AD<sup>[59,60]</sup>. Therefore, it is important to check whether the functionalized AuP nanorods can effectively inhibit A $\beta$ 1-40 aggregation and dissociate A $\beta$ 1-40 fibrils in a biological matrix.



**Figure 3.** Inhibition of A $\beta$ 1-40 aggregation by AuP nanorods. (A) Schematic representation of AuP nanorods used for inhibiting A $\beta$ 1-40 aggregation. (B) Fibrillation kinetics of A $\beta$ 1-40 as monitored by the development of thioflavin T binding in the absence of AuP nanorods (■) or in the presence of AuP nanorods without NIR (●), or with NIR (▼). (C) AFM image of a control sample containing A $\beta$ 1-40 after incubation at 37 °C for 7 days. (D) AFM image of a sample containing A $\beta$ 1-40 and AuP nanorods after incubation at 37 °C for 7 days. (E) AFM image of a sample containing A $\beta$ 1-40 and AuP nanorods pre-treated with NIR irradiation after incubation at 37 °C for 7 days. The concentration of A $\beta$ 1-40 and AuP nanorods were 50  $\mu$ M and 0.3 nM. Buffer: 10 mM Tris, 150 mM NaCl, pH 7.3. The arrows in Figure D and Figure E indicated the presence of AuP.



**Figure 4.** The influence of the photothermal effect of AuP nanorods on A $\beta$ 1-40 disaggregation. (A) Schematic representation of AuP nanorods used for dissociating A $\beta$ 1-40 aggregation. (B) The morphology of A $\beta$ 1-40 fibrils in the presence of AuP nanorods. (C) The morphology of A $\beta$ 1-40 fibrils in the presence of AuP under laser irradiation. (D) Locally amplified AFM image in Figure 4B. (E) The height of AuP nanorods aggregated with A $\beta$ 1-40 fibrils. The concentration of A $\beta$ 1-40 and AuP nanorods were 50  $\mu$ M and 0.3 nM.

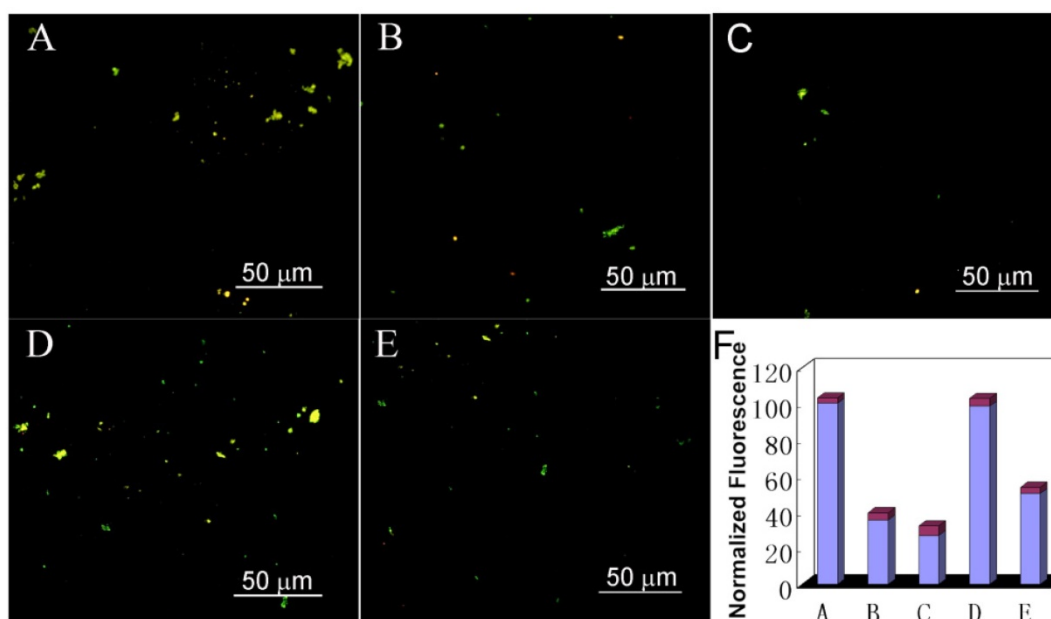
To address this question, we used thioflavin-S (ThS) to stain the A $\beta$  deposit. ThS is a standard fluorescent stain that specifically binds to amyloid protein deposits, leading to emit yellow fluorescence under ultraviolet light<sup>[61-64]</sup>. As depicted in Figure 5 and Figure S15, A $\beta$ 1-40 incubated in CSF for 7 days demonstrated intense birefringence under fluorescence microscopy, indicating the formation of amyloid fibrils. While after treated A $\beta$ 1-40 samples with AuP under the same conditions, the fraction of ThS positive deposits decreased, confirming that AuP can inhibit amyloid peptide aggregation. Critically, before co-incubating AuP with A $\beta$ 1-40, irradiating the AuP nanorods for 8 min with NIR laser can enhance their inhibition effect on A $\beta$ 1-40 aggregation (Figure 5C and Figure S15C). Furthermore, addition of AuP to the performed A $\beta$ 1-40 fibrils in CSF could not change the fluorescence of ThS (Figure 5D). However, after exposed the sample to a NIR laser to irradiate the AuP-A $\beta$ 1-40 aggregates, the size and abundance of ThS-positive structures was reduced (Figure 5E). These results demonstrated that our synthetic AuP

nanorods could successfully produce a marked effect even in complex cerebrospinal fluid.

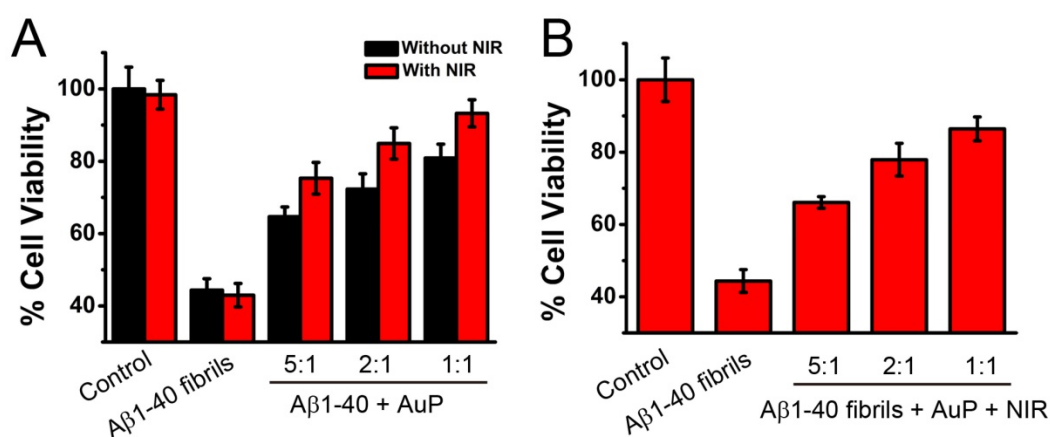
### Cell cytotoxicity tests

Since AuP nanorods can inhibit A $\beta$ 1-40 aggregation and dissociate the amyloid deposits and aggregates upon laser irradiation, next we checked whether the nanoparticles can block A $\beta$ 1-40-mediated cellular toxicity. The rat pheochromocytoma cell line (PC12), a common model for neuronal functional studies, was used to perform 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay to probe cellular metabolism<sup>[49,56,57,65,66]</sup>. Figure 6A showed that A $\beta$ 1-40 fibrils (5  $\mu$ M) led to a decrease of 46% in cellular reduction of MTT (lane 2). However, treatment of the cells with A $\beta$ 1-40 which was co-incubated with AuP for 7 days can significantly increase the cell viability (Figure 6A). And the protection effect of AuP on cells from apoptotic death was enhanced by pretreating the AuP nanorods with NIR irradiation (Figure 6A).





**Figure 5.** Fluorescence images of A $\beta$ 1-40 incubated with AuP nanorods in mice CSF. (A) A $\beta$ 1-40 after incubation for 7 days; (B) A $\beta$ 1-40 co-incubated with AuP nanorods at 37 °C for 7 days; (C) A $\beta$ 1-40 with AuP nanorods pre-treated with NIR irradiation after co-incubation for 7 days; (D) A $\beta$ 1-40 fibrils in the presence of AuP nanorods; (E) A $\beta$ 1-40 fibrils in the presence of AuP under laser irradiation; (F) The fluorescence of samples from A, B, C, D, E. The concentration of A $\beta$ 1-40 and AuP nanorods were 50  $\mu$ M and 0.3 nM.



**Figure 6.** (A) Concentration dependent inhibition effects of AuP nanorods with or without NIR treatment on A $\beta$ 1-40-induced cytotoxicity of PC12 cells. (B) AuP nanorods decrease insoluble A $\beta$ 40 aggregates-induced cytotoxicity of PC12 cells in a concentration dependent manner upon NIR irradiation. Cell viability was determined using the MTT method and data points shown are the mean values  $\pm$  standard error of the mean (SEM) from three independent experiments. Control: A $\beta$ 1-40 untreated cells. The concentration of A $\beta$ 40 was 5  $\mu$ M. The concentrations of AuP nanorods were 0.03 nM, 0.08 nM and 0.16 nM for the ratio of 5:1, 2:1 and 1:1 (the ratios between A $\beta$ 40 and A $\beta$ 15-20) respectively.

On the other hand, even after the A $\beta$ 1-40 performed, treatment of the cells with A $\beta$ 1-40 in the presence of AuP under NIR laser irradiation for 5 minutes could effectively decrease the cytotoxicity of A $\beta$ 1-40 (Figure 6B). Furthermore, AuP can prevent A $\beta$ 1-40-induced cell death in a dose dependent manner (Figure 6). A $\beta$ 1-40 fibrils treated with AuP in the absence of NIR laser irradiation or A $\beta$ 1-40 untreated with AuP under NIR laser irradiation, however, did not increase the cell viability (Figure 6). As depicted in Figure S16, AuP themselves and NIR irradiation were non-toxic under the same conditions. As expected, the above results showed that AuP was

effective to inhibit A $\beta$ 1-40 aggregation and dissolve the existing fibrils of A $\beta$ 1-40 evidenced by AFM and spectral analysis and demonstrated in PC12 cells.

### BBB permeability

As a suitable candidate for AD treatment, the multifunctional nanorod should have the potential ability to cross BBB. To determine whether AuP can passively accumulate in the brain of living animals, we used ICP-MS to measure the amount of AuP in the brain after intravenous injection for 6 hours. A significant level of Au was found at the brain in the mouse that treated with AuP compared to the control

mouse. The efficiency of AuP accumulation in the brain was about  $2.097 \pm 0.337\%$  (Table S2), indicating AuP may possess the possible ability to cross BBB. Previous reports demonstrated that near-infrared light (~650-900 nm) with a deep penetration in tissues up to 4-10 cm can be used for in vivo therapy of tumors under skin and deeply seated within tissue even the brain tumors.<sup>[67,68,69]</sup> These findings shed lights on the potential of AuP nanorods as therapeutic agents to be used in AD treatment. Although promising, a thorough examination of how the nanorods affect tissue organs especially the brain after irradiation treatment would be important and merits further investigation in future studies.

## Conclusion

In summary, a new functionalized AuP has been synthesized for not only detection of the A $\beta$  aggregation but also chemotherapeutical and photothermal treatment of AD. Our design combines the unique high NIR absorption property of AuNRs with two known A $\beta$  inhibitors, A $\beta$ 15-20 and POM. The synthesized AuP can effectively inhibit A $\beta$  aggregation and dissociate amyloid deposits with NIR irradiation both in buffer and in mice CSF, and protect cells from A $\beta$ -related toxicity upon NIR irradiation. Compared with traditional drug strategies, the functional AuP possesses the potential ability to cross the BBB which overcomes the drawbacks of unstable  $\beta$ -sheet breaker peptides. Furthermore, the hyperthermic effects of AuP have been considered important and useful in clinical treatment with reduced side effects. In addition, the process of A $\beta$  aggregation can be monitored by the absorbance change of AuNRs. The AuNRs act as not only diagnostic probes but also drug carriers and photothermal reagents in our work. So far, there is no report to integrate three segments: an A $\beta$ -targeting element, a reporter and inhibitors in one system for AD treatment. Our results could provide new insights into the design of new multifunctional nanomaterial for both sensitive detection of AD pathology and effective treatment of AD.

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## Supplementary Material

Figure S1 - Figure S14.

<http://www.thno.org/v07p2996s1.pdf>

## Competing Interests

The authors have declared that no competing interest exists.

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