

**Research Paper** 



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# Inner retinal injury in experimental glaucoma is prevented upon AAV mediated Shp2 silencing in a caveolin dependent manner

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#### Abstract

SH2 domain containing tyrosine phosphatase 2 (Shp2; PTPN11) regulates several intracellular pathways downstream of multiple growth factor receptors. Our studies implicate that Shp2 interacts with Caveolin-1 (Cav-1) protein in retinal ganglion cells (RGCs) and negatively regulates BDNF/TrkB signaling. This study aimed to investigate the mechanisms underlying the protective effects of shp2 silencing in the RGCs in glaucomatous conditions.

**Methods:** Shp2 was silenced in the Cav-1 deficient mice and the age matched wildtype littermates using adeno-associated viral (AAV) constructs. Shp2 expression modulation was performed in an acute and a chronic mouse model of experimental glaucoma. AAV2 expressing Shp2 eGFP-shRNA under a strong synthetic CAG promoter was administered intravitreally in the animals' eyes. The contralateral eye received AAV-eGFP-scramble-shRNA as control. Animals with Shp2 downregulation were subjected to either microbead injections or acute ocular hypertension experimental paradigm. Changes in inner retinal function were evaluated by measuring positive scotopic threshold response (pSTR) while structural and biochemical alterations were evaluated through H&E staining, western blotting and immunohistochemical analysis of the retinal tissues.

**Results:** A greater loss of pSTR amplitudes was observed in the WT mice compared to Cav-1-<sup>*l*</sup> retinas in both the models. Silencing of Shp2 phosphatase imparted protection against inner retinal function loss in chronic glaucoma model in WT mice. The functional rescue also translated to structural preservation of ganglion cell layer in the chronic glaucoma condition in WT mice which was not evident in Cav-1-<sup>*l*</sup> mice retinas.

**Conclusions:** This study indicates that protective effects of Shp2 ablation under chronic experimental glaucoma conditions are dependent on Cav-1 in the retina, suggesting *in vivo* interactions between the two proteins.

Key words: Glaucoma; Retinal Ganglion cells, Shp2 phosphatase; Caveolin; TrkB.

## Introduction

Glaucoma is the second leading cause of irreversible vision loss, characterised by optic neuropathy and progressive damage to the retinal ganglion cells (RGCs) [1]. The disease is estimated to contribute to approximately 8.4% cases of blindness amongst 50 years and older population in 2015 [2, 3]. It is also expected to affect around 111.8 million people worldwide by 2040 [4]. Enhanced intraocular pressure (IOP) is one of the noticeable features of the disease, and the chronic injury could be mediated by combination of one or more of the risk factors including ischemia, oxidative stress, age and neurotrophic obstruction due to impaired axoplasmic flow [5–7]. Accordingly, identifying a single principal mechanism underlying glaucoma pathology or adversely affecting the RGCs has remained elusive [8-10]. Despite therapeutic lowering of IOP, many glaucoma cases continue to progress with evidence of visual deterioration and optic nerve damage implicating IOP-independent mechanisms in the pathological process [11, 12].

Cumulative evidence suggests the pivotal role of neurotrophins and in particular brain-derived neurotropic factor (BDNF), in supporting the health and survival of RGCs, in various models of optic nerve injury [13-16]. Neurotrophin administration has consequently been shown to protect the RGCs in experimental models of glaucoma [5, 17, 18]. BDNF administration has been shown to delay RGC apoptosis and extend neuronal survival under various stress conditions [19-21]. BDNF primarily mediates its neuroprotective effects through its high affinity receptor tropomyosin receptor kinase B (TrkB) which is well expressed in the retinal ganglion cells and lamina cribrosa [22-24]. In addition BDNF has also been shown to be retrogradely transported to the RGCs from the brain through axoplasmic flow [25]. Activation of TrkB receptor in the ganglion cells leads to activation of MAPK and PI3K signalling which are involved in neuronal growth, survival and neuritic growth [14, 15, 26]. SH2 domain-containing tyrosine phosphatase-2 (Shp2; PTPN11) is implicated in negative regulation of TrkB through inhibition of BDNF induced TrkB phosphorylation in neuronal cells [27-29]. Shp2 is ubiquitously expressed in the brain and retina and plays important role in cell proliferation, differentiation and migration [30-33]. Previous studies have shown that conditional ablation of phosphatase resulted in developmental problems in the brain and eye [29, 34, 35]. Shp2 has also been implicated in regulating cytoskeleton arrangement [36] and neurotrophin networks including BDNF/TrkB signalling [28, 29].

In the retina, Shp2 is well expressed in the ganglion cell layer (GCL) and inner nuclear layer (INL) suggesting its roles in maintaining normal retinal physiology [37, 38]. Cai et al. (2011), described Shp2 to play a role in retinal progenitor cell fate and emphasised ERK survival mechanism to play a role in neural retina, although the phosphatase expression did not seem to be decisive in mediating retinal differentiation in later stages of development [35]. More recently, we demonstrated that Shp2 negatively regulated the TrkB receptor phosphorylation and affected the receptor activity under glaucomatous conditions. Pharmacological inhibition of Shp2 and AAV2 mediated Shp2 silencing were both effective in restoring the TrkB activity [29, 38, 39]. The Shp2 co-immunoprecipitated with TrkB and this interaction was observed to be mediated through the adapter protein Caveolin-1 (Cav-1) in the cultured RGCs [29]. Cav is a key constituent of caveolae [40] and comprises three isoforms: Cav-1, Cav-2 and Cav-3 [41]. Cav-1 and 2 are ubiquitously expressed in non-muscle cells while Cav-3 is predominantly a muscle-specific isoform [42,43]. Cav-1 shows a high immunoreactivity in the retinal GCL [44,45] and the gene locus was identified to be associated with glaucoma in genome-wide association studies (GWAS) [46-49]. This study aimed to investigate the pathophysiological significance of Cav-1-Shp-2 interactions and their possible role in mediating RGC damage in animal models of glaucoma.

Further, Shp2 and Cav-1 proteins have both been implicated in regulating integrin signalling in neuronal cells [50-52]. Integrin receptor expression in RGCs plays crucial roles in cellular survival and regeneration [53-56]. Herein, we have investigated the effects of Shp2 modulation on BDNF/TrkB and integrin signalling using AAV mediated Shp2 modulation in the RGCs using CAG promoter. The in vivo interactions of Shp2 and caveolin-1 in RGCs are examined by specific modulation of Shp2 in the Cav-1-/- mice. The study provided compelling evidence that Shp2 silencing, imparts protection to the retina against functional and structural deficits in chronic glaucoma model. The Cav-1-/- mice studies highlight that Shp2 effects in the inner retina are mediated through Cav-1 and provide an evidence of physiological crosstalk between the two proteins in vivo.

# Methods

### Animals

Wild-type and Cav-1<sup>-/-</sup> (KO) mice with C57BL/6 background (4-6 weeks) were used in this study. Animals were bred and housed under standard 12-h

light and dark cycle condition with free access to food and water. All the animal experiments in this study were approved by Macquarie University Animal Ethics Committee (AEC) in Australia. The procedures were performed in accordance with the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes and the guidelines of the ARVO Statement for the use of animals in Ophthalmic and Research. For procedures Vision including electroretinogram recordings, intravitreal administration of AAV construct and stimulating acute model of ocular hypertension, animals were anaesthetized using intraperitoneal injection of ketamine (50 mg/kg) and medetomidine (0.5 mg/kg) while isoflurane inhalation anaesthesia was used for IOP measurements and microbead administration (chronic model).

#### **AAV** vector construct design

Pre-validated recombinant adeno-associatedvirus 2 (AAV2) vectors were designed for either Shp2 overexpression or Shp2 knockdown (Vector Biolabs, USA). Briefly, for gene overexpression, murine Shp2 cDNA was placed under the transcriptional regulation of the CAG2 promoter. This synthetic promoter is composed of cytomegalovirus (CMV) enhancer fused to chicken β-actin transcription initiation site and rabbit  $\beta$ -globin intron. The promoter was introduced into the AAV2-eGFP vector (AAV2-CAG2-EGFP-T2A-mShp2 or briefly referred to AAV2-Shp2) (BC057398, isoform-a). Enhanced green fluorescent protein (eGFP) and Shp2 gene sequences were driven by CAG2 hybrid promoter including 2A linker. eGFP control vector was also expressed under the control CAG promoter flanked by AAV terminal repeats (briefly referred to AAV-GFP) and used as a control for Shp2 overexpression. For Shp2 silencing, mouse Shp2 shRNAmir was introduced in construct to achieve the knock down the Shp2 expression (AAV2-CAG-EGFP-mShp2-shRNAmir or AAV2-Shp2KD). The recombinant AAV2-Shp2KD construct targeted the Shp2 nucleotide coding sequence (NM\_001109992.1) at 1296-(5'-CCTGATGAGTAT GCGCTCAAA-3') site. Vectors encoding scrambled nucleotides sequence as reported previously were used as control (5'-GTCTCCACGCGCAGTACATTT-3') and indicated as (AAV-CAG-GFP-shRNA) [38, 39].

### Intravitreal AAV injections

Mice were anesthetized, and eyes dilated using 1% tropicamide as previously reported [16]. AAV2 construct solution (final concentration,  $1.8 \times 10^{12}$  GC/mL) was carefully administered (2 µl) through the sclera at a 45° into the vitreous towards the *ora serrata* and posterior to the temporal limbus avoiding

contact with lens. Injection was performed using 33G needle connected to 5  $\mu$ l Hamilton syringe (Hamilton AG) guided by a surgical microscope (Carl Zeiss) to facilitate accurate focusing and a period of 30 seconds was allowed before removing the needle to permit diffusion of the virus in order to prevent leakage from the injection track. Animals were monitored and sacrificed at specified time points as indicated.

# Microbead induced chronic ocular hypertension model

A progressive elevation in IOP was achieved through the weekly injections of polystyrene microbeads (FluoSpheres polystyrene microspheres, 10 µm) in the anterior chamber for eight weeks as previously described [29]. Briefly, mice were anesthetized through inhalation of isoflurane (2%), placed on a heating pad (36-37°C). Pupil dilation was performed by 1% tropicamide and topical anaesthesia applied as 0.5% alcaine eye drops respectively. Polystyrene microbeads (3.6×106 microbeads/mL, 2-3 µl) were injected using 33-gauge needle (TSK Laboratory, Japan) connected to a 10 µl Hamilton syringe under the guidance of operating microscope (Carl Zeiss,) to facilitate accurate focus of the syringe with care taken to avoid touching iris or lens. IOP was bilaterally measured weekly by an iCare TonoLab (Icare, Finland) and the average from four consecutive reading was taken as the IOP at that specific time point.

### Acute intraocular pressure elevation model

Mice were also subjected to acute elevation of IOP as previously described [57–59]. Briefly, mice were anesthetized and after applying mydriasis and corneal anaesthesia using 1.0% tropicamide and 1% proparacaine respectively, the anterior chamber of the eye was cannulated with 33G needle which was linked to an external saline reservoir elevated to induce a pressure of 60 mmHg for 1 h. In the control groups, eye was cannulated and maintained under normal pressure. Animals were allowed to recover and subsequently euthanised after two weeks and the tissues harvested.

### Electroretinogram (ERG) recordings

ERG recordings were acquired using OcuScience machine (OcuScience, USA) as previously described [60]. Mice were dark-adapted overnight (> 12 hrs) and recordings carried out at the baseline and eight weeks following microbead model induction and two weeks after subjecting animals to acute model of ocular hypertension. The recordings were carried out under dim red-light illumination, after anaesthesia and pupil dilation using 1% tropicamide. Briefly, mice were positioned on the heating pad and stainless-steel reference and ground electrodes (OcuScience) positioned on the tail and subcutaneously over the forehead. Two silver embedded electrodes were positioned on the cornea and care taken to maintain the connection of the cornea and eye recordings electrodes using methylcellulose. Positive scotopic threshold (pSTR) recordings were obtained using a series of dim stimulation (-3.4 log cd s/m<sup>2</sup>) delivered 30 times (frequency 0.5 Hz) and peak amplitudes were measured at 120 ms after flash stimulus [61, 62].

## Histology and staining of retinal sections

Animals were sacrificed at specific time points perfused 4% and transcardially with paraformaldehyde (PFA). Eveballs were then harvested for morphometric evaluations, fixed in 4% PFA for 2 hrs, incubated overnight in 70% ethanol at 4°C and ultimately processed by automatic tissue processor (ASP200S, Leica). Eyes were subsequently embedded in paraffin ensuring their similar orientation using tissue marking dye and sections were made with the thickness of 5-7  $\mu$ m [63]. Sections were subjected to hematoxylin and eosin (H&E) staining as previously described [16, 63] and images were acquired using Zeiss microscope (Zeiss). For morphological analysis GCL cell density was measured over 500 µm (100-600 µm) from the edge of the optic disk.

For cryosections and immunofluorescence studies, eyes were fixed in 4% freshly prepared PFA for 2 hrs, washed with PBS incubated overnight in 4% sucrose, embedded in OCT cryo- media and stored at -80°C prior to immunohistochemical staining. Samples were then cryosectioned (5  $\mu$ m) and subsequently blocked and permeabilised for 60 min in blocking buffer, washed and slides incubated overnight with primary antibodies prepared in antibody dilution buffer (1x PBS, 1% BSA, 0.3% Triton X-100) at 4°C.

Following antibodies were used as per given dilutions: anti-pCav-1 Y<sup>14</sup> (abcam131235; 1:200), p-TrkB (p Y515) (abcam131483; 1:1000), anti-TrkB (sc-20542; 1:100), BDNF (sc-546; 1:250), anti-GFP (ab290; 1:250), anti-Shp2 (abcam131541; 1:250), anti-p-Shp2(Y542) (abcam62322; 1:250), anti-Brn3a (MAB1585; 1:300), anti-β1 Integrin (ab95623; 1:50), anti p-FAK(Y<sup>397</sup>) (ab81298; Cell signalling 9661; 1:100), anti-Cleaved Caspase-3 (Asp175) (1:100). Slides were subsequently subjected to three sequential washes with 1x PBS and incubated with the secondary antibodies as indicated (Cy3, Alexa Fluor 488 or Alexa Fluor 647) (1:400 dilution) for 2 hrs at room temperature. The coverslips were mounted on sections using anti-fade mounting medium with Prolong DAPI (Life Technologies) and imaged.

#### Western blot analysis

The optic nerve head (ONH) regions were surgically excised, and tissues lysed in the lysis buffer (1% Triton X-100, 2 mM EDTA, 50 mM NaF, 50 mM Tris pH8, 0.5% Sodium deoxycholate, 0.1% SDS, 150mM NaCl) containing PhosSTOP (Sig-Aldrich) and protease inhibitor (Merck). Protein concentration was determined using bicinchoninic acid (BCA) kit (Pierce, Rockford, IL, USA) and an identical amount of protein (25 µg) was resolved by on 10% SDS-PAGE transferred to polyvinylidene difluoride and membrane (PVDF). The membrane was blocked in Tris-buffered saline (TTBS) (20 mM Tris-HCl [pH 7.4], 100 mM NaCl and 0.1% Tween 20) including 5% skim milk for 1 h at room temperature and incubated overnight with primary antibodies at 4°C. The antibodies used and dilutions were: TrkB (1:1000), BDNF (1:500), p-Cav-1 (pY14) (1:500), p-TrkB (pY515) (1:500), Shp2 (1:1000) and p-Shp2 (p Y<sup>542</sup>) (1:500), GAPDH (Sigma-Aldrich G8795; 1:10000), anti-GFP (1:1000), anti-β1 Integrin (1:250) and anti p-FAK Y<sup>397</sup> (1:250). The next day blots were subjected to three subsequent TBST washes followed by incubating with horseradish peroxidase (HRP)-labelled secondary antibodies (R&D system) at room temperature for one hour. Signals were visualised using an automated luminescent image analyser (ImageQuant LAS 4000) and densitometric analysis of band intensities carried out using ImageJ software (ImageJ, NIH, USA) [64,65]. Values were normalized by re-probing the membranes with actin (internal control), and relative density of the peaks plotted [66].

# Apoptosis assay (TUNEL staining)

To detect apoptotic cells in GCL, we assessed both the activation of caspase 3 (casp3) using immunostaining against cleaved Casp3 (c-casp3) as well as TUNEL assay. Paraffin-embedded retinal sections were stained with TdT-mediated dUTP nick end labelling (TUNEL) assay technique using DeadEnd Fluorometric TUNEL System kit (Promega) according to the manufacturer's instructions. Briefly, retinal sections were deparaffinized using xylene and rehydrated through a series of decreasing concentrations of ethanol. Sections were subsequently subjected to permeabilisation using Proteinase K (20  $\mu$ g/ml) and incubated with TUNEL reaction mixture (containing equilibration buffer, Nucleotide mix and rTdT enzyme) for 60 min at room temperature in a humidified chamber kept in dark. A positive control slide was similarly processed while also treated with DNase I prior to incubation with reaction mixture. The action was stopped by 2x saline-sodium citrate (SSC) buffer, and sections counterstained and mounted with antifade DAPI. Sections were examined using fluorescence microscope (Carl Zeiss) at 520 nm. TUNEL positive cells in GCL were counted over 500  $\mu$ m (100-600  $\mu$ m from the edge of the optic disk) and averaged in 4 successive sessions from each group and compared with the controls (n = 6 in each group).

#### Statistical analysis

Statistical analysis for all data including ERG/pSTR amplitudes, GCL density, western blot, TUNEL assay and histology was performed using Graphpad Prism (v 8.2.1) (GraphPad Software, San Diego, CA). In all represented data, values and error bars are expressed as mean  $\pm$  SD from given n sizes. Statistical analysis performed using either Student's t-test for unpaired data or one-way ANOVA followed by Bonferroni's post-hoc multiple comparisons test. The significance was set at p < 0.05.

#### Results

# Shp2 regulatory effects on the inner retina are mediated through Cav-I

This study demonstrates that Shp2 effects on the retina are mediated through an adapter protein Cav-1.

We sought to investigate the potential in vivo interactions between Shp2 and Cav-1 proteins by modulating Shp2 expression in the inner retina using AAV-Shp2 and AAV-shRNAmir constructs in WT and Cav-1<sup>-/-</sup> mice (Figure S1A, B). Electrophysiological responses in the retina were measured two months following AAV2 gene therapy. A significant decline in pSTR amplitudes was observed 2 months following Shp2 upregulation in AAV-Shp2 injected WT animals (WT AAV-GFP (49.23 ± 3.78) compared to their AAV-Shp2 counterparts (30.33 ± 5.21  $\mu$ V) (\*\*\*\*p < 0.0001, one-way ANOVA, Bonferroni post-hoc test; n = 8) while the AAV-Shp2 treated Cav-1<sup>-/-</sup> mice, only demonstrated a slight decrease in inner retinal function which was not statistically significant (34.30  $\pm$  5.40 in Cav-1<sup>-/-</sup> control vs 30.11  $\pm$ 6.33 µV in AAV-Shp2 Cav-1-/-) (Figure 1A-C). We have previously reported that Cav-1-/- mice exhibit lower pSTR amplitudes under normal conditions compared to the WT animals [67]. Shp2 silencing in contrast did not lead to any significant differences in the retinal function of either WT or Cav-1<sup>-/-</sup> group (Figure 1D-F).





Figure 2: Shp2 upregulation resulted in retinal TrkB receptor dephosphorylation (A) Western blot indicating pTrkB  $Y^{515}$ /TrkB, pShp2 and Shp2 expression under control and AAV-Shp2 modulated conditions. (B). Multiple comparisons using Bonferroni post-hoc test showed that there was a significant decrease in pTrkB (\*\*p < 0.01) which was concurrent with higher pShp2 levels (\*\*\*p < 0.001) (C) in VT retinas following Shp2 upregulation. Cav-1-/: GCL did not show significant decline in pTrkB and total TrkB levels upon Shp2 downregulation. (D) Significant reduction in Shp2 expression was observed upon using AAV-mShp2 shRNA in both VT and Cav-1-/: mice (\*p < 0.05, one-way ANOVA; n = 24) while increased expression levels were observed following AAV-Shp2 administration (\*\*\*p < 0.001, WT; \*\*\*p < 0.001, Cav-1-/: one-way ANOVA; n = 24).

Shp2 is involved in negative regulation of TrkB signalling in the stressed RGCs [29, 38], therefore we analysed changes in TrkB and pTrkB Y<sup>515</sup> as well as Shp2 and pShp2Y<sup>542</sup> expression in the WT and Cav-1-/mice (Figure 2 A-D). We observed  $2.3 \pm 0.28$  to  $2.1 \pm$ 0.26-fold increase in Shp2 expression in AAV-Shp2 administered WT and Cav-1-/- retinal samples respectively (\*\*\*\*p < 0.0001, WT; \*\*\*p < 0.001, Cav-1-/one-way ANOVA, Bonferroni post-hoc test; n = 6). The expression reduced by an average of  $2.59 \pm 0.23$ and 2.25 ± 0.31-fold in AAV2-Shp2 shRNAmir injected eyes (\*p < 0.05 in both groups) when compared to control samples (Figure 2 A, D). Immunofluorescence analysis of retinal sections further established a remarkable increase of Shp2 expression in GCL of the AAV2-Shp2 transduced eyes, while a significantly reduced expression of Shp2 AAV2-Shp2-shRNA was observed in the administered retinas (Additional file 1: Figure S1 C-F). Brn3a staining was used as RGC marker to localize Shp2 and GFP expression in the GCL (Additional file 1: Figure S2).

Subsequent to Shp2 upregulation, pTrkB Y<sup>515</sup> immunoreactivity was reduced by approximately 44 ± 15.4 % as compared to the eGFP alone transduced group in WT mice. A decline of 25 ± 8.5% pTrkB reactivity was observed in Cav-1 null retinas. These results suggest that shp2 modulatory effects on TrkB are facilitated by Cav-1. A 1.5-fold ± 0.03 increase in pShp2 Y<sup>242</sup> which is activated form of the phosphatase was also observed (Figure 2A, C). These findings are in agreement with previous studies which have demonstrated that Shp2 increase hindered BDNF-induced TrkB phosphorylation through its negative modulation of TrkB signalling [28]. Cav-1 deficient retinas however, showed lesser degree of alterations in either TrkB or Shp2 phosphorylation (Figure 2 A-C) in GCL, further supporting the argument that Shp2 effects on TrkB are mediated through Cav-1 in the retina. No significant changes in the expression of total TrkB receptor expression were observed (Figure 2 A, B).

We also aimed to examine whether Shp2 expression modulation and resultant decline in inner retinal function was related to potential changes in the retinal laminar structure. Morphological assessment using H&E staining indicated reduced GCL density two months post AAV-mediated Shp2 upregulation (\*\*\*p < 0.001; one-way ANOVA; n = 8) (Figure 3A, B). WT mice retinas lost a significantly higher proportion of cells in the GCL (1.4-Fold higher) in comparison to Cav-1<sup>-/-</sup> counterparts (WT; \*\*\*p < 0.001; one-way ANOVA; Benferroni post-hoc test n = 4). In contrast, no significant structural alterations in GCL were observed to be associated with Shp2 silencing (Figure 3A, B).

# Shp2 silencing protected retina against chronic glaucoma injury in a caveolin dependent manner

Previous studies suggest that Shp2 interacts with Cav-1 adaptor protein in both neuronal and non-neuronal cells [29, 68]. Given that upregulation of Shp2 expression resulted in impaired inner retinal function in WT but the effects were less significant in Cav-1 ablated retinas; we examined whether Shp2 silencing could protect inner retinal function in glaucoma and tested this premise in two different models of experimental glaucoma. IOP was elevated in response to microbead injection and it ranged from  $24.10 \pm 5.17$  mmHg and  $26.12 \pm 6.40$  mmHg in WT and Cav-1 KO compared to the baseline IOP of  $10.78 \pm 0.36$ mmHg and 12 ± 1.02 mmHg for control mice (Additional file 1: Figure respectively S3). Electrophysiology recordings illustrated a significant loss of pSTR amplitudes in both WT and Cav-1-/groups in response to IOP elevation. A greater decline was observed in WT compared to the Cav-1-/- animals

(\*\*\*\*p < 0.0001, WT and WT microbead; \*p < 0.05, Cav-1<sup>-/-</sup> and Cav-1<sup>-/-</sup> microbead) (Figure 4A-C). Further analysis established a significant protection of the inner retinal function (pSTR) in WT mice following silencing of Shp2 (18.96 ± 3.47  $\mu$ V in WT microbead; 29.46 ± 4.03  $\mu$ V in WT microbead+Shp2 KD) (\*\*\*p < 0.001; one-way ANOVA; Bonferroni post-hoc test; n = 16). Shp2 knockdown in Cav-1<sup>-/-</sup> mice did not reveal a significant protection against the inner retinal functional deterioration (p = 0.5; n = 16) (Figure 4B, C). This implied that Shp2 effects are mediated at least partly through Cav-1 and that silencing phosphatase in the absence of Cav-1 was not effective in rescuing pSTR impairment.



Figure 3. Histochemical evaluation of WT and Cav-1<sup>-/-</sup> retinas two months following Shp2 modulation (A) H&E staining of paraffin-embedded retinal sections indicating different retinal layers including GCL in WT and Cav-1<sup>-/-</sup> groups (Scale bar = 50  $\mu$ m). (B) Quantification revealed significantly reduced GCL density in AAV-Shp2 treated WT mice compared to controls (\*\*\*P < 0.001, one-way ANOVA; n = 4 in each group) while no significant changes in GCL density were identified in case of AAV-Shp2 injected Cav-1 KO mice. (A; panel 2). Further, no significant changes were observed upon Shp2 KD in the WT or Cav-1<sup>-/-</sup> mice.



Figure 4: Silencing of Shp2 protected the inner retinal function in WT but not Cav-1 KO retinas. (A, B) pSTR responses in WT and Cav-1+<sup>4</sup> retinas in control and experimental groups treated with AAV-Shp2 scramble (sc) and AAV-Shp2 KD under chronic glaucoma conditions. (C) A significant decline in pSTR observed in AAV-Sc treated WT mice exposed to chronic elevation of IOP (\*\*\*\*p < 0.0001; one-way ANOVA; Bonferroni post-hoc test; n = 16) as against the AAV-Shp2 KD retinas that were rescued under similar conditions (\*\*\*p < 0.001; one-way ANOVA; Bonferroni post-hoc test; n = 16). AAV-Sc treated Cav-1+<sup>4</sup> retinas demonstrated reduced loss of pSTR amplitudes compare to WT (\*p < 0.05, Cav-1+<sup>4</sup> and Cav-1+<sup>4</sup> microbead) and Shp2 knockdown did not induce a protection of the retinal function.



The potential protective effects of Shp2 silencing on the inner retinal function were also investigated in acute ocular hypertension (AOH) model. In these animals, eyes were subjected to raised IOP of 60 mm Hg for 1 h as described previously and the animals monitored for 2 weeks [58, 59, 69]. Exposure to acutely raised IOP led to loss of pSTR amplitudes in the retinas compared to the control eyes, although the relative decline was greater in WT compared to the Cav-1-/- mice. Of note, in contrast to the chronic glaucoma model, Shp2 silencing in AOH only conferred marginal increase (17% ± 4.11) in pSTR amplitudes in WT retinas ( $40.83 \pm 8.18 \mu$ V WT control; 22.71 ± 6.56  $\mu$ V in WT AOH; 27.65 ± 4.11  $\mu$ V in WT AOH+Shp2 KD) which was not statistically significant (Figure 5A, C). Similarly, Shp2 silencing did not translate into any significant protection in Cav-1<sup>-/-</sup> animals (30.56  $\pm$  3.19  $\mu$ V Cav-1<sup>-/-</sup> control; 20.48 ± 4.31 µV in Cav-1<sup>-/-</sup> AOH; 21.09 ± 6.22 µV in Cav-1<sup>-/-</sup> AOH+Shp2 KD) (Figure 5B, C). These results suggested that inner retinal injury induced by acute IOP elevation is independent of Shp2 modulatory effects.

We further examined whether functional rescue of Shp2 silencing in WT mice also translated into structural protection. Histological analysis using H&E staining of retinal sections demonstrated that while a significant decline in GCL density was identified in WT chronic glaucoma mice that were administered AAV scramble construct (\*\*\*\*p < 0.0001; one-way ANOVA; Bonferroni post-hoc test; n = 8), GCL density was significantly preserved in the group subjected to Shp2 inhibition (\*\*p < 0.01; one-way ANOVA; Bonferroni post-hoc test; n = 8) (Figure 6A, B). The Cav-1<sup>-/-</sup> mice retinas exposed to chronically elevated IOP were relatively unaffected as a consequence of Shp2 silencing (\*p < 0.05; one-way ANOVA; Bonferroni post-hoc test; n = 8). No protective effects were observed upon Shp2 inhibition in GCL loss in acute glaucoma model (Figure 6C, D).

This was followed by Brn3a immunostaining of the retinal sections [70,71] and the results (Figure 7) revealed that there was a significant decrease in Brn3a positive cells in WT mice in chronic glaucoma (\*\*\*\*p < 0.0001; one-way ANOVA; n = 6) which was rescued under Shp2 KD conditions (\*\*p < 0.01; one-way ANOVA; n = 6 (Figure 7A, B). Cav-1<sup>-/-</sup> mice, however, did not demonstrate significant differences in Brn3a positive cell population in GCL after Shp2 suppression (\*p < 0.05; one-way ANOVA; n = 6) (Figure 7A, B). In the acute model of IOP increase, Brn3a positive ganglion cells were reduced in both WT or Cav-1-/- (Figure 7C, D) mice and suppression of Shp2 was not effective in imparting protection to the Brn3a positive cells in either WT or Cav- $1^{-/-}$  mice (n = 6).

# Shp2 silencing rescued BDNF/TrkB signalling under chronically elevated IOP

Shp2 has been demonstrated to antagonise TrkB activity in the RGCs under stress conditions and this corresponded with hyperphosphorylation of Cav-1

protein [29, 38]. Herein we demonstrate that TrkB phosphorylation was significantly reduced  $(1.9 \pm 0.62)$ fold) in WT mice upon exposure to chronic IOP elevation as indicated by western blotting and immunofluorescence analysis (\*\*p < 0.01, one-way ANOVA, n = 6) (Figure 8A, F). This decline in TrkB phosphorylation corresponded with an increase in pShp2 levels (Figure 8C, G) (\*p < 0.05, one-way ANOVA, n = 6). In Shp2 knockdown subjected retinas, TrkB phosphorylation was observed to be significantly enhanced (\*p < 0.05, one-way ANOVA, n = 6). In comparison, while we observed a significant reduction in pTrkB levels in Cav-1-/- mice following exposure to chronically elevated IOP, (\*p < 0.05, one-way ANOVA, n = 6), this loss was not rescued in response to Shp2 suppression. These results together indicate that Shp2 effects on TrkB phosphorylation are dependent on Cav-1 phosphorylation under chronic glaucomatous stress conditions. The expression of Cav protein did not reveal any changes under any of the conditions (Figure S4). This immunofluorescence staining of retinal sections also confirmed the absence of this protein in Cav-1 knockout retinas. Brn3a co-immunostaining revealed

that pTrkB (Y<sup>515</sup>), pShp2 (Y<sup>542</sup>) and pCav-1 (Y<sup>14</sup>) were expressed in the RGCs (Figure S2).

BDNF/TrkB signalling and pShp2 The expression changes were also monitored in WT and Cav-1-/- mice in the acute model of IOP increase subsequent to AAV-mediated Shp2 downregulation. Immunostaining performed with anti-pTrkB (Y515), anti-pShp2 (Y542) and anti-pCav-1 Y14 antibodies in IF and WB revealed that there was a significant decline in pTrkB levels in both WT and Cav-1 deficient retinas after acute IOP exposure (\*p < 0.05, one-way ANOVA, n = 6), however no significant improvement in the status of TrkB phosphorylation was observed in either of these groups subsequent to Shp2 silencing (Figure 9 A, B and F). pShp2 Y<sup>542</sup> levels were significantly increased in the retina in chronic glaucoma conditions in WT mice (Figure 8C, G). This increase was not evident in Cav-1-/- mice in chronic model (Figure 8D, G) and was also not observed in either WT or Cav-1-/mice in acute ocular hypertension model (Figure 9 C, D and G). A comparable decrease in pShp2 levels were observed in response to Shp2 silencing in each of the WT and Cav-1<sup>-/-</sup> models (Figure 8, 9).



Figure 6: Shp2 silencing protected inner retinal laminar structure in WT mice in chronic but not acute glaucoma model (A, B) H and E staining of WT and Cav-I null retinas two months after chronic high IOP and (C) 2 weeks following exposure to acute IOP (Scale bar = 50  $\mu$ m). (B) Quantification of GCL density revealed that under chronically elevated IOP, a significant reduction occurred in WT (\*\*\*\*\*P < 0.0001, one-way ANOVA, n = 8), and Cav-I ablated mice (\*p < 0.05, one-way ANOVA, n = 8). Upon subjecting the RGCs to AAV-Shp2 KD, significant protection of GCL density was observed in WT (\*p < 0.05, one-way ANOVA, n = 8) compared to Cav-I-t<sup>4</sup> group. (D) H and E staining also indicated a significant loss in GCL density in acute high IOP (\*\*P < 0.01, WT; \*p < 0.05, Cav-I-t<sup>4</sup>. one-way ANOVA, n = 8) while downregulation of Shp2 resulted in no significant change in either WT or Cav-I-t<sup>4</sup>.



Figure 7: Shp2 expression knockdown partially rescued IOP-induced loss of Brn3a positive cells in WT mice. (A, C) Retinal sections immunostained with RGC marker Brn3a, two months following chronic and 2 weeks after acute glaucoma exposure (Scale bar =  $50 \mu m$ ). (B, D) WT retinas indicated a higher Brn3a<sup>+</sup> loss following chronic high IOP exposure compared to the Cav-I KO mice (\*\*\*\*p < 0.001, WT; \*p < 0.05, Cav-I<sup>+</sup>. one-way ANOVA, n = 8). This loss was significantly diminished (\*\*p < 0.01, one-way ANOVA, n = 8), in the WT group administered AAV-Shp2 KD construct compared to Cav-I<sup>+</sup>. animal retinas where no significant changes were observed under similar conditions.



Figure 8: Reduced levels of pTrkB in chronic glaucoma model were mitigated upon Shp2 silencing. (A-D) Retinal sections immunostained with pTrkB (A, B and pShp2 (C, D) in WT and Cav-1+' retinas 2 months following chronic IOP elevation. DAPI was used for staining the nuclei. (Scale bar = 50  $\mu$ m). (E) WB analysis of pTrkB and pShp2 levels in retinal ONH lysates. (F) Densitometric analysis indicated markedly reduced pTrkB immunoreactivity following chronic IOP elevation in WT and Cav-1+' GCL (\*\*p < 0.01, WT, \*p < 0.05, Cav-1+' one-way ANOVA, n = 6) which was concurrent with increased pShp2 (G) in WT group (\*p < 0.05, one-way ANOVA, n = 6). Shp2 silencing protected against pTrkB decline (G) (\*p < 0.05, one-way ANOVA, n = 6) in WT mice while no significant effects on pTrkB levels were observed in Cav-1 deficient retinas following similar levels of Shp2 KD.



Figure 9: Reduced pTrkB levels in acutely elevated IOP condition was independent of Shp2 silencing effects. (A-D) IF images of retinal sections subjected to immunostaining with pTrkB (A, B) and pShp2 (C, D) in WT and Cav-1-/- retinas two weeks following acute IOP exposure (Scale bar = 50  $\mu$ m). DAPI used for staining the nuclei. (Scale bar = 50  $\mu$ m). (E) Western blot indicating pTrkB and pShp2 levels in high IOP exposed retinal lysates. (F) TrkB receptor significantly lost its phosphorylation following acute IOP elevation in WT or Cav-1 null retinas (\*p < 0.05, one-way ANOVA, n = 6). (G) pShp2 levels were significantly reduced in AOH model in Shp2 KD conditions.

# Shp2 knockdown reduced glaucoma induced apoptosis in a Cav-1 dependent manner

Several lines of evidence suggest that glaucoma is marked by increased apoptosis in the RGCs [16, 26]. TUNEL apoptotic staining was performed on the retinal sections of WT and Cav-1-/- KO animals in both chronic and acute models of elevated IOP. A significantly increased TUNEL positive cell population was observed in WT mice that were subjected to microbead induced IOP increase (\*\*\*\*p < 0.0001; one-way ANOVA, Bonferroni post-hoc test; n = 8) (Figure 10A) compared to Cav-1 KO mice under similar conditions (\*p < 0.05; one-way ANOVA; Bonferroni post-hoc test; n = 8) (Figure 10B). Statistical analysis further revealed that Shp2 suppression substantially alleviated apoptotic changes in WT mice (1.8 ± 0.3-fold; \*\*p < 0.01; one-way ANOVA; Bonferroni post-hoc test; n = 8) (Figure 10C). In comparison, no evident effects of Shp2 suppression on TUNEL apoptotic activation were observed in Cav-1-/mice group (Figure 10D).

Increased TUNEL staining was also observed in acute ocular hypertension model, in both WT and Cav-1<sup>-/-</sup> mice groups although Cav-1<sup>-/-</sup> retinas displayed less increase in TUNEL positive cells in GCL in glaucoma (\*p < 0.05; one-way ANOVA; Bonferroni post-hoc test; n = 8) (Figure 10 F, G) when compared to the WT retinas (\*\*\*p < 0.001; one-way ANOVA; Bonferroni post-hoc test; n = 8) (Figure 10 E, G). Interestingly, when WT and Cav-1<sup>-/-</sup> mice were subjected to Shp2 KD in the acute model of increased IOP, no significant loss of TUNEL staining was observed.

The apoptotic pathway changes were further using cleaved caspase-3 evaluated (c-casp3) immunostaining under both chronic and acute experimental glaucoma conditions. Retinal imaging revealed that intensity of c-casp3 apoptotic marker staining in GCL was much higher in WT retinas following both chronic and acute models of elevated IOP when compared to Cav-1<sup>-/-</sup> mice (\*\*\*\*p < 0.0001; one-way ANOVA; n = 8) (Figure 10B, D, F, H). Parallel to the TUNEL staining results, Shp2 suppression under chronically elevated IOP resulted in significantly fewer caspase-3 positive cells in WT mice (\*\*\*\*p < 0.0001, AAV-SC WT vs \*p < 0.05, AAV-Shp2 KD WT; one-way ANOVA; Bonferroni post-hoc test; n = 8) (Figure 10 D). No significant differences in c-casp3 staining were observed in the Cav-1-/- mice in response to Shp2 silencing under similar conditions (Figure 10). Caspase-3 immunoreactivity was further increased in both WT (\*\*p < 0.01, one-way ANOVA; Bonferroni post-hoc test; n = 8) and Cav-1<sup>-/-</sup> (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8) mice in AOH model similar to the TUNEL staining (Figure 10H), but Shp2 KD had no discernible protective effect in either of these groups. Together these results highlight the role of Cav-1 in mediating apoptotic activation as also previously reported in other cells [72, 73] and indicate that loss of this protein could efficiently hinder the induction of cellular apoptotic pathways under glaucomatous stress conditions. Moreover, the protective effects of Shp2 silencing in the RGCs are mediated through Cav-1 and accordingly Cav-1 ablated mice exhibited no protection upon Shp2 suppression in either of the RGC stress models.



**Figure 10:** Shp2 silencing alleviated apoptotic activation in GCL in a chronic model of glaucoma (A, B) Retinal sections immunostained with both cleaved c-casp3 and TUNEL apoptotic markers (Scale bar = 50  $\mu$ m). WT retinas depicted a higher TUNEL\* and c-casp3 immunoreactivity (A) following chronic IOP exposure when compared to their (B) Cav-1 KO counterparts. Significant decline in apoptotic staining and immunoreactivity of c-casp3 was observed subsequent to Shp2 silencing in WT retinas. (C) Quantification of TUNEL\* cells in GCL showed higher apoptotic activity in WT retinas after chronic elevation of IOP (\*\*\*\*\*p < 0.001, one-way ANOVA; Bonferroni post-hoc test; n = 8) which was considerably attenuated in the AAV-Shp2 KD subjected group (\*\*p < 0.01, one-way ANOVA; Bonferroni post-hoc test; n = 8). (D) c-casp3 immunoreactivity in VT retinas subsequent to chronic IOP elevation (\*\*\*\*\*p < 0.0001, one-way ANOVA; Bonferroni post-hoc test; n = 8). (D) c-casp3 immunoreactivity that remained relatively unaltered after shp2 loss (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8). (D) c-casp3 immunoreactivity that remained unaltered in response to Shp2 silencing effects (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8). (D) c-casp3 immunoreactivity that remained unaltered in response to Shp2 silencing (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8). (D) c-casp3 immunoreactivity that remained unaltered in response to Shp2 silencing (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8). (D) c-casp3 immunoreactivity that remained unaltered in response to Shp2 silencing (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8). Cav-1-tretinas exhibited lower c-casp3 was mitigated in the WT group with Shp2 silencing (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 8). (E, F) TUNEL and c-casp 3 staining in WT and Cav-1 ablated retinas following acute model of experimental glaucoma (Scale bar = 50 µm). (G, H) Quantification analysis of TUNEL and c-casp3 staining established that b

# Downregulation of Shp2 prevents loss of integrin $1\beta$ / FAK signalling in the retina in chronic glaucoma conditions

Recent evidence suggests that  $\beta$ 1 integrin and its downstream effector protein focal adhesion kinase (FAK) play as an essential role in modulating RGC survival signalling and neurite growth [50,74]. Shp2 phosphatase and Cav-1 are involved in regulating integrin signalling with Cav-1 being implicated in mediating integrin endocytosis through which it has been shown to modulate cell migration processes [75, 76]. Shp2 has also been reported to play a role as an upstream regulator of FAK [77]. Our results indicate that integrin/FAK pathway is disrupted in the retina in experimental glaucoma conditions in WT but not in Cav-1<sup>-/-</sup> mice (Figure 11). Immunostaining with anti-ß1 integrin and pFAK (Y397) identified that integrin β1 and its activated downstream intermediate, pFAK, were mainly localised to GCL and INL in retina (Figure 11). A much higher expression of  $\beta$ 1 integrin receptor in WT retinas was observed in normal retina compared to the high IOP conditions and this expression was much increased in the WT compared to Cav-1<sup>-/-</sup> animals (Figure 11 A, B/ F, G). WB analysis of the ONH lysates further validated these observations and established higher expression of both integrin β1 and pFAK Y<sup>397</sup> markers in WT mice compared to the Cav-1 ablated retinas (Figure 11 C-E / H-J). A remarkable decline in both  $\beta$ 1 Integrin (\*\*p < 0.01, chronic; \*\* p < 0.01, acute high IOP; one-way ANOVA; Bonferroni post-hoc test; n = 6) and pFAK levels in WT retinas (\*\*p < 0.01, chronic; \* p < 0.05, acute high IOP; one-way ANOVA; Bonferroni post-hoc test; n = 6) was observed upon exposure to high IOP (Figure 11 I, J). No significant differences in the expression of these proteins were observed in Cav-1-/- mice retinas. These observations highlight that Cav-1 may be involved in regulating integrin  $\beta$ 1/ FAK signalling in the retina under both normal and glaucomatous stress conditions. We further investigated the effects of Shp2 silencing on the integrin  $1\beta$ / FAK signalling and noticed that AAV mediated Shp2 phosphatase silencing protected against the loss of FAK activity in chronically elevated IOP retinas (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6). This protection was not evident in Cav-1<sup>-/-</sup> mice under similar conditions and was also not observed in the WT and Cav-1-/- mice under acutely elevated IOP conditions. These observations suggest that protective effects of Shp2 silencing could potentially be mediated through its regulatory effects on FAK signalling in glaucoma. These results corresponded with previous observations indicating Shp2 role in regulating cell motility via FAK shp2 [78]. Further, signalling pathways downregulation did not induce any alterations in integrin  $\beta$ 1 expression in either chronic or acute IOP exposed retinal samples (Figure 11).

#### Discussion

Shp2 has been demonstrated to regulate neurotrophin signaling pathways through its

Cav-1<sup>-/-</sup> Control

association with TrkA and TrkB tyrosine kinase receptor molecules [28, 29, 79]. Neurotrophic factors, particularly BDNF and its high affinity receptor TrkB play critical roles in protecting retinal ganglion neurons during normal physiological conditions and under various forms of stress [80, 81]. Previous research has implicated that Shp2 functions as a critical regulator of BDNF/TrkB survival signalling in the RGCs as well as in other neuronal cells [28, 29, 38, 39]. Shp2 interaction with TrkB in the retinal neurons is mediated through the adaptor protein Cav-1 [29]. Cav1/ Cav2 gene locus variants have been reported to be associated with primary open angle glaucoma pathogenesis [46, 47]. However, little is known about the functional and biochemical impact of Shp2 and Cav-1 interactions in the RGCs in vivo either under normal or stress conditions. Here we modulated Shp2 expression using intravitreal AAV administration and studied its impact on the retina using a combination of functional, biochemical and anatomical changes in the WT and Cav-1<sup>-/-</sup> mice under normal physiological and experimental glaucoma conditions.



Cav-1-/- AAV-SC ZZZ Cav-1-/- MB+SC

Cav-1<sup>-/-</sup> MB+Shp2 KD



Figure 11: Effects of Shp2 modulation on integrin  $\beta 1/FAK$  expression in WT and Cav-1 retinas. Immunofluorscence analysis of the retinal sections demonstrating integrin  $\beta$  land its downstream effector FAK distribution within the retina in WT (A) and Cav-1 deficient (B) mice (Scale bar = 50 µm). (C) ONH lysates probed for integrin and phospho-FAK (Y<sup>397</sup>) using their specific antibodies with  $\beta$ -actin as loading control. (D, E) ANOVA Multiple analysis using Bonferroni post-hoc test revealed that a there was decline in integrin  $\beta 1$  (\*\*p < 0.01, one-way ANOVA; Bonferroni post-hoc test; n = 6) in WT retinas after exposure to chronic IOP stress. (E) Loss of FAK phosphorylation in WT animals was significantly protected upon subjecting retinas to AAV-Sho? XD (\*\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6). No significant differences were detected in the level of integrin  $\beta 1$  expression (D) or FAK activity (E) in Cav-1 deficient retinas under similar conditions. (F, G) Immunohistochemical images of the retinal sections stained integrin  $\beta 1/FAK$  expression following acute model of High IOP (Scale bar = 50 µm). (H-J) Immunoblotting and densitometric quantification indicated that similar to chronic type of high IOP, in acute IOP elevation there was significant decline in integrin  $\beta 1$  (\*\*p < 0.01, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and pFAK (\*p < 0.05, one-way ANOVA; Bonferroni post-hoc test; n = 6) (I) and p

A major finding of this study was that while AAV-mediated Shp2 upregulation was detrimental to the inner retinal integrity, these effects were dependent on Cav-1. Moreover, results showed that genetic ablation of Cav-1 protein imparted protection against the inner retinal functional deficits in experimental glaucoma. Shp2 upregulation using AAV2 transduction resulted in blunting of pSTR amplitudes in WT retinas when compared to that of Cav-1<sup>-/-</sup> mice (Figure 1 C). This specific loss of pSTR amplitudes in WT and not in Cav-1-/- mice implied that Cav-1 ablation in retina was protective against Shp2 expression induced degenerative changes. This is in accordance with our previous observations in neuroblastoma where Shp2 upregulation cells resulted in cell growth inhibition while

pharmacological inhibition of phosphatase using PHPS1 restored TrkB receptor activity and promoted neuronal cell survival [39, 82]. Shp2 was also shown to negatively regulate TrkB, sensitizing the neurons to Ca<sup>2+</sup>-induced excitotoxicity damage whereas its deletion relieved TrkB and promoted neuronal cell survival [28].

In the retina, inner retinal electrophysiological observations correlated well with the biochemical and structural alterations with Shp2 upregulation resulting in loss of TrkB phosphorylation and thinning of GCL. Shp2 actions on TrkB have previously been suggested to be mediated through adapter protein Cav-1. Accordingly, we observed that Cav-1-/- mice were protected against the loss of TrkB

activation and GCL thinning induced by AAV induced Shp2 upregulation (Figure 2 and 3).

Further, we examined the effects of Shp2 silencing on functional, biochemical, and laminar structural aspects of the inner retina in WT and Cav-1 deficient mice under both chronic and acute experimental high IOP conditions. While Shp2 silencing rescued pSTR amplitude impairment observed in chronic IOP conditions in WT mice (Figure 4B), it did not protect the Cav-1-/- retinas emphasizing that Shp2 effects are mediated through the Cav-1 (Figure 4B). A noticeable decline in TrkB phosphorylation  $(1.9 \pm 0.6 \text{ fold})$  in GCL in WT but not in Cav-1-/- retinas was observed following chronic IOP elevation (Figure 8A, B, F). This corresponded with an increased Cav-1 Y14 phosphorylation in chronically elevated IOP conditions (Figure S4). Shp2 encompasses an SH2 domain that obstructs its phosphatase activity under resting state [83], while its interactions with tyrosine-phosphorylated partners unlocks its auto-suppressing function [31, 84]. Cav-1 protein is recognized for its adapter functions, and its phosphorylation contributes to SH2-domaincontaining signalling intermediates, providing a docking site to recruit these motifs in the membrane thereby reconciling various signaling pathways [77, 85-87]. Cav-1 may help anchor Shp2 SH2 motifs to the membrane microdomains [88, 89] and translocate a pool of phosphatase into the proximity of its substrate TrkB. The vicinity of two proteins and activated Shp2 phosphatase can then catalyze TrkB dephosphorylation and deactivate it. This increased Cav-1 phosphorylation in glaucomatous stress has previously been shown to enhance its interaction with the SH2 domain of Shp2 and relieve its autoinhibition [29]. In addition, exposure to  $H_2O_2$  induced oxidative stress manifests Shp2 phosphorylation in astrocytes and under these conditions Shp2 formed a complex with the Cav-1 protein [68, 90]. Shp2 phosphatase was further shown to reciprocally co-immunoprecipitate with Cav-1 protein in RGCs under stress conditions [29]. Taking that into consideration, Cav-1-mediated negative regulatory effects of Shp2 on TrkB activation might explain why direct BDNF/TrkB gene therapy has yielded only transient protection of RGCs in previous studies [80, 81, 91, 92]. While BDNF/ TrkB activation is protective, simultaneous Shp2 activation in optic nerve injury models is likely to deactivate TrkB and limit beneficial effects of BDNF induced TrkB activation.

Correspondingly, the effects of Shp2 ablation on retina were also studied in an acute model of increased IOP [93, 94]. Acute IOP increase resulted in significant diminution of pSTR amplitudes. Our findings were consistent with Kong et al. (2009) who demonstrated remarkably reduced pSTR amplitude at day 7 following elevated IOP exposure indicating the sensitivity of retinal ganglion neurons to spikes of IOP [95]. However, in contrast to the chronic IOP model, inhibiting phosphatase expression did not impart significant protection against retinal functional and structural decline in either WT or Cav-1 null mice (Figure 5A-C). These data establish that inner retinal injury attributable to acute elevation of IOP is independent of Shp2 and Cav-1 effects. Intriguingly, loss of TrkB phosphorylation was evident in the acute IOP model indicating Shp2/ Cav-1 independent mechanisms that might be involved in regulating TrkB phosphorylation and establishing intrinsic differences in intracellular response to the two different categories of IOP stresses (Figure 9 F, G).

Both chronic and acute glaucoma models are shown to be associated with apoptotic activation in the inner retina. Shp2 silencing indeed resulted in reduced TUNEL positive cells in the retinas of WT mice in the chronic microbead model but no significant protective effects were evident in the acute IOP exposure model (Figure 10 A, E). Cav-1 null retinas although having a significant lower abundance of TUNEL positive cells under either of these glaucoma conditions, did not show any additional significant change in apoptotic activity upon Shp2 silencing (Figure 10 B, F). The apoptotic response was also investigated by probing for cleaved caspase-3 immunoreactivity. Widespread caspase 3 dependent apoptotic pathway activation has been described in the RGCs in experimental glaucoma conditions [96, 97]. We discovered a marked increase in cleaved casp3 immunostaining in the GCL subsequent to IOP elevation in both the groups which reduced upon was significantly AAV-Shp2 shRNAmir administration. These results corroborated retinal TUNEL assay and histological observations that demonstrated decline in GCL density. Shp2 knock down has previously been shown to partially protect BDNF-treated cerebellar and SH-SY5Y neuronal cells against stress [28, 39]. Using Cav-1-/mice, here we have provided evidence that these anti-apoptotic effects of Shp2 silencing in chronic glaucoma conditions are dependent on Cav-1 protein. Cav-1 is also well expressed in other retinal cells such as Muller glial cells during development and in the adult retina. In this study, we have specifically modulated Shp2 expression in the ganglion cells using AAV, but it is possible that similar Shp2-Cav-1 interactions also occur in muller glial cells and other retinal neurons, which could indirectly impart protection to the ganglion cells.

Cav-1 has hitherto been shown to play pivotal role in maintaining integrin trafficking and regulating

intracellular FAK signalling in myofibroblasts. Decline in Cav-1 expression was instrumental in loss of integrin endocytosis and disruption of ECM remodelling [75, 76]. Integrin receptor family, particularly B1 isoform, is implicated in neuronal cell development, and cell migration, growth and motility through binding to extracellular matrix proteins and subsequent activation of various signalling pathways [52,98]. Integrins are heterodimeric molecules that comprise  $\alpha$  and  $\beta$  subunits with each type responding through interactions with different ECM ligands in signalling-specific manner [56]. B1 integrin has specifically been implicated in regulating ganglion cell neurite outgrowth in the developing chick and mice retinas.  $\beta 1$  integrin and its major downstream intermediate tyrosine protein kinase FAK are ubiquitously expressed throughout the retina with distinctively high immunoreactivity in GCL [50,53,99]. This study identified a significantly reduced expression of  $\beta 1$  integrin and FAK activity in Cav-1<sup>-/-</sup> mice retinas (Figure 11). The results signify that Cav-1 is indispensable for regulation of integrin/FAK signalling pathway and accordingly ablation of Cav-1 led to negative regulation of this pathway in the RGCs (Figure 11 B, G). The findings advocate a key role of Cav-1 adaptor protein in helping to maintain integrin receptor expression and functional activity [75]. A significant decline in both β1 integrin immunoreactivity and its downstream pFAK activation in GCL was more evident in WT upon IOP elevation than in Cav-1-/- mice retinas (Figure 11A-J). These results substantiate prior findings by Santos et al (2012) that demonstrate loss of integrin, FAK and Akt activation in RGCs following retinal ischemia reperfusion injury. Laminin, which is one of the major ECM components was effective in rescuing RGCs under these conditions and promoted integrin signaling [50]. Enhanced FAK activation was also shown to correlate with reduced apoptotic pathway activation in RGCs exposed to high IOP and loss of pFAK accompanied the exacerbation of RGC death [50,100]. This study has made a novel observation that downregulation of Shp2 expression in GCL under chronic glaucomatous conditions could rescue integrin/FAK survival signalling as detected by enhanced FAK phosphorylation (Figure 11 C, E). No such protection was evident in Cav-1-/- mice implying that Shp2 regulatory effects on pFAK signalling were mediated through the actions of Cav-1 adapter protein. The study has utilized global Cav-1-/mice in assessing retinal changes, which could have possible off-target effects such as through compromised blood retinal barrier and in this regard future investigations using ganglion cell specific conditional Cav-1<sup>-/-</sup> mice will be valuable to

understand disease mechanisms [101]. Shp2 has previously been suggested to be an upstream regulator of FAK which synchronizes its dephosphorylation and was shown to induce attenuation of integrin-mediated Semaphorine-4D hippocampal response in neurons during development [102]. Other reports have also suggested Shp2 as a critical component of integrin/ FAK signalling through which it stimulates a MAPK response [103,104]. In summary, this study provides compelling evidence for the overarching role of Shp2-Cav-1 signaling axis in the inner retina in regulating TrkB and pFAK signaling as well as in preserving the integrity of inner retinal function and neuronal layers in chronic glaucoma conditions by suppressing apoptotic processes. We have provided molecular, functional and structural evidence that Shp2 upregulation in the inner retina is detrimental. However, Cav-1-/- mice were more resistant to the unfavorable effects of an increase in phosphatase expression. In a similar manner, Shp2 silencing in chronic glaucoma model using AAV gene therapy was effective in protecting the retina in a Cav-1 dependent manner. These findings establish the molecular mechanisms underlying Shp2 role in glaucoma pathogenesis and highlight Shp2 as potential target for neuroprotective therapy in glaucoma and potentially other neurodegenerative disorders.

# Abbreviations

AOH: Acute ocular hypertension; BDNF: Brain derived neurotrophic factor; ERG: Electroretinogram; FAK: Focal adhesion kinase; GCL: Ganglion cell layer; H&E: Haematoxylin and eosin; INL: Inner nuclear layer; IOP: Intraocular pressure; IPL: Inner plexiform layer; pSTR: Positive Scotopic threshold response; RGCs: Retinal ganglion cells; Shp2: SH2 domain-containing tyrosine phosphatase-2; TrkB: Tropomyosin receptor kinase B.

# **Supplementary Material**

Supplementary figures. http://www.thno.org/v11p6154s1.pdf

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### **Ethics approval**

All the animal experimental work performed in the current study was approved by the Macquarie

University Animal Ethics Committee in Australia.

#### **Competing Interests**

The authors have declared that no competing interest exists.

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