

Research Paper

Requirement of *Gai1* and *Gai3* in interleukin-4-induced signaling, macrophage M2 polarization and allergic asthma response

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Received: 2020.11.24; Accepted: 2021.01.28; Published: 2021.03.04

Abstract

IL-4 induces Akt activation in macrophages, required for full M2 (alternative) polarization. We examined the roles of *Gai1* and *Gai3* in M2 polarization using multiple genetic methods.

Methods and Results: In MEFs and primary murine BMDMs, *Gai1/3* shRNA, knockout or dominant negative mutations attenuated IL-4-induced IL4R α endocytosis, Gab1 recruitment as well as Akt activation, leaving STAT6 signaling unaffected. Following IL-4 stimulation, *Gai1/3* proteins associated with the intracellular domain of IL-4R α and the APPL1 adaptor, to mediate IL-4R α endosomal traffic and Gab1-Akt activation in BMDMs. In contrast, gene silencing of *Gai1/3* with shRNA or knockout resulted in BMDMs that were refractory to IL-4-induced M2 polarization. Conversely, *Gai1/3*-overexpressed BMDMs displayed preferred M2 response with IL-4 stimulation. In primary human macrophages IL-4-induced Akt activation and Th2 genes expression were inhibited with *Gai1/3* silencing, but augmented with *Gai1/3* overexpression. In *Gai1/3* double knockout (DKO) mice, M2 polarization, by injection of IL-4 complex or chitin, was potently inhibited. Moreover, in a murine model of asthma, ovalbumin-induced airway inflammation and hyperresponsiveness were largely impaired in *Gai1/3* DKO mice.

Conclusion: These findings highlight novel and essential roles for *Gai1/3* in regulating IL-4-induced signaling, macrophage M2 polarization and allergic asthma response.

Key words: IL-4; *Gai1/3*; M2 polarization; allergic asthma response; signaling

Introduction

In response to environmental stimuli, macrophages are polarized into pro-inflammatory M1 (classical) and immunomodulatory M2 (alternative) subtypes [1, 2]. Lipopolysaccharide (LPS), interferon- γ (IFN- γ) and other stimuli lead to M1 activation, inducing pro-inflammatory cytokine

production and inflammatory responses [1, 2]. Alternatively, the Th2 cytokine IL-4 elicits M2 macrophage production, inducing helminthic immunity, allergy, and other immunomodulatory activities [3-7].

M2 polarization is driven by two major signaling

pathways, JAK1/STAT6 and PI3K/AKT, following IL-4 receptor (IL-4R) stimulation of macrophages. Activation of IL-4 receptor (IL-4R) on the surface of macrophages is required for the activation of STAT6 (signal transducer and activator of transcription 6), a key transcription factor for M2 polarization [8, 9]. In response to IL-4, STAT6 and nuclear receptors, including peroxisome proliferator-activated receptor (PPAR γ) and PPAR δ , are activated in M2 macrophages [10, 11]. This increases expression of the prototypic M2 marker *Arginase-1*, promoting L-arginine-L-ornithine exchange, polyamine synthesis and tissue repair [12]. M2 macrophages also show significantly upregulated C-type lectins, mannose receptor, chitinase family proteins, resistin-like molecules, and interleukin-10 (IL-10), to exert immunomodulatory functions [1, 2]. However, the underlying molecular mechanisms of M2 polarization remain unclear.

Recent studies have highlighted a pivotal role for Akt-mTOR signaling in M2 polarization [13, 14]. IL-4-induced Akt activation, independent of STAT6 signaling, is required for full M2 activation [14]. Akt inhibition significantly inhibited IL-4-induced M2 polarization in macrophages [14]. Conversely, Akt activation following mTOR inhibition rescues defective M2 polarization [13].

The present study examined the roles of *Gai1* and *Gai3* (*Gai1/3*) in mediating IL-4-induced Akt-mTOR signaling. *Gai1/Gai3* are heterotrimeric G proteins that play critical roles in mediating the PI3K-Akt-mTOR and Erk signaling pathways to serve non-canonical functions for signal transduction of multiple receptor tyrosine kinases (RTKs) [15-19]. The inhibitory subunit of the heterotrimeric guanine nucleotide-binding proteins, *Gai* proteins, have three primary members, *Gai1*, *Gai2*, and *Gai3* [20]. The coupling of *Gai* proteins with G protein coupled receptors (GPCRs) can repress adenylate cyclase (AC) activity to suppress cyclic AMP (cAMP) production [20]. Following stimulation of RTKs, *Gai1/3* are recruited to mediate PI3K-Akt-mTOR and Erk signal transduction [15-19]. Our results here indicate that *Gai1/3* are required for IL-4-induced Akt signaling activation and M2 polarization in macrophages.

Methods

Ethics

Protocols of this study were approved by the Ethics Committee of Soochow University.

Materials and reagents

IL-4, puromycin, pertussis toxin (PTX) and polybrene were purchased from Sigma-Aldrich (St.

Louis, Mo). Fetal bovine serum (FBS) and other reagents for cell culture were purchased from Gibco BRL (Grand Island, NY). From Cell Signaling Tech (Shanghai, China) and Santa Cruz Biotech (Santa Cruz, CA) the antibodies were purchased. The constitutively active Akt1 (caAkt1) adenovirus ("Ad-caAkt1") was reported early [21].

Mouse embryonic fibroblasts (MEFs)

As reported early [15, 16, 18, 19], wild-type (WT), *Gai1* and *Gai3* doubly knockout (DKO), *Gai1*, *Gai2* or *Gai3* single knockout (SKO) MEFs, as well as WT and Grb2-associated binder-1 (*Gab1*) KO MEFs were cultured in FBS-containing DMEM medium. MEFs were starved in 0.5% FBS medium overnight and 30 min in warm PBS before any treatment.

Murine BMDMs

The bone marrow of WT and *Gai1/3* DKO mice [15, 16] were flushed by complete RPMI medium (with FBS), with the resulting cell pellets resuspended in ACK hypotonic buffer. The remaining bone marrow cells were washed with complete RPMI medium, and cultured in RPMI medium with 30% L-929 cell medium [22]. Within 8-10 days the adherent primary bone marrow-derived macrophages (BMDMs) were trypsinized, washed and re-plated for the further experimental usage.

Gai1/3 shRNA

At 100,000 cells per well, MEFs or BMDMs were seeded into six-well tissue culture plates, and *Gai1* shRNA lentivirus and/or the *Gai3* shRNA lentivirus [15, 16] were added. The culture medium was replaced with fresh puromycin-containing culture medium every two days, until resistant colonies were formed (10-12 days). In stable cells *Gai1/3* knockdown (over 90% knockdown efficiency) was verified by Western blotting and quantitative real-time PCR (qPCR).

CRISPR/Cas9 knockout of *Gai1* and *Gai3*

The lentiviral CRISPR/Cas-9 *Gai1* KO construct and lentiviral CRISPR/Cas-9 *Gai3* KO construct were designed and purchased from Shanghai Genechem (Shanghai, China) [15], transfected into MEFs/BMDMs, and selected with puromycin. Control cells were treated with the empty vector with control sgRNA (Santa Cruz Biotech). In stable cells *Gai1/3* knockout was confirmed by Western blotting and qPCR.

Gai1/3 overexpression or mutation

At 100,000 cells per well, BMDMs or human macrophages were seeded into six-well tissue culture

plates, murine/human *Gai1*-expressing adenovirus (Ad-*Gai1*) and murine *Gai3*-expressing adenovirus (Ad-*Gai3*) [15, 16] were added. Cells were selected by puromycin. Control cells were treated with the empty vector-expressing adenovirus. In stable cells *Gai1/3* overexpression was confirmed by Western blotting and qPCR. The dominate negative *Gai1* construct and the dominate negative *Gai3* construct were described in our previous study, co-transfected to cultured BMDMs [19].

Generation of the *Gai1/3* double knock (DKO) mice

The generation of *Gai1/3* DKO mice by the CRISPR-Cas9 method was described previously [15, 16]. This study was performed in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health. All of the animals were handled according to approved institutional animal care and use committee (IACUC) protocols of Soochow University. The protocols was approved by the Committee on the Ethics of Animal Experiments of Soochow University.

qPCR

The detailed protocols for qPCR by the ABI-7600 Prism equipment and the SYBR Green PCR kit were previously described [15, 23]. mRNA expression of targeted genes was quantified via the the $\Delta\Delta C_t$ protocol. Samples from BMDMs were normalized to hypoxanthine phosphoribosyltransferase (HPRT) and samples from PECs were normalized to the macrophage marker CD68. The primers were reported early [14]. Other primers were synthesized and verified by Genechem Co. (Shanghai, China).

Western blotting and data quantification, co-immunoprecipitation (IP) assay, CCK-8 viability assay, and confocal microscopy were described in detail in our previous studies [16, 18, 19, 24]. For all the Western blotting assay, each lane of a SDS-PAGE Gel was loaded with exact same amount of quantified protein lysates (40 μ g in each treatment), the same set of lysate samples were run in parallel ("sister") gels to test different proteins (same for all Figures).

Plasma membrane fractionation

The detailed protocols for plasma membrane isolation was described previously [15, 16].

Endosome fractions

BMDMs with the applied treatments were harvested and re-suspended in the hypotonic swelling buffer [25], and lysed with 30 strokes in a Dounce homogenizer using a tight pestle, and swelling was stopped by the addition of two fold

homogenization buffer [25]. Lysates were centrifuged to obtain the post-nuclear supernatants, which was further centrifuged [25]. The resulting supernatants were centrifuged, and the pellet solubilized in the homogenization buffer [25]. Insoluble particles were removed by short centrifugation and the supernatant loaded onto a 5-20% continuous OptiprepTM (Sigma-Aldrich), poured using homogenization buffer. The gradient was further centrifuged at 60,000 g for 24h, with total 10 endosomal fractions collected, and proteins precipitated with 12% TCA for 1h. Fractions were centrifuged at 12,000 g for 1h. The protein pellets, combining all ten endosomal fractions, were dissolved in SDS-sample buffer for analysis by Western blotting.

APPL1 overexpression or silencing

Briefly, the full-length mouse APPL1 (provided by Genechem, Shanghai, China) was sub-cloned into the GV248 construct, and transfected into human embryonic kidney 293 (HEK-293) cells, together with the lentivirus packaging plasmids (pCMV-VSVG and pCMV- $\Delta\Delta 9$, Genechem). The generated lentivirus was filtered, enriched and added to cultured BMDMs. Stable BMDMs were then established by culturing in the puromycin-containing medium. The murine APPL1 shRNA lentiviral particles (sc-61981-V) were provided by Santa Cruz Biotech (Beijing, China), added to cultured BMDMs (cultured in polybrene medium). Stable BMDMs were again established by puromycin selection. APPL1 expression was always verified by Western blotting assays in the stable BMDMs.

CRISPR/Cas9 knockout of IL-4R α

The murine IL-4R α CRISPR/Cas9 KO construct (sc-421111) was purchased from Santa Cruz Biotech (Beijing, China). The plasmid was transfected to BMDMs via Lipofectamine 2000 (Thermo-Fisher, Invitrogen, Shanghai, China). Single BMDMs were cultured for two weeks, subjected to screen of IL-4R α KO by qPCR and Western blotting assays. Stable BMDMs with complete depleted IL-4R α were utilized for further experiments.

Expression of IL-4R α

The DNA fragments encoding the full-length mL-4R α or the intracellular domain-depleted mL-4R α (using the described primers [26, 27]) were individually sub-cloned into the XhoI/HiridIII site of the pDC3.1-Flag plasmid (Genechem) to produce pDC3.1-mL-4R α -fused with with Flag construct: IL-4R α -WT-Flag/IL-4R α - Δ IC-Flag. The construct was transfected into HEK-293 cells by Lipofectamine 3000 (Thermo-Fisher, Shanghai, China), together with the lentivirus packaging plasmids (Genechem). The

generated lentivirus was filtered, enriched and added to cultured BMDMs. Stable BMDMs were then established by culturing in the puromycin-containing medium.

IL-4 complex administration

As described [14, 28] IL-4 (Peprotech, Rocky, NJ) was suspended at a concentration of 500 µg/mL and mixed with anti-mouse IL-4 (Abcam, Shanghai, China) at a molar ratio of 2:1 and incubated 2 min. IL-4 complex was then suspended in normal PBS (25µg/mL IL-4 plus 125 ug/mL anti-IL-4). For each mouse 200 µl of IL-4 complex (5 µg IL-4 and 25 µg anti-IL-4) was injected intraperitoneally on day-0 and day-2, and PECs were collected at day-4.

Chitin administration

As described previously [29], chitin was washed and sonicated on ice. The dissolved chitin was filtered and diluted within PBS to a concentration of 4 µg/mL. For each mouse, 800 ng chitin (dissolved in 200 µL PBS) was injected intraperitoneally, after 48h PECs were collected.

Primary human macrophages

Primary human monocyte-derived macrophages (MDM) were provided by Dr. Sun at Shanghai Pulmonary Hospital [30]. Macrophages were obtained from CD14 magnetic bead-selected monocytes [31] from peripheral blood mononuclear cells (PBMCs) of written-informed consent healthy donors [31]. The detailed protocols for primary human macrophage cultivation were previously described [31].

Ovalbumin-induced mouse asthma model

WT or *Gai1/3* DKO mice were sensitized by intraperitoneal injection of ovalbumin (OVA, twice, one week apart, Sigma) [32]. One week following the last sensitization, mice were anesthetized and challenged with OVA or PBS as described [32]. Airway responsiveness, pulmonary inflammation and immunoglobulin synthesis were compared in wild-type and *Gai1/3* DKO mice sensitized and challenged with PBS or OVA. Three days after aspiration challenge, airway responsiveness to intravenous acetylcholine chloride (ACh) administration was determined using the described protocol [32]. The number of inflammatory cells in bronchoalveolar Lavage (BAL) was determined. Lungs were also fixed and subjected to HE staining and Masson staining. Mouse lung tissues were digested and minced as reported [33]. After lysis of red blood cells (RBCs), the dissociated cells were underlaid with 7.5 mL of lymphocyte separation medium (Sigma, Shanghai, China) and cells were centrifuged. From the middle layer the mononuclear

cells were incubated in six-well plates for two hours [33]. Thereafter, the adherent cells were alveolar macrophages.

Statistical analysis

Numerical data and histograms presented were expressed as means ± standard deviation (SD). Comparison between any two groups was by two-tailed unpaired Student *t* test. Multiple group comparison was performed by one-way analysis of variance (ANOVA) with post hoc Bonferroni test (data were all with normal distribution). Values of *P* less than 0.05 were considered statistically significant.

Results

Gai1/3 are required for IL-4-induced Akt-mTOR activation in MEFs

As *Gai1/3* binds to RTKs to mediate downstream signal transduction [15-17, 19], we tested whether *Gai1/3* are important for IL-4-induced signaling. We first compared IL-4 signaling responses in wild-type (WT) and *Gai1* and *Gai3* double knockout (DKO) MEFs [15, 16, 18, 19]. Total IL-4Rα, STAT6, *Gai2* expression, and IL-4-induced STAT6 phosphorylation were unchanged between WT and DKO MEFs (Figure S1A). In contrast, IL-4-induced phosphorylation of Akt (at both Ser-473 and Thr-308), p70S6K1 (“S6K1”, at Thr-389, the indicator of mTORC1 activation [34, 35]) and Erk1/2 (Thr202/Tyr204) were significantly reduced in *Gai1/3* DKO MEFs (Figure S1B). Single knockout (SKO) of *Gai1* or *Gai3* resulted in partial inhibition of Akt, S6K and Erk1/2 phosphorylation in response to IL-4 (Figure 1C), with *Gai3* SKO having a greater effect than *Gai1* SKO in suppressing Akt-mTOR and Erk activation (Figure S1C, quantification). *Gai2* SKO failed to significantly affect IL-4-induced Akt-S6K1 and Erk1/2 phosphorylation in MEFs (Figure S1D).

To confirm that loss of the *Gai1/3* genes were responsible, rescue experiments were performed using an adenovirus *Gai1* construct (“Ad-*Gai1*”, no Tag [15]) or *Gai3* construct (“Ad-*Gai3*”, no Tag [15]) to exogenously express the proteins in the *Gai1/3* DKO MEFs. Following re-expression of *Gai1* or *Gai3*, IL-4-induced phosphorylation of Akt-S6K and Erk1/2 were partially restored in DKO MEFs (Figure S1E). To further substantiate that *Gai1/3* are required for IL-4 signaling, we knocked down *Gai1* and *Gai3* using shRNA (see our previous studies [15, 16]). Consistent with the above results, *Gai1/Gai3* double knockdown blocked IL-4-induced phosphorylation of Akt, S6K and Erk1/2 (Figure S1F). Collectively, these results show that *Gai1/3* are required for IL-4-induced Akt-mTOR and Erk activation in MEFs.

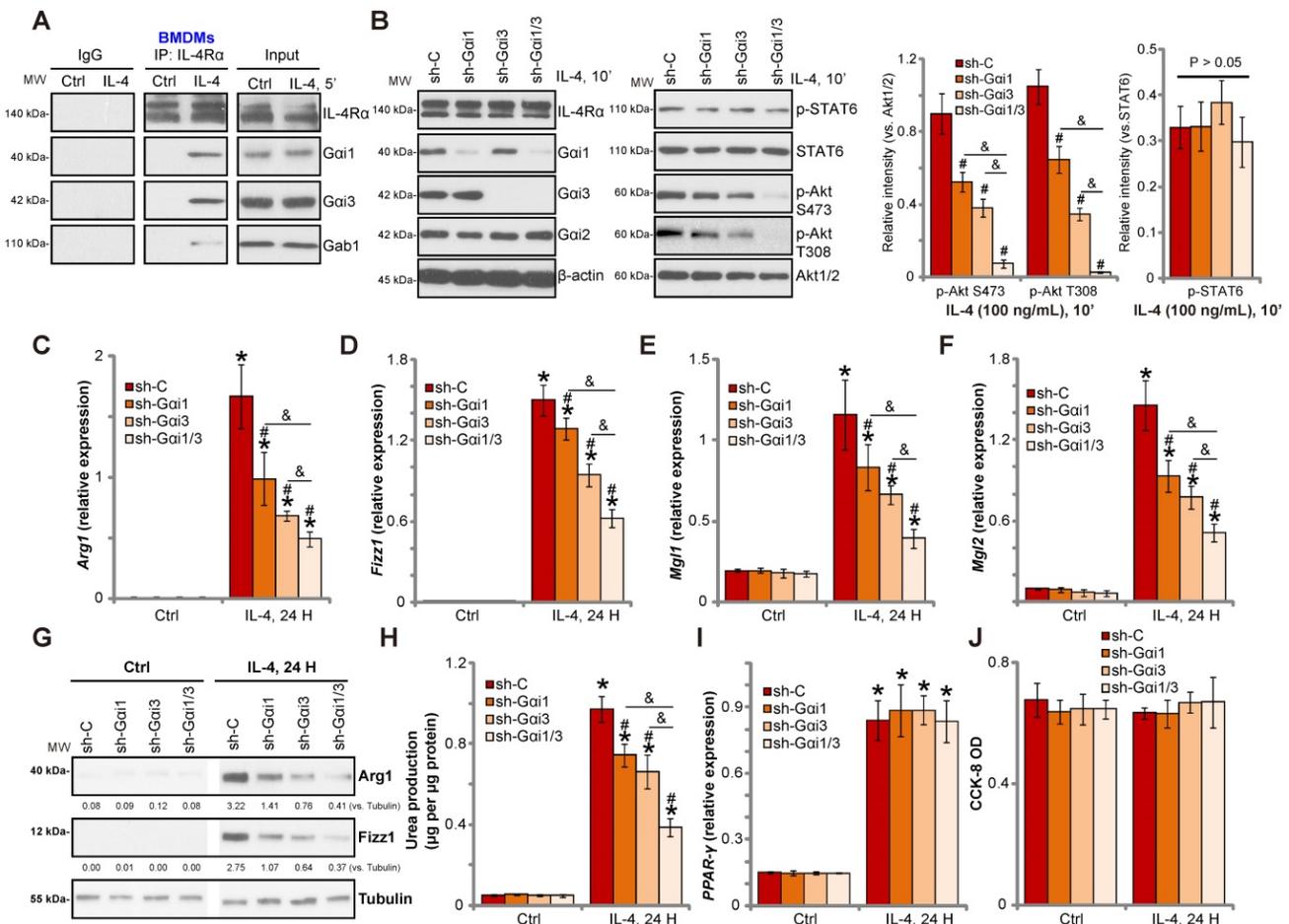


Figure 1. *Gai1/3* knockdown inhibits IL-4-induced Akt activation and M2 polarization in BMDMs. Primary cultured murine bone marrow-derived macrophages (BMDMs) were treated with IL-4 (100 ng/mL) for 5 min, IL-4Rα, *Gai1*, *Gai3* and *Gab1* association was tested by co-immunoprecipitation assay (A); Stable BMDMs, expressing the scramble control shRNA (“sh-C”), *Gai1* shRNA and/or *Gai3* shRNA, were treated with IL-4 (100 ng/mL) for applied time, and were tested by Western blotting of listed proteins (B); Relative expression of listed genes (24h after IL-4 treatment) was shown (C-G, and I); The urea production was also tested (H); Cell viability was tested by CCK-8 assay (J). For qPCR, Urea production and viability assays, in each experiment, n=5 (five replicated wells/dishes). Blotting quantification was performed from five replicate blot data (n=5, same for the blotting data in all Figures). Experiments were repeated three times (Same for all following Figures), data of all repeated experiments were pulled together to calculate mean ±SD (Same for all following Figures). “Ctrl” stands for untreated control. *P < 0.01 vs. “Ctrl” treatment in “sh-C” cells (B-H). #P < 0.01 vs. IL-4 treatment in “sh-C” cells (B-H). &P < 0.01 (B-G).

***Gai1* and *Gai3* are required for IL-4-induced IL-4Rα endocytosis and *Gab1* recruitment/activation**

It has been reported that IL-4 stimulation results in IL-4Rα endocytosis [26, 27, 36]. Our previous studies have found that *Gai1* and *Gai3* are required for the endocytosis of VEGFR2 (the VEGF receptor) [15] and TrkB (the BDNF receptor) [16]. Here, we showed that IL-4-induced IL-4Rα endocytosis was blocked in *Gai1/3* DKO MEFs, indicated by no change in surface IL-4Rα levels (Figure S1G). Furthermore, *Gab1* recruitment to the IL-4R is reported to be essential for downstream Akt signal activation following IL-4 induction [37]. Our results demonstrated that IL-4-induced association between IL-4Rα and *Gab1* was largely inhibited in *Gai1/3* DKO MEFs (Figure S1H), whereas IL-4Rα-JAK1 association, essential for STAT6 activation, remained

intact (Figure S1H).

In further studies, we found that *Gai1/3* DKO blocked IL-4-induced *Gab1* activation (Tyr-627 phosphorylation, Figure S1I). These results implied that *Gai1/3* are essential for IL-4-induced *Gab1* activation, a necessary step for downstream Akt activation [37]. In *Gab1* KO MEFs (see our previous studies [15, 16, 18, 19]), IL-4-induced phosphorylation of Akt, S6K and Erk1/2 was significantly reduced (Figure S1J). Thus, in MEFs *Gai1* and *Gai3* are required for IL-4Rα endocytosis as well as the recruitment and activation of the adaptor protein *Gab1* in response to IL-4.

***Gai1/3* knockdown inhibits IL-4-induced Akt activation and M2 polarization in BMDMs**

To investigate the role of *Gai1/3* in IL-4-induced signaling in macrophages, we stimulated primary murine bone marrow-derived macrophages (BMDMs)

with IL-4. Co-IP results demonstrated that IL-4R α immunoprecipitated with Gai1, Gai3 and Gab1 (Figure 1A). Knock-down of Gai1/3 in BMDMs using Gai1-shRNA lentivirus and/or Gai3-shRNA lentivirus showed that while single knockdown of Gai1 or Gai3 partially inhibited Akt activation, Gai1/3 double knockdown inhibited Akt to a greater extent (Figure 1B). These results indicate that Gai1 and Gai3 are required for IL-4-induced Akt activation in BMDMs.

Previous studies have shown that IL-4 activates Akt and promotes M2 polarization of macrophages [14]. In contrast, inhibition of Akt hinders IL-4-induced expression of M2 polarization markers, including *Arg1*, *Fizz1*, *Mgl2*, and *Mgl1* [14]. As Gai1/Gai3 silencing inhibited IL-4-induced Akt activation, we hypothesized that Gai1 and Gai3 is necessary for IL-4-induced M2 polarization. Examining M2 marker expression by qPCR, we found that IL-4 significantly increased mRNA expression of *Arg1*, *Fizz1*, *Mgl1*, and *Mgl2* in control BMDMs (Figure 1C-F). Significantly, shRNA-mediated knockdown of Gai1 or Gai3 potently inhibited mRNA levels of M2 markers (Figure 1C-F). The combined knockdown of Gai1 and Gai3 resulted in further suppression of IL-4-induced M2 marker expression (Figure 1C-F). In BMDMs IL-4-induced *Arg1* and *Fizz1* protein expression was also inhibited with Gai1 or Gai3 single knockdown (Figure 1G), being more significant with Gai1 and Gai3 double knockdown ("sh-Gai1/3", Figure 1G).

We also assessed arginase-1 activity by measuring urea production, and found that IL-4 stimulation increased activity in BMDMs (Figure 1H). This effect was attenuated by Gai1/3 shRNA (Figure 1H). Interestingly, the deficits in M2 polarization appear to be selective, as induction of PPAR- γ by IL-4 was unaffected in Gai1/3-shRNA BMDMs (Figure 1I). Viability of BMDMs, tested by CCK-8 optical density (OD), was not affected by Gai1/3 shRNA (Figure 1J).

Gai1/3 association with APPL1 mediates IL-4R α internalization and endosomal traffic, essential for IL-4-induced Gab1-Akt-mTOR signalling and M2 responses in macrophages

We have previously shown that Gai1/3 association with receptor tyrosine kinases (RTKs) are essential for their endocytosis and endosomal traffic [15, 16]. Confocal studies in BMDMs demonstrated that following IL-4 stimulation, membrane IL-4R α translocated to signaling endosomes, colocalizing with the early endosomal marker EAA1 (Figure 2A). APPL1 (adaptor containing a pleckstrin homology

(PH) domain, phosphotyrosine-binding (PTB) domain, and leucine zipper motif 1) is required for endocytosis and signal transduction in signaling endosomes [38, 39]. By isolating endosomal fractions [25], we showed that IL-4R α , APPL1, Gai1 and Gai3 were enriched in IL-4-stimulated BMDMs, but not following Gai1/3 silencing (Figure 2B). Thus, Gai1/3 are essential for IL-4R α -APPL1 endosomal trafficking in IL-4-stimulated BMDMs.

Importantly, shRNA-mediated knockdown of APPL1 attenuated IL-4-induced phosphorylation of Gab1, Akt and S6K1 (Figure 2C), similarly to the effect of Gai1/3 double shRNA (Gai1/3 DshRNA). Again, IL-4-induced STAT6 phosphorylation was not significantly affected (Figure 2C). APPL1 shRNA potently inhibited IL-4-induced Gai1, Gai3 and APPL1 endosomal trafficking (Figure 2D). Functional studies showed that IL-4-induced expression of M2 markers, *Arg1*, *Fizz1*, *Mgl1*, and *Mgl2*, were also significantly reduced in APPL1-silenced BMDMs (Figure 2E), whereas the induction of PPAR- γ and cell viability was unchanged by APPL1 shRNA (Figure 2E). Conversely, ectopic overexpression of APPL1 ("OE-APPL1") augmented IL-4-induced Gab1, Akt, and S6K1 phosphorylation without affecting STAT6 phosphorylation (Figure 2F). These results show that APPL1 is a key adaptor protein for IL-4-induced IL-4R α endosomal trafficking and signaling.

The results were further validated using dominant negative (dn) mutants of Gai1 and Gai3 that precluded Gai1/3 binding to adaptor/associated proteins [18, 19] leading to defective M2 responses in BMDMs. As shown dn-Gai1/3 attenuated IL-4-induced IL-4R α -APPL1-Gai1/3 association in BMDMs (Figure 2G). Furthermore, IL-4R α internalization (Figure 2H), endosomal translocation (Figure 2I), and Akt-S6K activation were potently inhibited (Figure 2J). Expression of the M2 markers, *Arg1*, *Fizz1*, *Mgl1*, and *Mgl2*, in IL-4-treated BMDMs was also significantly inhibited by dn-Gai1/3 (Figure 2K). IL-4-induced PPAR- γ expression and viability of BMDMs was unaffected (Figure 2L). These results suggest that in response to IL-4 stimulation, Gai1 and Gai3 associates with IL-4R α and APPL1, resulting in IL-4R internalization and endosomal trafficking, which is essential for Gab1-Akt-mTOR signal transduction and M2 responses in BMDMs.

Gai1/3 bind to the intracellular domain of IL-4R α

IL-4-induced IL-4R α endocytosis is dependent on its intracellular domain (IC domain) [26, 27]. However, IL-4R α endocytosis is not functionally required for the JAK-STAT6 signal transduction [26, 27]. We hypothesized that Gai1/3 bind to the IC

domain of IL-4R α to mediate endocytosis, endosomal trafficking, and downstream Gab1-Akt-mTOR signaling activation. To test this hypothesis, we expressed normal and IC domain-deleted IL-4R α into the CRISPR-Cas9-IL-4R α -KO BMDMs (“IL-4R α -KO BMDMs”, Figure 3A). The lentiviral wild-type IL-4R α (Flag-tagged, “IL-4R α -WT-Flag”) construct or the lentiviral IC domain-depleted IL-4R α (Flag-tagged, “IL-4R α - Δ IC-Flag”) was transduced into the IL-4R α -KO BMDMs (Figure 3B). Co-IP results demonstrated that in response to IL-4 stimulation, Gai1/3 and APPL1 associated with the IL-4R α -WT-Flag, but not with the IL-4R α - Δ IC-Flag in BMDMs (Figure 3B). Furthermore, IL-4-induced phosphorylation of Gab1, Akt and S6K1 was blocked in BMDMs transduced with IL-4R α - Δ IC-Flag, while expression of Gai1/3, APPL1 and STAT6, as well as IL-4-induced STAT6 phosphorylation were intact (Figure 3C). These results suggest that Gai1/3 binds to the IC domain of IL-4R α , required for IL-4R α endosomal trafficking and Gab1-Akt-mTOR activation. Functionally, IL-4R α - Δ IC-Flag transduction resulted in robust inhibition of IL-4-induced expression of M2 markers, *Arg1*, *Fizz1*, *Mgl1*, and *Mgl2* in BMDMs (Figure 3D). IL-4-induced *Arg1* and *Fizz1* protein expression was largely inhibited as well (Figure 3D). Again, IL-4-induced *PPAR*- γ expression as well as BMDM viability were unchanged (Figure 3E).

Akt signaling has been shown to play a key role in M2 activation in BMDMs [14, 37, 40]. To determine whether Akt re-activation is sufficient to rescue M2 polarization, we expressed a constitutively active Akt1 (caAkt1) adenovirus [21], which restored Akt activity in Gai1/3 DshRNA-expressing BMDMs (Figure 3F). Significantly, in the Gai1/3-silenced BMDMs, Ad-caAkt1 restored *Arg1*, *Fizz1*, *Mgl1*, and *Mgl2* expression in response to IL-4 (Figure 3G). Further studies demonstrated that shRNA-mediated silencing of Akt1/2 inhibited IL-4-induced Akt-S6K phosphorylation as well as *Arg1*, *Fizz1*, *Mgl1* and *Mgl2* expression in BMDMs (Figure 3H). Significantly, Gai1/3 DshRNA failed to further inhibit expression of M2 markers in Akt1/2-silenced BMDMs (Figure 3I). IL-4-induced STAT6 phosphorylation was again not affected by Akt1/2 shRNA, or plus Gai1/3 DshRNA (Figure 3H).

Gai1 and Gai3 overexpression promotes IL-4-induced Akt activation and M2 polarization in BMDMs

Based on our results, we hypothesized that Gai1 and Gai3 overexpression would promote IL-4-induced M2 polarization. To test this, stable Gai1

and Gai3 overexpressing BMDMs were established (“OE-Gai1/3”, Figure 3J). In OE-Gai1/3 BMDMs, IL-4-induced Akt phosphorylation was significantly increased (Figure 3J). Expression of IL-4R α , Gai2 and STAT6, as well as IL-4-induced STAT6 phosphorylation, were unchanged between control BMDMs and OE-Gai1/3 BMDMs (Figure 3J). IL-4-induced *Arg1*, *Fizz1*, *Mgl1* and *Mgl2* expression (Figure 3K) and urea production (Figure 3L) were further increased in OE-Gai1/3 BMDMs. OE-Gai1/3 also augmented IL-4-induced *Arg1* and *Fizz1* protein expression (Figure 3K). Induction of *PPAR*- γ by IL-4 was not affected by Gai1/3 overexpression (Figure 3M). The cell viability was unchanged between control and OE-Gai1/3 BMDMs (Figure 3N). Thus, Gai1/3 overexpression promoted IL-4-induced Akt activation and M2 polarization in BMDMs.

In primary cultured alveolar macrophages (AMs), lentiviral shRNAs were applied to knockdown Gai1 and Gai3 (sh-Gai1/3, Figure S2A). IL-4-induced phosphorylation of Akt and S6K1 was almost completely blocked by sh-Gai1/3 in AMs (Figure S2A). Expression of IL-4R α and Gai2 were however unchanged (Figure S2A). Importantly, IL-4-induced expression of M2 polarization markers, including *Arg1*, *Fizz1*, *Mgl2*, and *Mgl1*, was ameliorated by sh-Gai1/3 in AMs (Figure S2B). On the contrary, stable transduction of Ad-Gai1 plus Ad-Gai3 increased Gai1/3 expression (“OE-Gai1/3”) in primary AMs (Figure S2C). Akt and S6K1 phosphorylation in response to IL4 was intensified in OE-Gai1/3 AMs (Figure S2C). *Arg1*, *Fizz1*, *Mgl2*, and *Mgl1* mRNA expression was enhanced as well (Figure S2D).

Gai1 and Gai3 DKO inhibits IL-4-induced Akt activation and M2 polarization in BMDMs

To confirm the essential role of Gai1/3 in M2 polarization, we compared IL-4-induced activity between BMDMs derived from WT mice and Gai1/3 DKO mice [15, 16]. Gai1 and Gai3 were depleted in BMDMs from Gai1/3 DKO mice, while Gai2 expression was intact (Figure 4A). IL-4-induced Akt phosphorylation was blocked in Gai1/3 DKO BMDMs, whereas IL-4R α and STAT6 expression, as well as IL-4-induced STAT6 phosphorylation were unaffected (Figure 4A and B). As compared to the WT BMDMs, IL-4-induced *Arg1*, *Fizz1*, *Mgl1*, and *Mgl2* expression (Figure 4C-G) as well as urea production (Figure 4H) were impaired in Gai1/3 DKO BMDMs. *PPAR*- γ expression and cell viability was unaffected (Figure 4I and J).

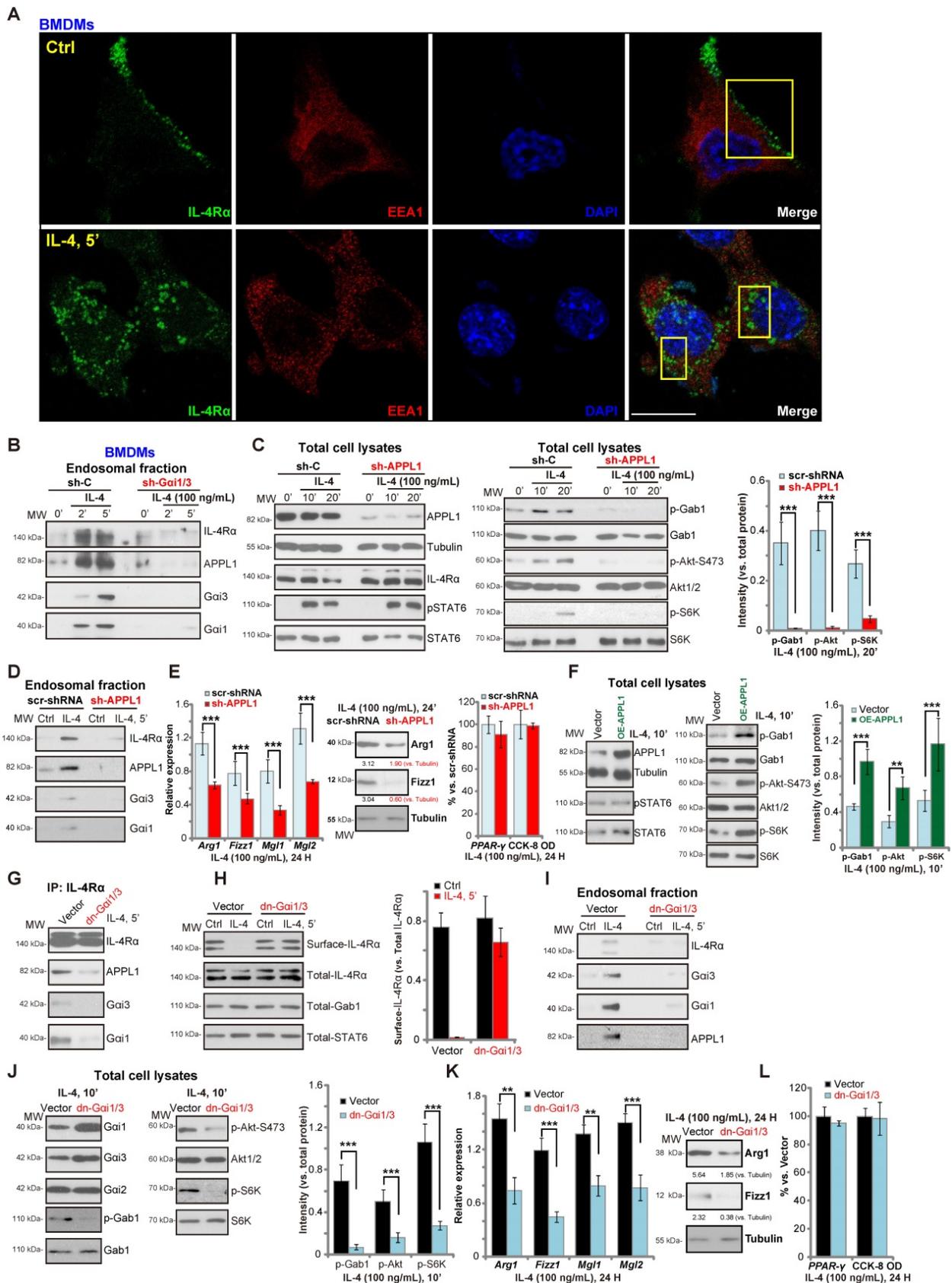


Figure 2. Gai1/3 association with APPL1 mediates IL-4Rα internalization and endosomal traffic, essential for IL-4-induced Gab1-Akt-mTOR signaling transduction and M2 responses in macrophages. Primary cultured murine bone marrow-derived macrophages (BMDMs) were treated with IL-4 (100 ng/mL) for 5 min, confocal images were taken to demonstrate the locations of IL-4Rα, EEA1 (the early endosome marker) and DAPI (the nuclear marker) (A); Stable BMDMs, expressing the scramble control shRNA ("sh-C"), Gai1 shRNA and Gai3 shRNA ("sh-Gai1/3"), were treated with or without IL-4 (100 ng/mL) for applied time periods, and tested by Western blotting of listed proteins in total endosomal fractions (B). Stable BMDMs, expressing sh-C or APPL1 shRNA ("sh-APPL1"), were treated with or without IL-4 (100 ng/mL) for listed time periods, listed proteins in total cell lysates (C) and endosomal fractions (D) were tested. Twenty-four hours after IL-4 treatment, relative expression of listed genes

(mRNAs and proteins) was shown (E); The cell viability was tested as well (E). Stable BMDMs, with the lentiviral APPL1 construct ("OE-APPL1") or empty vector ("Vector"), were treated with IL-4 (100 ng/mL) for 10 min, and were tested by Western blotting of listed proteins (F); BMDMs with the empty vector ("Vector") or the dominant negative Gai1 construct plus dominant negative Gai3 construct ("dn-Gai1/3"), were treated with IL-4 (100 ng/mL), IL-4R α -APPL1-Gai1/3 association was tested by co-immunoprecipitation ("IP: IL-4R α ") (G); Expression of listed proteins, in total cell lysates ("Total"), plasma surface ("Surface") and endosomal fractions, were tested by Western blotting assays (H-J). Twenty-four hours after IL-4 treatment, relative expression of listed genes (mRNAs and proteins) was shown (K), with cell viability tested as well (L). ***P < 0.001, **P < 0.01. Scale bar = 25 μ m (A).

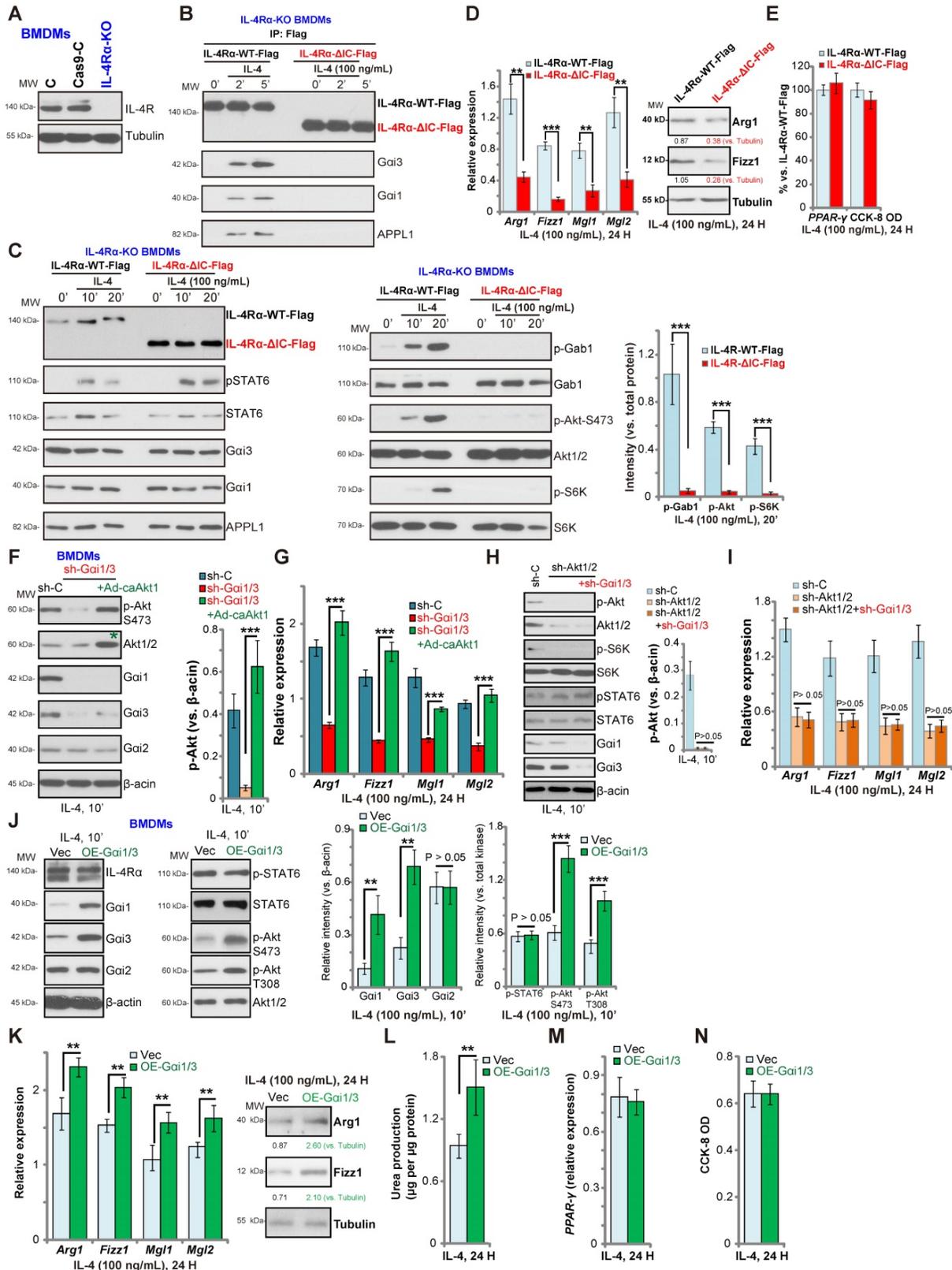


Figure 3. Gai1/3 bind to the intracellular domain of IL-4R α . Expression of IL-4R α and Tubulin in the parental control BMDMs ("C"), the stable BMDMs with CRISPR-Cas9-IL-4R α -KO construct ("IL-4R α -KO") or control vector ("Cas9-C") was shown (A). The IL-4R α -KO BMDMs were further infected with lentiviral wild-type IL-4R α

construct (Flag-tagged, "IL-4R α -WT-Flag") or the lentiviral intracellular domain-depleted IL-4R α construct (Flag-tagged, "IL-4R α - Δ IC-Flag"), subjected to puromycin selection to establish stable BMDMs; Established BMDMs were further treated with IL-4 (100 ng/mL) for applied time, and tested by Co-IP ("IP: Flag") to examine IL-4R α -APPL1-Gai1/3 association (B), with expression of listed proteins in total cell lysates tested by Western blotting assays (C). Twenty-four hours after IL-4 treatment, relative expression of listed genes (mRNAs and proteins) was shown (D and E), with the cell viability tested as well (E). The scramble control shRNA ("sh-C")-expressing BMDMs or Gai1 shRNA plus Gai3 shRNA ("sh-Gai1/3")-expressing BMDMs (with or without the constitutively-active Akt1 adenovirus ["+Ad-caAkt1"], with green star marker) were treated with IL-4 (100 ng/mL) for 10 min, tested by immunoblotting of listed proteins (F, Akt phosphorylation was quantified); Relative expression of listed genes (24h after IL-4 treatment) was shown (G); The sh-C BMDMs or Akt1/2-shRNA-expressing stable BMDMs (with or without sh-Gai1/3) were treated with IL-4 (100 ng/mL) for 10 min, tested by immunoblotting of listed proteins (H, Akt phosphorylation was quantified); Relative expression of listed genes (24h after IL-4 treatment) was shown (I); Stable BMDMs, with the adenovirus Gai1 construct plus the adenovirus Gai3 construct ("OE-Gai1/3") or empty vector ("Vec"), were treated with IL-4 (100 ng/mL) for applied time, and were tested by Western blotting of listed proteins (J, results were quantified); Relative expression of listed genes (mRNAs and proteins) was tested by qPCR assays (K and M); The urea production was also tested (L), with cell viability tested by CCK-8 (N). "Ctrl" stands for untreated control BMDMs. ***P < 0.001, **P < 0.01.

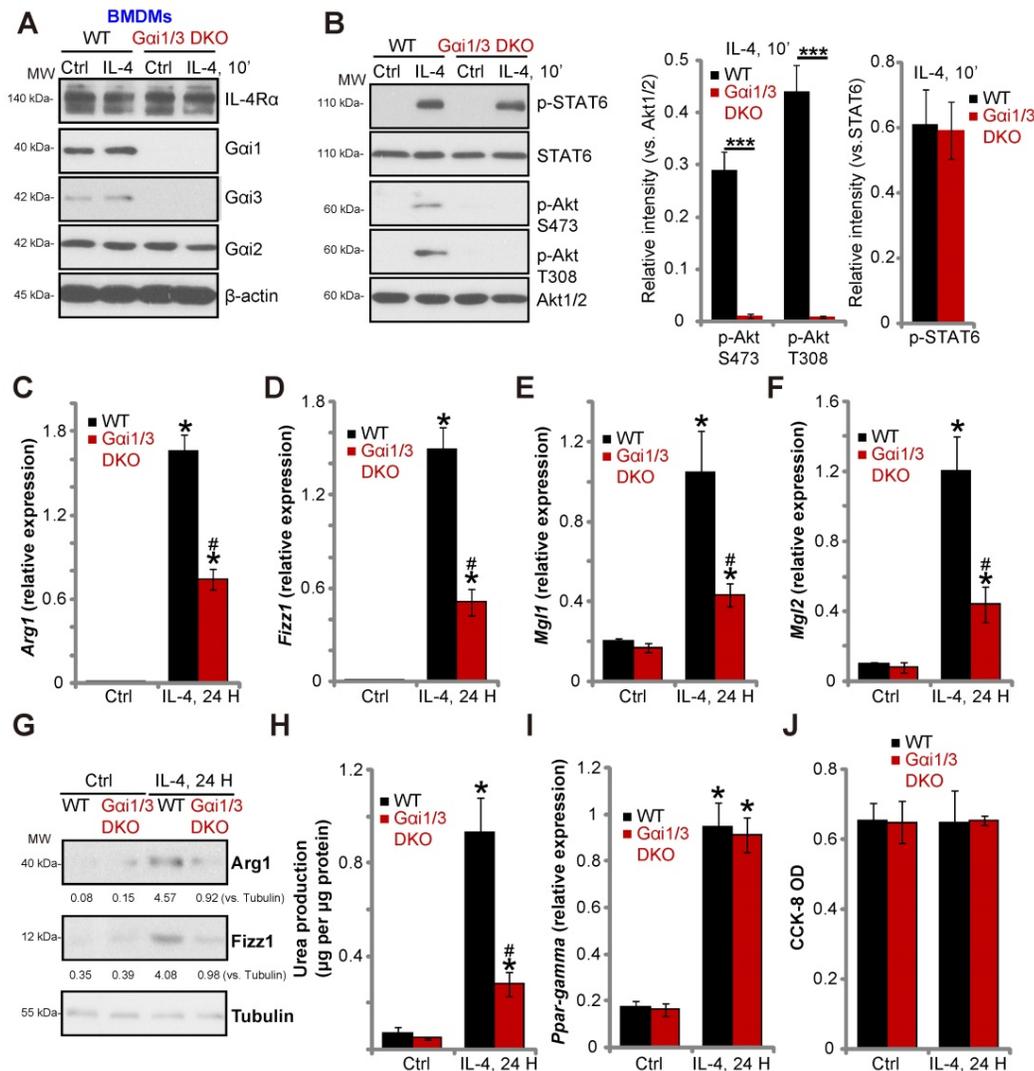


Figure 4. Gai1 and Gai3 DKO inhibits IL-4-induced Akt activation and M2 polarization in BMDMs. BMDMs, derived from both WT mice and Gai1 and Gai3 DKO mice (five week old), were treated with IL-4 (100 ng/mL) for applied time, and were tested by Western blotting of listed proteins (A and B); Expression of listed genes (mRNAs and proteins) was tested by qPCR (C-G, I); The urea production was also tested (H), with cell viability tested by CCK-8 assay (J). "Ctrl" stands for untreated control BMDMs. *P < 0.001 (B). *P < 0.001 vs. "Ctrl" treatment in "WT" DMEMs (C-I). #P < 0.001 vs. IL-4 treatment in "WT" DMEMs (C-H).

Gai1 and Gai3 are required for IL-4-induced Akt activation and Th2 response in human macrophages

In human monocytes-derived macrophages (MDMs), shRNA (see our previous study [15]) was utilized to knockdown both Gai1 and Gai3. The applied shRNA lentivirus resulted in significant Gai1 and Gai3 protein double downregulation (sh-Gai1/3, Figure 5A). In line with the results in BMDMs,

IL-4-induced phosphorylation of Akt and S6K1 was largely inhibited by sh-Gai1/3 in MDMs (Figure 5A). In contrast, expression of IL-4R α and STAT6 as well as IL-4-induced STAT6 phosphorylation were unchanged (Figure 5A). As there is a significant difference between the transcriptional response toward IL-4 in human and murine macrophages [41, 42], we analyzed the potential role of sh-Gai1/3 on expression of Th2 response genes in MDMs, including CCL17 and CAMK2A [41, 42]. The qPCR assay results,

Figure 5B, showed that IL-4 significantly increased expression of *CCL17* and *CAMK2A* in MDMs. This response was largely inhibited by sh-*Gai1/3* (Figure 5B).

As *Gai1* and *Gai3* silencing inhibited IL-4-induced expression of Th2 response genes, we hypothesized that *Gai1* and *Gai3* overexpression would facilitate IL-4-induced Th2 response in human macrophages [43]. To examine this, adenovirus *Gai1* ("Ad-*Gai1*", no Tag [15]) and *Gai3* constructs ("Ad-*Gai3*", no Tag [15]) were utilized to establish stable MDMs exogenously over-expressing *Gai1* and

Gai3, OE-*Gai1/3*. As shown *Gai1* and *Gai3* protein were both elevated in OE-*Gai1/3* MDMs (Figure 5C). As a result, IL-4-induced phosphorylation of Akt and S6K1 was significantly augmented (Figure 5C). IL-4R α and STAT6 expression as well as IL-4-induced STAT6 phosphorylation were unchanged (Figure 5C). Importantly, IL-4-induced expression of Th2 response genes, *CCL17* and *CAMK2A*, was potentiated with *Gai1* plus *Gai3* overexpression (Figure 5D), demonstrating that *Gai1/3* are important for IL-4-induced Akt signaling and Th2 response in human macrophages.

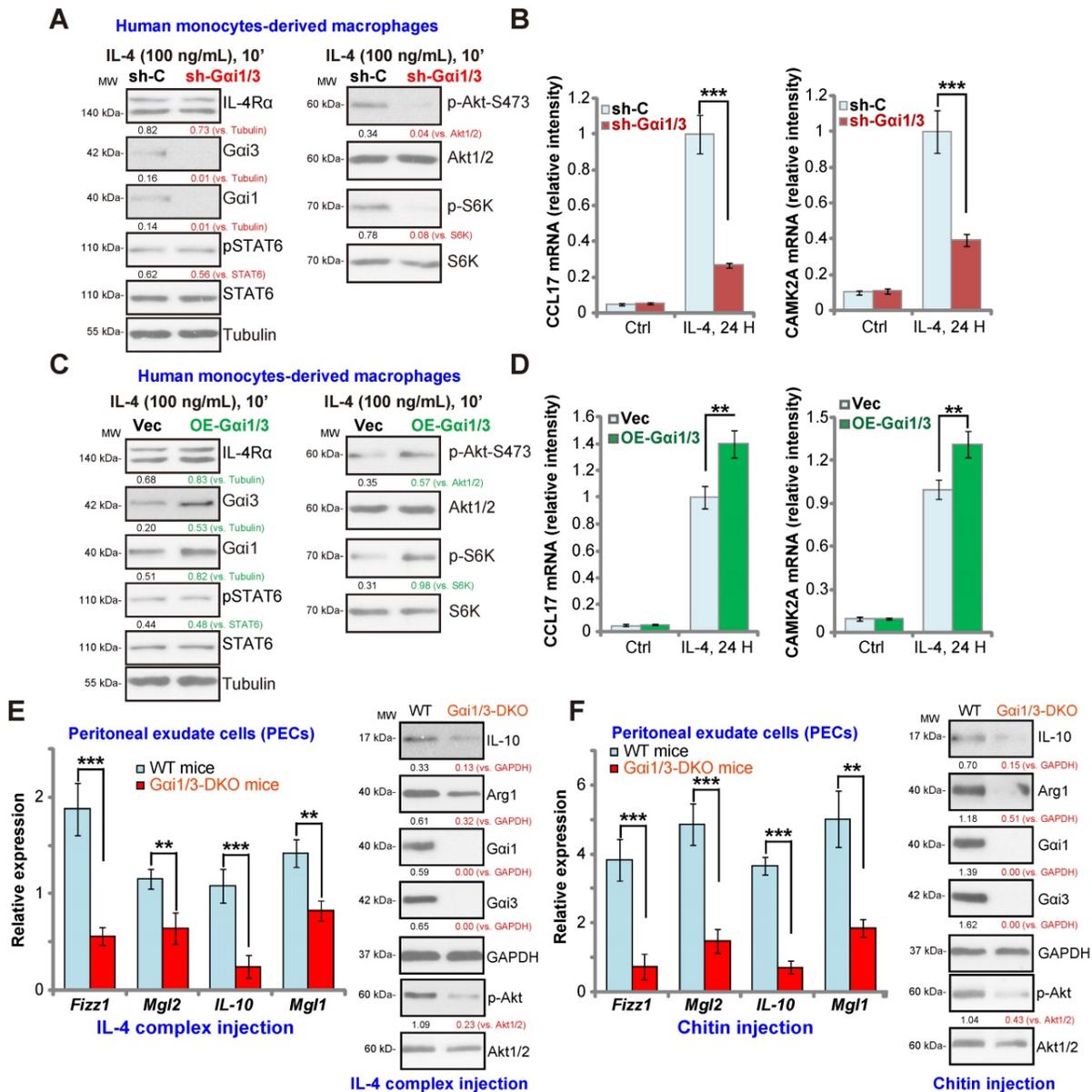


Figure 5. Impaired M2 polarization in human macrophages and *Gai1/3* DKO mice. Human monocytes-derived macrophages (MDMs) were transduced with the lentiviral scramble control shRNA ("sh-C") or the lentiviral *Gai1* shRNA plus *Gai3* shRNA ("sh-*Gai1/3*") (A and B), the adenovirus *Gai1* construct plus the adenovirus *Gai3* construct ("OE-*Gai1/3*") or empty vector ("Vec") (C and D), MDMs were then treated with or without IL-4 (100 ng/mL) for 10 min, and tested by Western blotting of listed proteins (A and C). Twenty-four hours after IL-4 treatment, relative expression of listed genes was shown (B and D); (E) M2 genes (*Fizz1*, *Mgl2*, *IL-10* and *Mgl1*) expression and Akt activation in peritoneal exudate cells (PECs) from WT and *Gai1/3* DKO mice four days post intraperitoneal (IP) injection with IL-4 complex on days 0 and 2. (F) Relative expression of M2 genes (*Fizz1*, *Mgl2*, *IL-10* and *Mgl1*) mRNAs and proteins as well as Akt activation in PECs from WT and *Gai1/3* DKO mice 48h after IP injection with chitin. In each experiment, n=5 (five replicated wells/dishes). Experiments were repeated five times (five mice per group), data of all repeated experiments were pulled together to calculate mean \pm SD. *** $P < 0.001$, ** $P < 0.01$.

Defective M2 polarization in *Gai1/3* DKO mice

To test whether *Gai1/3* deficiency could impair M2 polarization *in vivo* [14], an IL-4/anti-IL-4 complex was intraperitoneally injected to elicit IL-4-dependent M2 response in both WT mice and *Gai1/3* DKO mice [15, 16]. Significantly, induction of M2 genes, including *Fizz1*, *Mgl2*, *IL-10* and *Mgl1* was inhibited in the peritoneal exudate cells (PECs) from *Gai1/3* DKO mice (Figure 5E). IL-4 complex-induced Arg1 and IL-10 protein expression was inhibited as well (Figure 5E). Akt phosphorylation was inhibited as well (Figure 5E). To further support our hypothesis, the chitin administration model was applied, causing IL-4-dependent recruitment and polarization of M2 macrophages [14]. As compared to the WT mice, in PECs from *Gai1/3* DKO mice, *Fizz1*, *Mgl2*, *IL-10* and *Mgl1* mRNA expression, Arg1 and IL-10 protein expression as well as Akt phosphorylation were significantly decreased in response to chitin (Figure 5F). Thus, these results demonstrate that *Gai1/3* DKO impairs M2 polarization *in vivo*.

Ovalbumin-induced airway inflammation and hyperresponsiveness are largely impaired in *Gai1/3* DKO mice

Lung IL-4 signaling and M2 macrophages are key regulators of airway responses to inhaled allergens, participating in poor lung function in allergic asthma [32, 44-46]. We therefore compared the effects of OVA sensitization and challenge on the development of allergic airway responses in WT and *Gai1/3* DKO mice. Assessing airway responsiveness to intravenous acetylcholine chloride (ACh) administration [32], following OVA sensitization and challenge WT mice developed significant increases in airway pressure time index (APTI) after ACh administration (Figure 6A). In contrast, the airway reactivity was significantly lower in OVA-treated *Gai1/3* DKO mice ($P < 0.05$ vs. WT mice, Figure 6A), suggesting that *Gai1/3* are involved in OVA-induced airway hyperresponsiveness (AHR). IL-4 plays an important role in eosinophilia by increasing IL-5 production and upregulation of endothelial VCAM-1 expression, to promote attachment and migration of eosinophils [44]. As expected, OVA sensitization and challenge induced a striking increase in the number of eosinophils in bronchoalveolar lavage (BAL) fluids of WT mice (Figure 6B). Although increases in BAL eosinophils were detected OVA-treated *Gai1/3* DKO mice, they were much lower than OVA-treated WT animals (Figure 6B).

IL-4 is also important for IgE synthesis required for the pathogenesis of allergic responses. We found that OVA-treated WT mice produced a large amount

of serum IgE (Figure 6C). OVA-stimulated IgE production was however attenuated in *Gai1/3* DKO mice ($P < 0.05$ vs. WT mice, Figure 6C). In addition, we examined serum levels of OVA-specific IgG1, a method utilized to assess Th2 cytokines *in vivo*. Compared to OVA-treated WT mice (Figure 6D), the serum OVA-specific IgG1 levels were inhibited in *Gai1/3* DKO mice ($P < 0.05$ vs. WT mice, Figure 6D). In OVA-challenged WT mice, IL-4 contents in BAL were significantly increased, but were much lower in the BAL of OVA-challenged DKO mice (see revised Figure 6E). These results further demonstrate inhibition of the Th2 response in OVA-treated *Gai1/3* DKO mice.

Examining pulmonary histopathology with HE and Masson staining demonstrated that in OVA-treated WT mice the bronchial wall was thickened and the lumen was narrow (Figure 6F). A significant amount of mucus was detected in the lumen, with several red mucus plugs observed as well (Figure 6F). In addition, a large number of inflammatory cells, including lymphocytes, eosinophils, neutrophils, were infiltrated into bronchus and blood vessels (Figure 6F). In contrast, in the lung of OVA-treated *Gai1/3*DKO mice, the bronchioles and alveoli were mainly intact, with few necrotic or exfoliated epithelial cells (Figure 6F). The number of infiltrated inflammatory cells was significantly lower when compared to WT mice (Figure 6F). These results show that *Gai1/3*DKO protects against OVA-induced airway hyperresponsiveness and mucus production. Alveolar macrophages (AMs) were isolated from OVA-treated mice. In AMs of OVA-treated *Gai1/3* DKO mice expression of M2 genes (*Arg1*, *Fizz1*, *Mgl2*, and *Mgl1*) was significantly lower than that in AMs of OVA-treated WT mice (Figure 6G). Western blotting and immunofluorescence assay results further confirmed Arg1 and Fizz1 protein levels in AMs of OVA-treated *Gai1/3* DKO mice were significantly lower than those in AMs of OVA-treated WT mice (Figure 6G). Akt-S6K phosphorylation was inhibited as well (Figure 6G). These results further suggest that *Gai1/3* are important genes for IL4-induced macrophage M2 polarization and Th2 response *in vivo*.

Discussion

It is widely accepted that IL-4 induced M2 polarization occurs through IL-4R α to recruit IL-2R γ (type I receptor), to activate the non-receptor tyrosine kinase JAK1, leading to phosphorylation, dimerization and activation of STAT6 [47-50]. Recent studies, however, have proposed that IL-4R α -activated PI3K-Akt signaling, in parallel to the

JAK1-STAT6 cascade, also plays a key role in regulating and maintaining M2 responses [14, 37, 40]. Pharmacological inhibition of Akt significantly inhibits M2 activation in BMDMs, while constitutively-active Akt (caAkt) enhances M2 activation in BMDMs [14, 37, 40]. However, the underlying mechanisms mediating IL-4-induced M2 activation through Akt remain largely elusive.

The present study provides a novel mechanism for the role of *Gai1/3* in IL-4 signal transduction underlying M2 responses. We show that *Gai1/3* are required for IL-4-induced Akt activation. In BMDMs, human macrophages and MEFs, *Gai1/3* KO/shRNA, CRISPR-induced *Gai1/3* gene editing, or dominant negative *Gai1/3* mutations potently inhibited

IL-4-induced Akt activation. Conversely, overexpression of *Gai1/3* facilitated Akt activation by IL-4. Importantly, IL-4-induced STAT6 activation was intact regardless of *Gai1/3* status. Functional studies demonstrated that BMDMs or human macrophages with *Gai1/3* deficiency were resistant to IL-4-induced M2 polarization, whereas *Gai1/3*-overexpressing BMDMs or human macrophages displayed preferred M2 responses to IL-4. *In vivo* M2 activation, induced by injection of IL-4/anti-IL-4 complex or chitin, was significantly inhibited in *Gai1/3* DKO mice. Our findings support that *Gai1/3* are required for IL-4-induced Akt activation and M2 polarization.

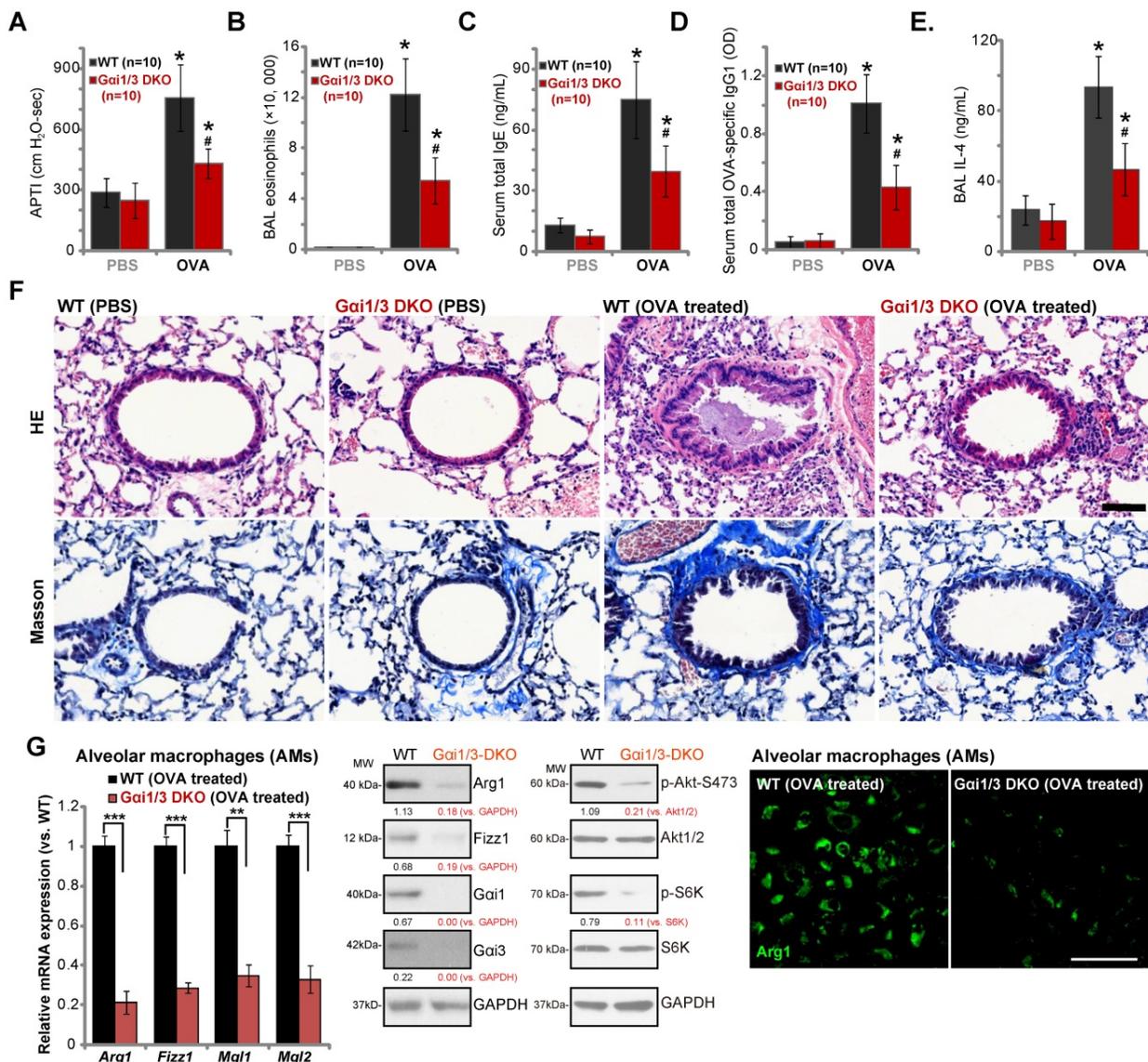


Figure 6. Ovalbumin-induced airway inflammation and hyperresponsiveness are largely impaired in *Gai1/3* DKO mice. WT or *Gai1/3* DKO mice (10 mice per group) were first sensitized and then challenged by OVA or PBS for three days. The airway responsiveness to intravenous acetylcholine chloride (ACh) administration was determined (A); The number of bronchoalveolar lavage (BAL) fluids eosinophils (B), serum total IgE contents (C), serum total OVA-specific IgG1 (D) and IL-4 contents in BAL fluids (E) were determined. Lungs were also fixed and subjected to HE staining and Masson staining (F). Scale Bar = 50 μ m. Alveolar macrophages (AMs) were isolated and relative expression of listed genes was tested by qPCR, Western blotting and immunofluorescence assays (G). * $P < 0.05$ vs. PBS treatment in WT mice (A-E). # $P < 0.05$ vs. OVA treatment in WT mice (A-E). *** $P < 0.001$ (G), ** $P < 0.01$ (G). Scale bar = 100 μ m (G).

Following IL-4 stimulation, IL-4R α is internalized to mediate ligand uptake [26]. The intracellular domain of IL-4R α initiates Rac1-, Pak- and actin-mediated endocytosis, leading to an increased receptor density at endosomes [36]. Whether IL-4R α endocytosis is essential for downstream signaling transduction is still under debate, and could be cell-type-dependent. Kurgoonite *et al.*, showed that in HEK293T cells IL-4R α endocytosis is required for IL-4-induced JAK/STAT6 activation [36], although Friedrich *et al.*, demonstrated that IL-4R α endocytosis is not functionally connected to JAK/STAT6 activation in macrophages [26].

Our previous studies show that Gai1/3 play an essential role in the formation of the VEGFR2 endocytosis complex, required for VEGFR2 endocytosis and downstream signaling activation by VEGF [15]. Furthermore, BDNF-induced TrkB endocytosis and downstream signaling activation are blocked in Gai1/3-depleted cells and neurons [16]. The results of the present study demonstrate that Gai1 and Gai3 physically associate with the intracellular domain of IL-4R α , which is essential for IL-4R α endocytosis and Akt activation, but not STAT6 activation. Disruption of IL-4R α -Gai1/3 association, by Gai1/3 silencing/KO, dominant negative mutants, or through deletion of the intracellular domain of IL-4R α , abrogated IL-4-induced IL-4R α endocytosis, endosomal traffic, and Akt activation, while leaving STAT6 unaffected. Therefore, in IL-4-treated BMDMs, Gai1/3-mediated IL-4R α endocytosis and endosomal traffic are essential for activation of Akt, but not STAT6.

The APPL1 adaptor localized to endosomes serves as a platform for the assembly and trafficking of receptors and endosomal signaling [38, 39]. Following IL-4 stimulation, we found that APPL1 association with Gai1/3 and IL-4R α is required for IL-4R α endosomal traffic and Gab1-Akt-mTOR activation in BMDMs. IL-4-induced IL-4R α endosomal traffic, Gab1-Akt-mTOR activation and M2 response were potently inhibited by APPL1 silencing. Conversely, ectopic overexpression of APPL1 promoted IL-4 signaling. Therefore, we propose that APPL1 is a critical adaptor protein for IL-4-induced M2 signaling.

Our previous studies have confirmed that in RTK signaling, Gai1/3 are essential for Gab1 activation in response to various growth factors [17-19]. In response to IL-4, macrophages can polarize towards M2, leading to expression of multiple M2 biomarkers, including *Arg1*, *Mgl1*, *Mgl2* and *Fizz1*. IL-4-induced activation of PI3K-AKT activation is vital in regulating expression of M2 markers [37]. Following IL-4 stimulation, Gab1 preferentially

interacted with p85 to activate PI3K-AKT signaling [37]. In the present study, we show that Gab1 recruitment to IL-4-activated IL-4R α and subsequent activation were largely impaired when Gai1/3 were silenced, depleted, or mutated. In Gab1 KO MEFs treated with IL-4, Akt activation was completely blocked, while Gai1/3 expression and STAT6 activation were intact. Therefore, Gai1/3 are required for IL-4-induced Gab1 recruitment and activation, which mediates downstream Akt activation and M2 responses. One possibility is that IL-4-induced IL-4R α endosomal traffic was disrupted with Gai1/3 silencing, KO or mutation, that should block the docking of the adaptor protein Gab1, thus inhibiting Gab1 activation and downstream p85-Akt activation.

Our model is that Gai1/3 are key signaling proteins for both LPS-induced M1 polarization and IL-4-induced M2 polarization, depending on the stimuli. Gai1/3 are required for LPS-induced Gab1 activation via association with CD14 (see our previous study [51]), explaining Gai1/3's function in LPS-induced macrophage M1 polarization [51]. Following IL-4 stimulation of STAT6 macrophages only polarize to M2 [52]. Significantly, we found that Gai1/3 depletion did not affect IL-4-induced STAT6 activation. Therefore, Gai1/3 depletion did not reverse M2 polarization towards M1, but rather only partially inhibited IL-4-induced M2 polarization by blocking Gab1-Akt activation. Indeed, Fan *et al.*, found that although LPS-induced production of M1 response genes, including tumor necrosis factor- α (TNF- α), interleukin-6 (IL-6) and IL-1 β , were largely inhibited in Gai1/3 DKO mice [53, 54] (also see our previous study [51]). The production of M2 response gene, IL-10, was inhibited as well in Gai1/3 DKO mice [53, 54]. The role of Gai proteins in macrophage polarization may warrant further investigation.

IL-4 and other Th2 cytokines are responsible for recruiting leukocytes to the site of inflammation, essential for IgE synthesis, airway eosinophilia, mucus secretion, and ultimately airway hyperresponsiveness (AHR) [55, 56]. Studies have shown that injection or overexpression of IL-4 in the airways could induce airway eosinophilia and AHR [55]. Here, using an asthma mouse model we found that OVA-stimulated IgE production, airway eosinophilia, inflammatory cells infiltration and AHR were largely impaired in Gai1/3 DKO mice. Furthermore, expression of M2 markers in *ex vivo* alveolar macrophages-derived from Gai1/3 DKO mice was significantly lower than that in alveolar macrophages of WT mice. The results of this study suggest that Gai1/3 could be novel and key mediators of allergic asthma pathogenesis. Targeting Gai1/3 could provide a new therapeutic modality for allergic

asthma patients.

Conclusion

The results of the present study reveal novel and essential roles of Gai1/3 proteins in the control of IL-4 signaling, macrophage functions and M2 polarization, with broad implications for regulation of Th2 immunity, inflammation, and allergy.

Supplementary Material

Supplementary figures.

<http://www.thno.org/v11p4894s1.pdf>

Acknowledgements

This work was generously supported by grants from the National Natural Science Foundation of China (81922025, 81802511, 81974388, 81870679, 81873995, 81974334, 81302195, 31371139, 81571282, 81771457, 81371055, 81873526 and 81570859), a Project Funded by the Priority Academic Program Development of Jiangsu Higher Education Institutions, the Social Development Key Programs of Jiangsu Province-Advanced Clinical Technology (BE2019662, BE2018656), the Key Laboratory for Peripheral Nerve Injury Repair of Suzhou (SZS201720), the Medical Science and Technology Development Project Fund of Nanjing (YKK16271, YKK15241, YKK16270), and the Natural Science Foundation of Jiangsu Province (BK20161568, BK20170060, BK20171065). The funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Author Contributions

All authors conceived the idea and designed the work, contributed to acquisition of data.

Competing Interests

The authors have declared that no competing interest exists.

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