

## Review

# Recent progress of emitting long-wavelength carbon dots and their merits for visualization tracking, target delivery and theranostics

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## Abstract

As a novel strategy for *in vivo* visualization tracking and monitoring, carbon dots (CDs) emitting long wavelengths (LW, 600-950 nm) have received tremendous attention due to their deep tissue penetration, low photon scattering, satisfactory contrast resolution and high signal-to-background ratios. Although, the mechanism of CDs emitting LW remains controversial and what properties are best for *in vivo* visualization have not been specifically elucidated, it is more conducive to the *in vivo* application of LW-CDs through rational design and ingenious synthesis based on the appreciation of the luminescence mechanism. Therefore, this review analyzes the current tracer technologies applied *in vivo* and their advantages and disadvantages, with emphasis on the physical mechanism of emitting LW fluorescence for *in vivo* imaging. Subsequently, the general properties and merits of LW-CDs for tracking and imaging are summarized. More importantly, the factors affecting the synthesis of LW-CDs and its luminescence mechanism are highlighted. Simultaneously, the application of LW-CDs for disease diagnosis, integration of diagnosis and therapy are summarized. Finally, the bottlenecks and possible future directions of LW-CDs in visualization tracking and imaging *in vivo* are detailly discussed.

Keywords: Carbon dots (CDs); Long wavelength; Visualization tracking; Imaging; Target delivery

## 1. Introduction

Non-invasive visualization tracking and imaging for disease diagnosis as well as reconnoitring the targeted delivery and transport of biotherapeutic agents *in vivo* have been explored as one of the most high-performance tools in the field of biomedicine [1]. Over the past several years, this technique has also attracted tremendous attention both in clinical diagnostics and therapy, e.g., pathogen detection [2], diagnosis and therapy of cancer [3], and drug-targeted delivery monitoring [4]. *In vivo* visualization tracking and imaging generally require low toxicity, good stability, high absorption coefficient, strong fluorescence strength and visualization within the

biotransparency window (650-950 nm) of the tracers. In order to realize the visualization tracer and imaging *in vivo*, various strategies containing magnetic resonance imaging (MRI), nuclear medicine imaging, and optical imaging (e.g. fluorescence, luminescence, Raman and photoacoustics) have been successively proposed [5-8]. These tracers and imaging technologies have their own unique advantages. For example, MRI with high resolution is converted by different contrast media such as iron oxides [9], Mn<sup>2+</sup> ions [10], rare earth chelates [11], and heteronuclear magnetic resonance of <sup>19</sup>F [12]. The imaging reporter genes could be used to visualize single cells in a

homogeneous background [13]. Nuclear medicine imaging has a high sensitivity and controlled duration time of imaging. The radionuclides with a long half-life, such as  $^{99m}\text{Tc}$ ,  $^{123}\text{I}$ ,  $^{67}\text{Ga}$  and  $^{111}\text{In}$  could be considered [14–15], when the tracking and imaging should last for a long time. In contrast,  $^{18}\text{F}$ ,  $^{11}\text{C}$ ,  $^{13}\text{N}$  and  $^{15}\text{O}$  are the preferred markers with short half-lives [16–17]. Nevertheless, the low spatial resolution [18], possible radiation hazards [19] and potential toxicity of the contrast medium [20] limit the applications of radionuclide imaging and magnetic resonance imaging as tracking techniques *in vivo*. The near-infrared (NIR) fluorescence imaging aroused interest in recent years that can penetrate deeper tissues compared to visible light. The NIR fluorescence emission wavelengths divided into the NIR-I region of 700–900 nm and the NIR-II region of 1000–1700 nm are generally considered to be harmless to the organism and greatly reduce background fluorescence interference. These characteristics confirm the meaningful application prospects of NIR fluorescence as an imaging and therapeutic agent in living organisms. At present, the existing imaging agents are often based on organic dyes, precious metal nanoparticles (NPs), and semiconductor oxides [21–22]. However, organic dyes have weak thermal stability and photostability. The precious metal NPs and semiconductor oxides are awfully difficult to excrete from the kidney system, thereby posing the risk of visceral deposits and the potential poisonousness of heavy metal elements [23]. Therefore, more effective imaging agent exploration is urgently needed.

Carbon dots (CDs) are generally considered a new kind of carbon zero-dimensional nanomaterials. They have  $\text{sp}^2$  hybridized structure containing carboxyl, hydroxyl and aldehyde groups, which enables them to have better solubility and specific interaction with bio-interface *in vivo*. More importantly, multi-color fluorescent CDs could be constructed by some surface functional groups such as C–O and C=N [24], which also contributed to the synthesis of bright red/NIR CDs. Most CDs have excitation-dependent emission, which is often related to the generation or induction of the band gap and surface defects. Although the fluorescence emission mechanism remains debated [25], CDs emitted fascinating and bright red/NIR fluorescence can be regulated through various strategies such as the changing chemical composition [26], particle size [27] and introducing surface defects [28]. Moreover, CDs have some other obvious advantages for imaging *in vivo*, e.g., high quantum yield (QY), excellent biocompatibility, water solubility, resistance to swelling and photobleaching and easy of excretion. For example, pristine CDs (EM: 644 nm) derived from

citric acid (CA) pyrolysis had little effect on cell viability at a concentration of 2  $\mu\text{g/mL}$  [29]. Nickel-pPCDs prepared by using p-phenylenediamine and nickel ions ( $\text{Ni}^{2+}$ ) as raw materials had excellent anti-photobleaching properties. The red fluorescence intensity from Ni-pPCDs remained above 65% of its original fluorescence strength after 1 h continuous laser irradiation at 552 nm [30]. The cationic CD (+20 mV) modified with 4-carboxybutyl triphenylphosphonium can emit different fluorescence when combined with double-stranded DNA and single-stranded RNA in living cells, which can be employed for real-time monitoring of DNA and RNA [31]. More importantly, these properties of deep tissue penetration, low photon scattering, satisfactory contrast resolution and high signal-to-background ratios possessed by red/NIR CDs make them more suitable for *in vivo* tracking and imaging. Lesani et al. developed two-photon red fluorescence CDs with penetration depths up to 280  $\mu\text{m}$  in porcine skin tissue [32]. Hua et al., synthesized the red wavelength-emitting CDs with imaging resolutions up to 80 nm [33]. It cannot be hardly found that these features enable CDs as excellent candidates for fluorescent labeling and tracer imaging.

It is well-known that visualization of the target sites is of milestone significance for the diagnosis and treatment of diseases, which allows for therapeutic medicine with more precision and lower side effects. Therefore, a suitable targeted imaging agent in combination with contemporary therapeutic tools may be one of the most promising strategies for precision medicine applications. The research found that CDs combined with photothermal therapy (PTT) and photodynamic therapy (PDT) can effectively kill cancer cells and minimize the interactions of CDs with non-target tissues and only exert therapeutic activity where it is needed. As ordinary cells and body systems are unaffected, there are fewer side effects and faster recovery times [34]. The red emissive CDs anchored chlorin e6 (Ce6) (0.56% of mass) possessed superior photothermal (PT) conversion efficiency (46%) and efficient singlet oxygen production under a 671 nm NIR laser [35]. The CDs prepared by *hypocrella bambusae* (HB) can effectively generate heat (27.6%) under 635 nm laser irradiation [36]. CDs have higher conversion efficiency and efficient singlet oxygen production due to emitting NIR wavelengths for PTT and PDT therapy. Moreover, the common tracking technology *in vivo* in biomedical engineering is mainly via visual tracking of carrier-loaded fluorescent dye, which may cause false positives in target sites that cannot be accurately quantified due to carrier damage or dye leakage. However, the carrier with itself visual performance can effectively avoid

the above possible problems to conduct more accurate quantification because the carrier destruction will lead to fluorescence disappearance. Thus, many CDs are widely reported for targeted drug/gene delivery with simultaneously holding the capacity of imaging-track. The research demonstrated that the targeted drug/gene delivery system simultaneously with imaging-trackable ability is widely reported for CDs. For example, negatively charged red fluorescent CDs prepared by thiophene phenylpropionic acid polymers and loaded with positively charged coptisine exhibited obvious target imaging in cancer cells [37]. The long wavelength (LW) emission PEI-CDs synthesized by using 1, 2, and 4-triaminobenzene as the carbon source and polyethyleneimine (PEI) as the surface modifier held effective siRNA delivery with imaging-trackable ability [38].

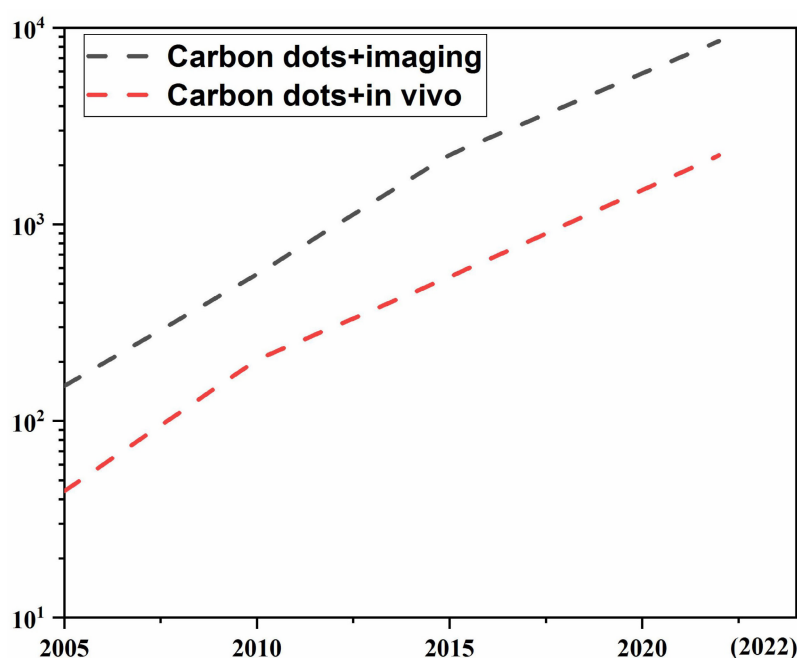
It is not hardly concluded from the above reports that CDs have many advantages applicable to the visualization tracking and imaging *in vivo*. However, the excellent properties (e.g., unique optical properties, fluorescence stability, easy excretion etc.) of CDs for *in vivo* applications and unique features (e.g., deep tissue penetration, low photon scattering, and high contrast resolution and signal-to-background ratios) of emitting LW (LW-CDs: the wavelength range is defined as 600-950nm) as well as the synthesis mechanism to produce these excellent properties have not been systematically summarized. Therefore, we searched related publications about CDs for application *in vivo* in PubMed, Scopus, Web of Science, and Cochrane Central register using relevant keywords (carbon dots and imaging) or (carbon dots and *in vivo*). Figure 1 shows the rapid development of CDs in biomedicine in recent years, especially in the last 5 years, the number of articles published has increased exponentially. However, there are only a few articles about LW-emitting CDs explored for *in vivo* imaging. Based on these limited and related publications, this review first summarizes the development of current tracer technologies and their properties. Secondly, the physical mechanism of emitting LW fluorescence for *in vivo* imaging including reflection, scattering, absorption, and autofluorescence is elaborated. Thirdly, the latest research progress of CDs used as tracers and their outstanding performances for *in vivo* application are in detail analyzed. Subsequently, the merits of emitting LW-CDs for tracking and imaging are emphasized. Next, the factors affecting their synthesis and luminescence mechanism as well as their application potential in the diagnosis, integration of diagnosis and treatment, and drug/gene target delivery are concisely summarized. Finally, the bottlenecks of emitting LW-CD for visualization

tracking and imaging *in vivo* and the possible future directions are proposed. This review will provide effective regulatory strategies to inspire research interest in exploring novel-emitting LW-CDs, thereby promoting their applications in life sciences.

## 2. Current tracking techniques *in vivo*

Tracer imaging is an important diagnostic way of monitoring *in vivo* response and evaluating the outcome of targeted therapy. *In vivo* tracking imaging technology has achieved outstanding progress from 20<sup>th</sup>-century radiography and  $\gamma$ -scintigraphy to current radionuclide imaging technology, magnetic resonance imaging, computed tomography and optical imaging [5,7,9,39]. Currently, diverse imaging techniques have been explored to address the demand for chemical identification. They commonly use electromagnetic energy to detect biological materials. Bioluminescent agents, traditional fluorescent dyes, isotopes, and nanomaterials have been successfully developed as imaging agents. For example, a small ultra-red fluorescent protein NPs synthesized by An et al. can be used for non-invasive tumor imaging *in vivo* for 7 days [40]. Elisa groups evaluated the ability of five different optical and nuclear tracers (including red fluorescent protein, near-red fluorescent dye, radiolabel, luciferase, and radioisotope) to track extracellular vesicles (EV). All five tracers showed bright imaging *in vivo*, among which radioactivity was the most accurate tracking method for EV [41].

However, some disadvantages of these traditional imaging agents limit their clinical application. For example, the most frequently used fluorescent dyes (coumarins, rhodamines and anthocyanins) and proteins are prone to photobleaching and susceptible to being interfered with *in vivo* background fluorescence [42]. Luciferase can be used as a marker for a variety of enzyme genes due to its specificity and sensitivity, unfortunately, it requires an exogenous substrate to emit light [43]. The emerging nanomaterials are difficult in large-scale preparation, especially for the long afterglow NPs [44]. The semiconductor quantum dots have certain cytotoxicity [45]. The upconversion NPs have low luminous efficiency [46]. The magnetic NPs are prone to agglomeration [47]. In short, these materials have certain deficiencies in their tracking and imaging applications *in vivo*. The characteristics, advantages, and disadvantages of various imaging agents are systematically summarized in Table 1. It is not hardly concluded that imaging agents with good biocompatibility, photostability, strong fluorescence, and visualization in the bio-transparent window need to be further explored.

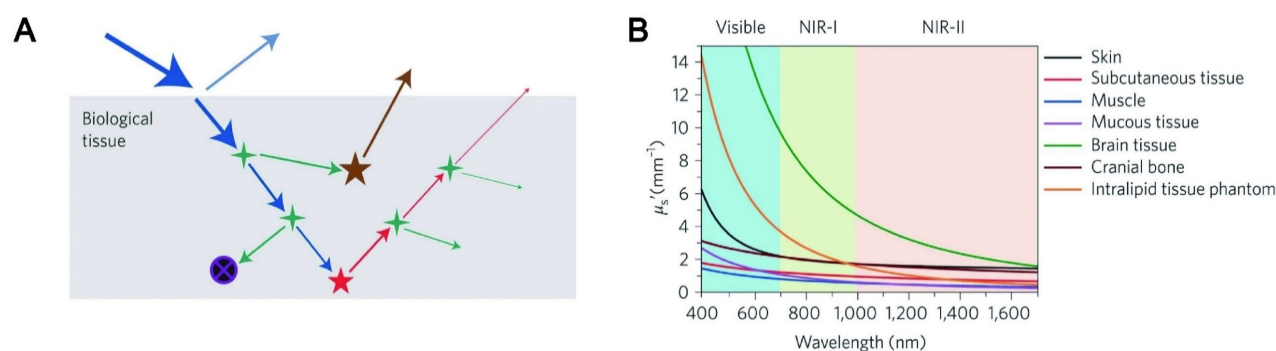


**Figure 1.** CDs-related publications. The black and red line represents data by searching keywords of “carbon dots, imaging” and “carbon dots, *in vivo*”, respectively. (update to October 31, 2022).

**Table 1.** Merits and defects of various *in vivo* imaging agents

		Merits	Applications	Defects	References
Fluorescent proteins		1. High sensitivity 2. Non-toxic	1. Detection and tracking	1. Prone to transduction failure 2. Darker color	[48,49]
Fluorescent dyes	Coumarins	1. High QY 2. Large Stokes shift 3. Good light stability 4. Easy modification	1. Detection of various ions and active substances 2. Fluorescent labeling of nucleic acid and protein molecules	1. Short emission wave 2. Easy to be interfered with background fluorescence	[50,51]
	Rhodamines	1. High QY and molar extinction coefficient 2. Excellent Water solubility	1. Single particle tracking 2. Super-resolution imaging 3. Detection	Absorption and emission wavelengths are only in the visible region	[52,53]
	Anthocyanins	1. NIR fluorescence 2. Good biocompatibility 3. Low toxicity	1. Tumor targeting 2. Imaging <i>in vivo</i> 3. Biosensing	1. Poor light stability 2. Prone to photobleaching 3. Low fluorescence QY 4. Low solubility in water	[54,55]
Bioluminescence	Luciferase	1. Strong specificity 2. High sensitivity 3. Accurate quantification 4. Non-toxic 5. Easy to penetrate membranes barrier	1. Markers of multiple enzyme genes 2. Tracer stem cells	1. Requires an external source to give the substrate to emit light 2. Only two-dimensional images	[56]
Nanomaterials	Long afterglow NPs	1. No excitation 2. Avoid background interference 4. Strong tissue penetration ability 3. No toxic	1. Biosensing detection 2. Imaging <i>in vivo</i> 3. Drug delivery and treatment	1. Difficult to prepare for NIR materials 2. Difficult to modify 3. Poorly water-soluble	[57]
	Semiconductor quantum dots	1. Adjustable size 2. High yield 3. Good light stability 4. Easy modification	1. Imaging 2. Sensor photovoltaic	1. Metal ions 2. Toxic 3. Insoluble in water	[58]
	Upconversion NPs	1. High chemical stability 2. Light bleaching resistance 3. Good water solubility, Low toxicity 4. Long fluorescence lifetime	1. Bioimaging 2. Biodetection, 3. PDT 4. Drug delivery	1. Low luminous efficiency 2. Large size	[59]
	Magnetic NPs	1. Unique magnetic properties 2. Good biocompatibility 3. Large specific surface area 4. Easy to modify	1. Magnetic resonance imaging 2. Hyperthermia 3. Targeted drug delivery	1. Prone to reunion 2. Low use efficiency	[60]
	RNA aptamer	1. No background interference 2. Simple preparation	1. Cell RNA imaging	1. Highly dependent on non-physiological ion concentration 2. Low light stability	[61,62]
	Radionuclide	1. Real-time non-invasive tracing 2. Targeting various biological molecules <i>in vivo</i>	1. Stem cell therapy 2. Tumor cell labeling and therapy 3. Cellular immunity research	1. Low spatial resolution 2. Radiation generation 3. Expensive detection equipment	[63,64]





**Figure 2.** Light-tissue interactions. (A) Excitation light (blue), interface reflection (cyan), scattering (green), absorption (black circle with purple cross) and autofluorescence (brown) all contribute to the loss of signal (fluorescence, red) and the gain of noise. (B) Reduced scattering coefficients of different biological tissues as a function of wavelength in the 400–1700 nm region. Adapted with permission from [65]. Copyright 2019, Springer Nature.

### 3. Physical mechanism of emitting LW fluorescence for *in vivo* imaging

NIR fluorescence imaging offers unparalleled advantages over visible spectroscopy due to the physical mechanism of photon-tissue interaction. In order to obtain satisfactory imaging, it is necessary to preserve each photon as much as possible, and the excitation photon needs to reach a specific depth to excite the three-dimensional distribution of fluorophore molecules in the biological tissues. Simultaneously, the emitted photons can avoid tissue interference and are collected by the photodetector. The four processes related to excitation photons and emission photons of penetrating biological tissue are analyzed (Figure 2A–B) [65–66].

#### 3.1. Reflection

Reflectance refers to the phenomenon that light changes the direction of propagation and returns to the original matter when it travels to a different matter. Owing to the difference in refractive index between the medium and the surface tissue of the object, a small proportion of the excitation light is reflected at the interface. Reflections generally do not cause a severe signal loss because the curvature and roughness of the surface are less than the imaging feature, and the excitation irradiance is near normal. The actual problem is the reflection process of the emitted light. Due to the rough surface of the interface, random reflections of the emitted light generate a lot of background noise. To address this problem, the refractive-index matched medium has been used in practical imaging processes, especially for the application of super-resolution techniques. Furthermore, based on the Fresnel equation, the reflection process depends on the angle of incidence and the difference in refractive index, which is independent of wavelength since the index of refraction varies very little with wavelength. As a result, the dramatic advances in NIR imaging across

the visible spectrum cannot be responded in the reflection process.

#### 3.2. Scattering

Scattering is a phenomenon that the secondary radiation wave is distributed when the surface curvature is large or even not smooth. Scattering events will occur when excitation and emission photons encounter experimental tissue composed of homogeneous matter. Relevant studies have shown that almost all biological tissues are inversely proportional to wavelength, and the wavelength-dependent index varies with the tissue models and different tissues. In addition, the scattering coefficient is largely wavelength-dependent with an inversely proportional relationship. The reduction in dispersion coefficient is accompanied by a reduction in background noise, which means that LW can significantly reduce the interference of background noise. In the NIR region, both excited and emitted photons can propagate into deep tissue with an improved signal-to-background ratio. Zhang et al. synthesized pure red luminescent CD (EM: 678 nm) used by formic acid, CA and urea. A bright two-photon red FL signal with negligible photon scattering effect can be clearly observed in the vasculature of the mouse ear 40 min after injection [67]. The study also found that CDs emitting at 745 nm had a high signal-to-noise ratio (S/N) of up to 18, which exhibited deep tissue penetration and low photon scattering [68].

#### 3.3. Absorption

The absorption of light refers to the phenomenon that the energy of the absorbed photons jumps from a low energy state to a high-energy state under light. Biological tissues consist of many heterogeneous biomolecules that convert photons into heat. In some special organizations, the heat conversion effect is remarkable. This effect will reduce photon generation efficiency and create additional problems, such as thermal reactions. Since absorbance is wavelength-

dependent in various tissues, the currently available solution is to empirically measure the substance under study [69]. An effective strategy is to use liquid water, which contributes significantly to the elimination spectrum. In the NIR region, workers usually set appropriate optical window boundaries for deep tissue imaging to handle the absorption peaks of liquid water.

### 3.4. Autofluorescence

Autofluorescence refers to the spontaneous fluorescence generated when the external excitation light irradiated tissues, cells and living substances. Tissue autofluorescence is the last significant obstacle that can limit depth imaging. Fluorescence photons from different sources including tissue autofluorescence photons and object fluorescence photons tend to diffuse together and create horrific background noise. Fortunately, relevant studies have revealed that LW fluorescence molecules can gradually reduce the level of tissue autofluorescence. It was confirmed that an autofluorescence-free window appeared when the NIR-II channel was extended to rodent tissue. It is worth noting that the autofluorescence-free window will facilitate *in vivo* fluorescence imaging with minimal background interference of tissue origin, especially when imaging organs rich in endogenous fluorophores such as the liver. It was found that CDs synthesized by using CA and urea with an emission wavelength of 650 nm can penetrate about 1 cm thick tissue and were hardly disturbed by background autofluorescence [70]. Similarly, NIR-II CDs synthesized using watermelon juice have been shown to be effective probes for *in vivo* bioimaging due to their lower tissue background fluorescence interference [71].

## 4. General properties of LW-CDs for *in vivo* imaging

In the past few years, an extensive study has focused on the properties and applications of CDs composed of carbon that it is a rich, non-toxic and major component of organisms. This structure lays the foundation for their long-term tracking *in vivo*. Compared to traditional fluorescent dyes and fluorescent NPs, CDs hold excellent properties in high QY, photostability, excellent biocompatibility, sustainable raw materials, easy excretion and lysosomal escape (Figure 3). In this section, we summarize and elaborate on the general properties of conventional CDs and LW-CDs to better understand the properties of CDs applied *in vivo*. Since the characteristics of biocompatibility, sustainable raw materials, easy excretion and lysosomal escape of LW-CDs are not essentially different from CDs emitting visible light, these properties are not analyzed separately for LW-CDs in this section.

### 4.1. High QY

QY refers to the utilization rate of light quantum in photochemical reactions. QY is one of the most important merits of CD. The high QY is necessary for fluorescent CDs with LW emission to realize their application *in vivo*. In the early stage, the QY of CDs is often below 15%, which severely limits their application. Significant progress has been made in increasing QY through surface passivation and doping [72,73]. Relevant studies [74] confirmed that nitrogen (N) or N/sulfur (N/S) doping led to a high QY of CDs with a yield of up to 78%. The QY of N-doped CDs was dramatically improved when the N entered the framework via intramolecular dehydration. The mechanism of high QY was derived

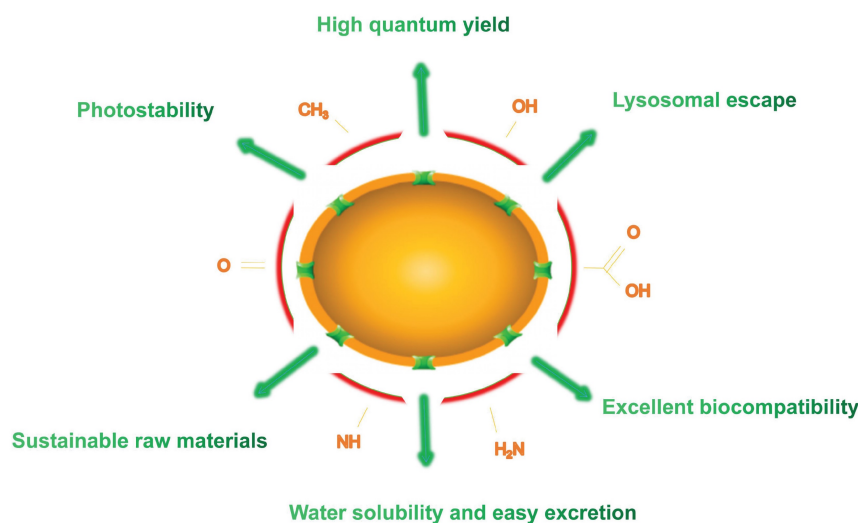
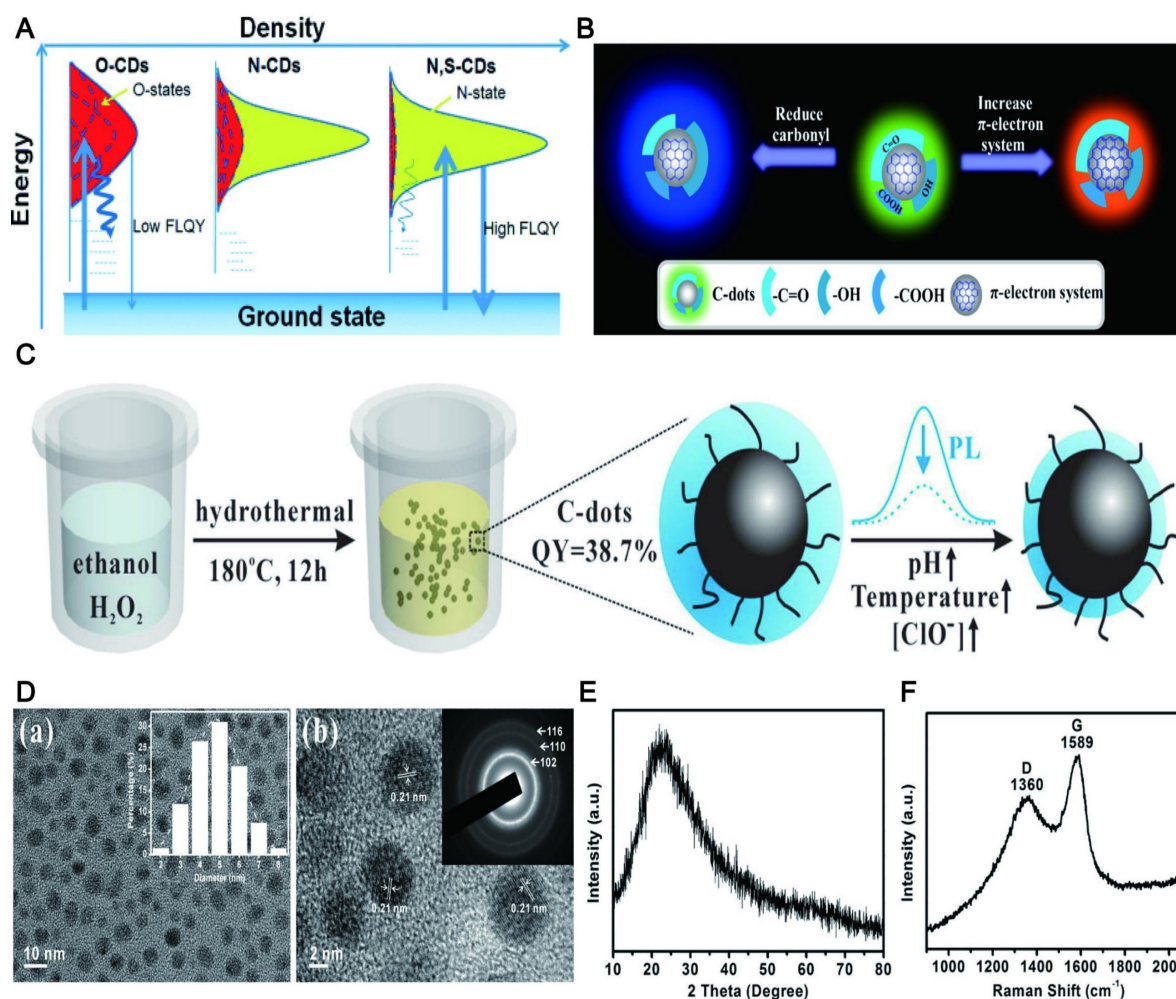


Figure 3. Schematics of emitting LW- CDs.

from the effective binding of N to various functional groups (CO, NH, CN, COOH and COC) [75]. The functional groups of the CDs could reinforce the conjugation degree of the conjugated system, increase the probability of electron transition from the ground state to the lowest excited singlet state, and eventually improve the QY of CDs (Figure 4A) [76]. Similar heteroatom doping includes phosphorus (P), boron (B), selenium (Se), silicon (Si), chromium (Cr), chlorine (Cl), zinc (Zn) and fluorine (F) [77–83]. For example, the QY of CDs synthesized by using CA and thiourea was only 11.4%, while the QY of the CDs doped by B can reach 25% [84]. The QY of N/P co-doped CDs was as high as 43.2%, while the QY of CDs alone doped by N was only 29.0% [77]. This phenomenon may be contributed to the modulation of the chemical and electronic properties of CDs induced by N/P co-doping. The QY of Se/N co-doped CDs could reach up to 52% [85] due to the low electronegativity of Se. The QY of the CDs co-doped by P and Cl was 2 times higher than the single doping

[82].

Notably, the QY of the doped CDs may be further improved through strong hydrogen bonding. The QY of the resultant N-doped CDs dispersed in ethanol was increased to 50.3% relative to those dispersed in water (13.5%) [86]. This possibly resulted from C=O, O-H and N-H of N-doped CDs surfaces can form strong hydrogen bonds with ethanol molecules, thereby stabilizing the excited states for more efficient radiative recombination. Similarly, the QY of N-doped CDs dispersed in polyvinyl alcohol (PVA) solution was increased to 47% [87]. Recently studies proposed that the coupling of the  $\pi$ -electron system to the O-H group decides the size of the energy gap and the QY [88]. For example, its QYs were increased by 6-fold when the O-H content was decreased from 16.3% to 10.6% for CDs-NaBH<sub>4</sub>-NaOH. This might be because the O-H group is the main factor to increase the composite ratio of non-radiation to radiation (Figure 4B).



**Figure 4.** The high QY of CDs. (A) Electrons are excited from the ground state and trapped by the surface states and excited electrons return to the ground state. Adapted with permission from [76]. Copyright 2013, John Wiley and Sons. (B) The QY of CDs was affected by the  $\pi$ -electron system and the O-H group. Adapted with permission from [88]. Copyright 2018, American Chemical Society. (C) A synthetic strategy for highly QY CDs by hydrothermal treatment of ethanol in aqueous hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) solution. (D-F) TEM image, XRD pattern and Raman spectrum of the CDs, indicating high crystallinity of the CDs. Adapted with permission from [76]. Copyright 2015, Elsevier.



Another meaningful way for improving the QY of CDs is via preparing them into the high crystalline state that can avoid the complex post-treatment of surface passivation and heteroatom doping that requires special N-containing organic precursors. The synthesis method did not need any doping and modification by using catechol as a carbon source [89], and the prepared CDs did not require any post-treatment. The QY of the CDs can reach 32% (Figure 4C), while the QY of high crystalline CDs obtained by the hydrothermal method of ethanol with hydrogen peroxide without further passivation or heteroatom doping dramatically increased to 38.7% [90]. The high QY may be due to the highly splendid crystalline nature, which reduced the nonradiative electron-hole recombination center and thus resulted in strong fluorescence (Figure 4D, E, and F).

In addition, CA, folic acid (FA), urea, and thiourea have also been reported to prepare CDs with high QY. The abundant heteroatom of these natural products facilitates the synthesis of heteroatom-doped CDs, thereby eliminating the demand for additional sources of heteroatom. For example, Ding [91] et al. produced red luminescent CDs with QY as high as 53% by heating a formamide solution mixed with CA and ethylenediamine. It was also reported that highly luminescent CDs synthesized by employing FA as a single precursor displayed a high QY of 94.5% in water [92]. The commonly used heteroatom-containing precursors include ethylenediamine [93], L-cysteine [94], PEI [95],  $\beta$ -alanine [96], 1,2,4-triaminobenzene [97], diethylenetriamine [98], ethanolamine [99], and ammonium citrate [100]. These heteroatom-containing materials improve the QY of CDs with varying degrees since they are affected by the level of heteroatom content. In summary, the QY of CDs is affected by doping, solvent, high crystalline state and heteroatom (summarized in Table 2).

Compared to most above-mentioned UV/blue light-triggered CDs that exhibit visible light emission, the CDs with NIR emission (especially NIR-II) in the range of 650–1700 nm generally face lower QYs. For example, the CD developed by Li with 900–1200 nm emission wavelength has a low QY (0.4%) [101]. The highly efficient red CDs synthesized by surface oxidation of large-sized CDs only showed 1.8% QY [102]. Therefore, it is very necessary to summarize and develop LW-CDs with high QYs. It is found that the QY of LW-CDs can be significantly enhanced by avoiding the presence of oxygen-containing functional groups during the synthesis. Yuan et al. presented that the QY of LW-CDs was enhanced by 42% by avoiding the presence of carboxyl groups, and the narrow emission spectrum of red-emitting CDs was successfully narrowed down to 30 nm [103]. Due

to the lack of these oxygen-containing functional groups, the poor water solubility of LW-CDs and the inconvenience of *in vivo* application may also be caused. Some researchers have proposed that the use of highly conjugated aromatic amine molecules (tris(4-aminophenyl)amine, TAPA) and the introduction of oxidative radical reagents can balance the high QY and ideal water solubility of CDs. The QY of the as-synthesized red narrow-emitting CDs (FWHM =  $27 \pm 1$  nm) was up to 84% [104]. In addition, the enhanced QY strategies of conventional CDs described above also apply equally well to LW-CDs, e.g., dispersive solvent effects. Liu et al. found that the QY of LW-CDs synthesized by using p-phenylenediamine or o-phenylenediamine as C and N sources was as high as 52.4% with the increase of solvent polarity [105]. Additionally, the red-emitting CDs synthesized by using different precursors p-phenylenediamine and isocyanatopropyltriethoxysilane hold a high QY of 99% [106].

**Table 2.** The strategy for improving the QY of CDs

	Materials	QY (%)	Mechanism	References
Doping	Urea and thiourea	78	Electron transition from the ground state to the lowest excited singlet state	[74]
	NH <sub>3</sub> and boric acid	43.2		[78]
	Si	97.32		[80]
	Cr	20		[81]
	Phosphoric and hydrochloric acids	15		[82]
	Zn	32.3		[83]
	Boric acid	25		[84]
Hydrogen bonding	Se	52	The stabilization of the excited state for more efficient radiative recombination	[85]
	Ethanol solution	50.3		[86]
	PVA solution	47		[87]
High crystalline state	Catechol	32	Reduces the non-radiative electron-hole recombination center	[89]
	Ethanol	38.7		[90]
Heteroatom-precursor	CA	53		[91]
	FA	94.5		[92]
	Ethylenediamine	73		[93]
	L-cysteine	78		[97]
	PEI	54		[95]
	$\beta$ -alanine	14		[96]
	1,2,4-triaminobenzene	50.3		[97]
	Diethylenetriamine	27.7		[98]
	Ethanolamine	21.85		[99]
	Ammonium citrate	67		[100]

## 4.2. Photostability

The excellent photostability of CDs is contributed to their long-term imaging *in vivo*, while long emission wavelengths often have weak fluorescence intensity and possible photo instability. At present, rare research has been implemented on the photostability of CDs, particularly CDs emitted by LW. Compared with commercially available photolu-



minescent dyes [107], CDs have higher stability and are very resistant to photobleaching. The photostability of CDs is commonly determined by evaluating whether the fluorescence intensity decays [108].

The ideal photostability may be ascribed to that a large number of hydrophilic groups on the surface of CDs can improve their stability in an aqueous solution, thereby enhancing the fluorescence stability [109]. Similarly, the fluorescence intensity of the CDs rich in the carboxyl group and hydroxyl group with 3-aminobenzoic acid exhibited overall stability by laser irradiation from 7 to 180 min [110]. Recently, the pH-induced hydrophilic CDs synthesized by using CA and m-aminophenol as precursors possessed high photostability and tunable LW fluorescence (Figure 5A). What was even more gratifying was that the fluorescence intensity of CDs was kept almost constant when stored in the refrigerator for approximately 100 days [111]. N-CDs with high hydrophilicity commonly exhibit excellent photostability. Moreover, further doping of CDs based on hydrophilicity may promote fluorescence stability. A recent report proposed that N-CDs and N, P-CDs were stable in solution at least for 6 d under laboratory lighting. To evaluate the impact of P-doping on photostability, the accelerated photobleaching study was conducted. The result displayed that the photostability of N-CDs was significantly reduced by 25% compared to the N, P-CDs after 60 min exposure [112]. It can be found that more photostability was obtained by doping the N-CDs with the P element. The researcher reported that F with maximum electronegativity could enhance luminescence stability by forming strong hydrogen bonds [113]. F, N-CDs can keep their stability at room temperature for about 90 days using levofloxacin and PEI as F and N sources (Figure 5B) [114]. The B, N-CDs [115], S, N-CDs [116], N, Cl-CDs [117], P, Cl-CDs [118] and others also have been confirmed to have excellent light stability after doping. In addition, the fluorescence properties of CDs combined with superparamagnetic iron oxide NPs (SPIONs) can be developed to obtain long-lasting fluorescence and excellent magnetic resonance (MR) imaging capabilities [117].

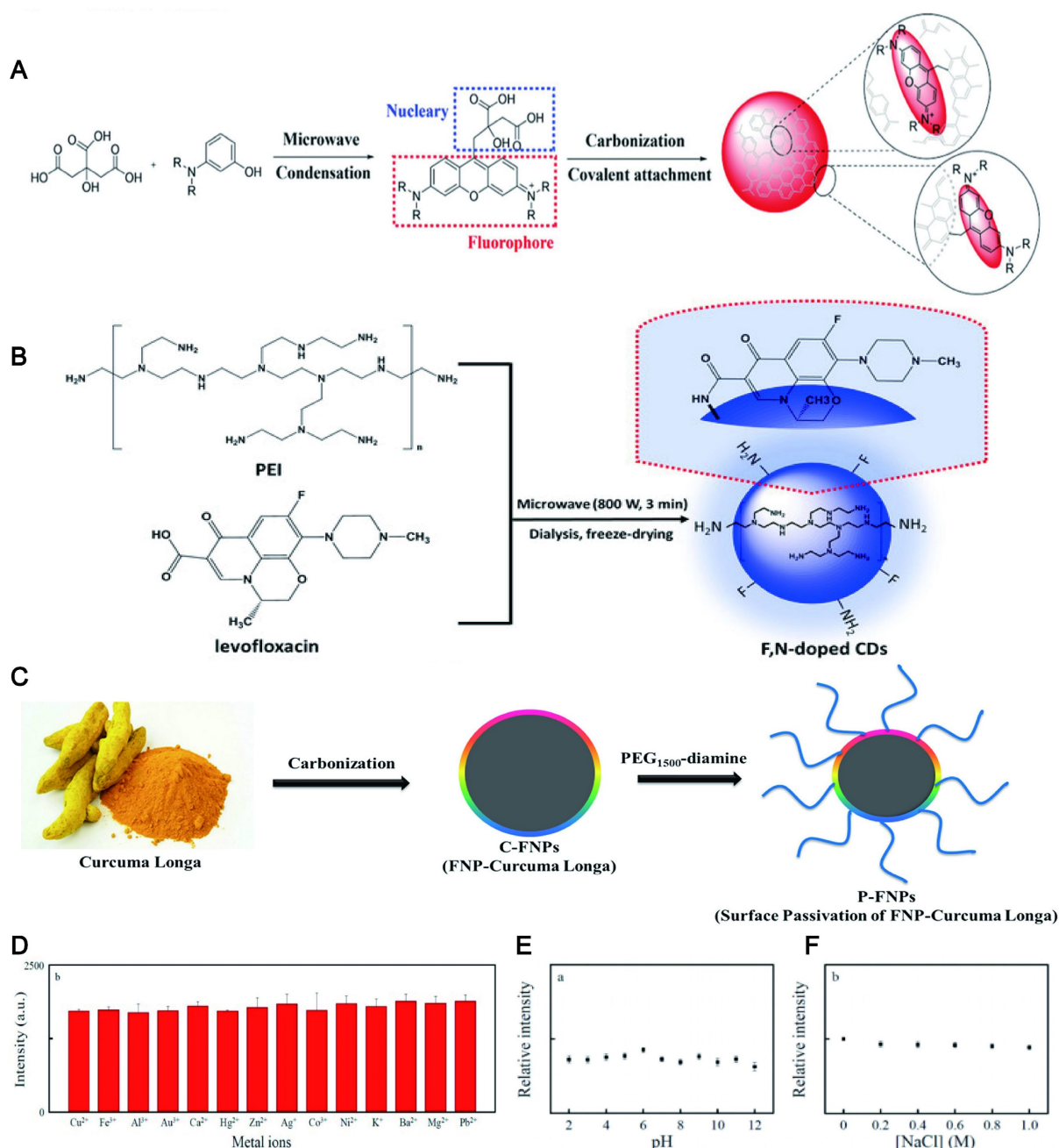
Passivation also can improve the stability of CDs, because their high reactivity and vulnerable surface groups are shielded under the polymer layer such as poly(ethylene glycol) (PEG) [119], PEI [120], PVA [121], polyvinyl pyrrolidone (PVP), etc [122]. For example, covering amine groups in curcuma longa-NPs (C-NPs) by using PEG engendered a longer fluorescence lifetime than C-NPs (Figure 5C) [119]. Similarly, the fluorescence intensity of the CDs

via carbonizing PVP and L-Cysteine was extraordinarily stable even in high ionic strength (1.0 M NaCl) and various pH conditions (2.0-12.0) (Figure 5D, E and F) [122].

Besides, it is discovered that the synthetic route can affect the light stability of CDs. Specifically, even under a strong light source, the CD prepared by the "top-down" method (e.g., by using acid to peel carbon nitride) has extremely high light stability [123]. In contrast, CDs prepared by using "bottom-up" methods (usually by hydrothermal or microwave methods) are relatively susceptible to photobleaching due to a large number of surface defects [124].

In the past several years, the literature reports on the fluorescence stability of LW-CDs gradually increased. Recent studies have found that embedding LW-CDs into a rigid matrix  $Y(OH)_x F_{3-x}$  can significantly improve their photostability, thermal stability, chemical stability and temporal stability [125]. Similarly, embedding LW-CDs into the lipid bilayer of giant unilamellar vesicles can recover within 5 s after photobleaching and maintain photostability [126]. In order to probe the relevant mechanisms of fluorescence stability, Terracina et al. investigated the photostability of LW-CDs by *in situ* observing their time-resolved fluorescence degradation or light absorption changes under equivalent experimental conditions and laser irradiation. It was found that the interaction between the fluorescent unit and the carbonaceous CD core not only affects the excited state decay properties of the former, but also has a protective effect on photobleaching [127]. In addition, the study found that the chemically inert emissive aromatics as the center can prevent the photochemical reaction and structural damage of the LW-CDs. Wu et al. synthesized triglyceride-converted CD by pyrolysis, and the amphiphilic CD underwent self-assembly to form a liposome-like structure with a structural orientation similar to that of phospholipids. The fluorescence intensity of the CDs remained unchanged after continuous UV irradiation for 10 min. As a control, Hoechst 33,342 showed a 50% decrease in fluorescence intensity after 1 min of UV exposure [128].

In summary, the photostability of CDs (including LW-CDs) can be improved by a series of strategies including enhancing the hydrophilicity, doping with heteroatom on the surface of CDs, and passivating by using certain high molecular polymers to mask highly reactive groups on its surface (summarized in Table 3). Thus, the photostability can be adjusted by the emission state of the surface to meet the requirement for *in vivo* visualization track.



**Figure 5.** The fluorescence stability of CDs. (A) Synthesis of CDs emitting LWs and being rich in hydrophilic groups. Adapted with permission from [111]. Copyright 2019, John Wiley and Sons. (B) Synthesis of F, N Co-Doped CDs. Adapted with permission from [114]. Copyright 2018, John Wiley and Sons. (C) The synthesis of carbonized Curcuma longa (C-FNPs) and surface passivation with PEG. Optical stability research of the BN-CDs under (D) various pH value, (E) 365 nm UV irradiation time and (F) ionic strength. At the excitation/emission wavelengths of 520/616 nm. Adapted with permission from [122]. Copyright 2019, Springer Nature.

### 4.3. Excellent biocompatibility

Biocompatibility, including tissue compatibility and blood compatibility, is the prerequisite for *in vivo* tracking imaging. The CDs exhibit excellent biocompatibility and good water solubility. Since the synthesis of CDs is based on carbon-based materials, the biocompatibility of LW-CDs is not significantly different from those emitting visible light. Therefore, the biocompatibility of emitting visible light and LW-CDs will not be analyzed separately here. In most

studies, the cytotoxicity of CDs is significantly lower than graphene oxide nanomaterials and QDs [129]. The research described that *Puerariae lobatae Radix*-CDs were nontoxic to LO2 cells and RAW264.7 cells at a dose below 250 µg/mL [130] and for Hela cells below 100 µg/mL (48h) (Figure 6A) [131]. The viability of Hela cells was merely declined by about 5% even when it was incubated with a relatively high concentration (1.0 mg/mL) of CDs for 24h (Figure 6B-C) [132]. The cytotoxicity of CDs is far lower than that of semiconductor quantum dots which can cause

neutrophil cell death and platelet aggregation [133]. In mice, no pathological damage was observed in various organs (including the heart, liver, lung, kidney, and spleen) after the injection of CDs (Figure 6D-E) [134]. Last, *in vivo* toxicology of CDs with different concentrations studied by <sup>1</sup>HNMR-based metabolomics revealed that CDs did not cause any noticeable tissue damage and biochemical parameter alteration (Figure 6F) [135]. The fluorescent CDs synthesized by  $\alpha$ -Cyclodextrin (0.43 g) and KH<sub>2</sub>PO<sub>4</sub> showed almost no adverse effect on blood components when the concentration was below 0.1 mg/mL. The CDs did not impair the coagulation function after intravenous administration at a dose not exceeding 50 mg/kg [136]. In addition to emitting LW-CDs, studies have depicted that other colors (blue [137], green [138], yellow [139], and orange [140]) of photoluminescence (PL) CDs also exhibit excellent biocompatibility and low toxicity.

**Table 3.** The strategy for improving the photostability of CDs

	CDs	Precursor	Performances	References
Hydrophilic	N-CDs	P-phenylenediamine (p-PD) and ethanol	19 times of continual irradiation (6 min at every turn)	[109]
	N-CDs	CA and m-aminophenol	Continuous laser irradiation for 30 min	[110]
Co-doped	N, P-CDs	Malic acid, ethylenediamine and phosphoric acid	60 min exposure under 350 nm UV	[112]
	F,N-CDs	Poly(ethylene imine) and levofloxacin	Stability at room temperature for three months	[114]
	B,N-CDs	Cresyl violet and boric acid	Continuous ultraviolet light irradiation for 20 h	[115]
	S,N-CDs	Allium sativum peels	Continuous exposure to UV light for 60 min	[116]
	N,Cl-CDs	L-Ornithine hydrochloride	Being stored 1 year at ambient temperature	[117]
Passivation	P,Cl-CDs	Phosphoric and hydrochloric acids and maltose	Continuous excitation at 390 nm for 100 min	[93]
	PEG-CDs	PEG <sub>6000</sub> , pyrene and nitric acid	Photostability after 30 s of irradiation using a laser for 557 nm	[119-120]
	PEI-CDs	Glycerol and branched PEI25k	Stable in the pH range of 5-12	[121-122]
	PVA-CDs	Polyvinyl alcohol and waste tea residue	Stability under the UV light	[123]
	PVP-CDs	Poly(vinylpyrrolidone) and L-Cysteine	Maintain stability for 1 h under continuous UV light (365 nm) illumination	[124]

Although CDs are less toxic than other imaging agents, such as semiconductor quantum dots and graphene oxide nanomaterials, some of them exhibit dose-dependent growth inhibition on the cells when the exposure concentration is too high. Here “CDs” include carbon nanodots, carbon quantum dots and carbonized polymer dots, because graphene quantum dots (although they also belong to the big family of CDs) are usually more toxic than most of CDs as

reported in literature. For example, the cell proliferation was reduced by 60±5% when it was exposed to 25 mg/mL concentrations of CA-CDs, while the cell growth inhibition was not observed when the concentration of CA-CDs was lower than and equal to 7.7 mg/mL [141]. Besides, the toxicity of CDs strongly depends on the fabrication materials. For example, the citrate-derived CDs did not produce any distinct impact at a concentration of 2 mg/mL, while the cinnamon-CDs, chilli-CDs and turmeric-CDs brought about 35%, 50% and 50% reduction in the activity of HK-2 at a concentration of 2 mg/mL, respectively [142]. The cytotoxicity difference of CDs derived from materials might be ascribed to the functional group discrepancy on the surface of the CDs [143]. Their toxicity order was: no doped CDs < N doped CDs < N, S doped CDs [144]. That was consistent with previous reports that different carbon nanomaterials exhibited different toxic effects [145]. At present, the materials commonly used in the preparation of CDs are less toxic to cells or organisms. They include grass [146], egg [147], soya milk [148], algal blooms [149], beer [150], potato [151], instant coffee [152], sucrose [153], CA [154], banana juice [155], amino acids [156], bombyx mori silk [157], glycerol [158] and N-acetyl cysteine [159]. These materials are present everywhere in life with good biocompatibility. Therefore, there are few reports about the toxicity mechanism of CDs. Recently, it is reported that the toxic effect of ginger CDs is related to increased ROS production [160].

The current data above provide valuable safety information for the clinical application of fluorescent CDs. Compared with traditional dyes, semiconductor materials and even carbon-based QDs, CDs have more potential promising for *in vivo* tracing due to their relative safety. For example, graphene QDs exhibited obvious cytotoxicity at very low concentrations (IC<sub>50</sub> = 1.5 µg/mL), which is not suitable for *in vivo* tracing [161]. The further understanding of its potential toxicity and related toxicity mechanism can better promote its clinical application.

#### 4.4. Sustainable raw materials

The initial synthesis of CDs is confirmed by the use of carbonaceous materials. However, based on environmental protection and biocompatibility, green renewable CDs (including LW-CDs) have gradually become more popular relative to chemically derived CDs. To achieve cost-effective, simple, and environmentally benign synthetic methods, various green precursors including bagasse [162], Carica papaya juice [163], lemon juice [164], pomelo peels [165], curcumin [166], orange waste peel [167], and black tea

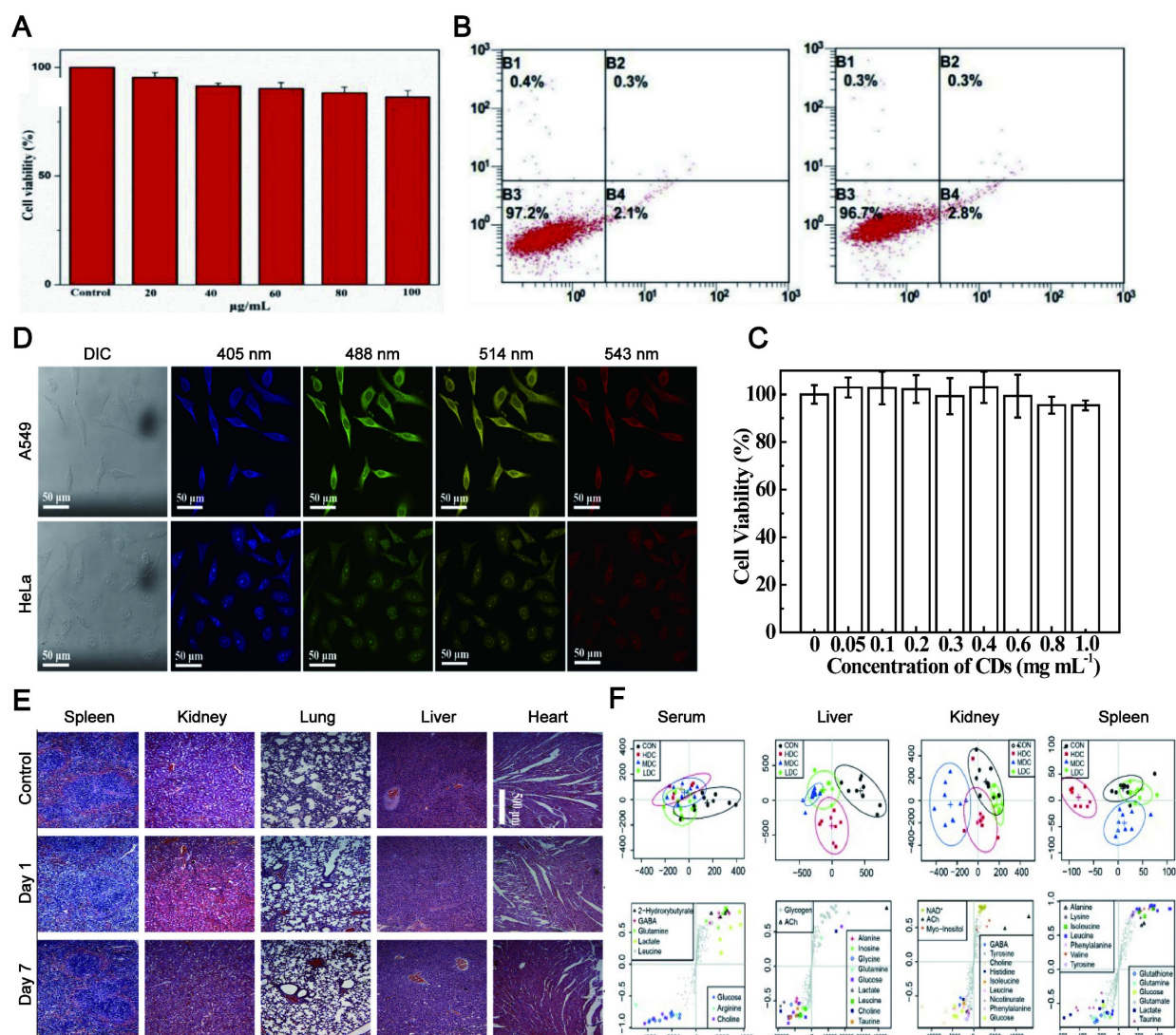


[168] have been widely investigated as raw materials to prepare satisfactory CDs with outstanding optical and electronic properties (Figure 7A-I). The pollen, cabbage, apple, and allium fistulosum-derived CDs with emitting blue, green, and red light at different excitation wavelengths have shown promising fluorescent bioimaging applications in colon cell lines (LoVo), human keratinocyte cancer cells (HaCaT), fungal and bacteria cells as well as breast cancer cell lines [169,170]. Similarly, onion waste [171], osmium sanctum [172] and manilkara zapota [173] have been used as raw material to prepare the CDs by emitting red fluorescent (summarized in Table 4). Many green and environmentally friendly materials have also been used to synthesize CDs with emitting LW *in vivo*. Importantly, these materials could be continuously regenerated from nature. The sustainable and

pollution-free of raw materials will promote more green CDs development and provide the guarantee for the widespread application of CDs in medical fields.

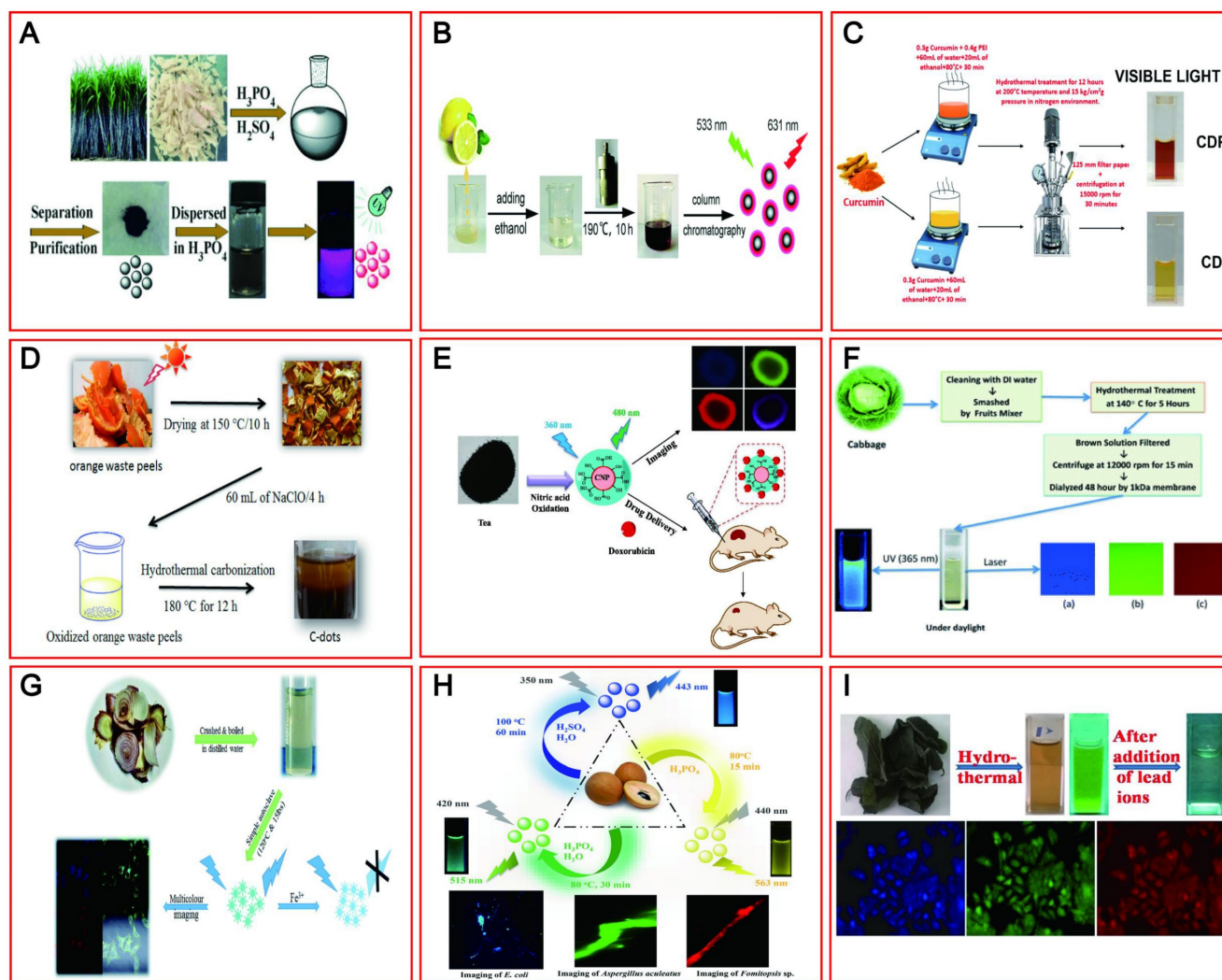
#### 4.5. Easy excretion

As a promising *in vivo* tracer, the rapid clearance from the body should be taken into account to ensure their biosafety. The existing tracers including organic dyes, precious metal NPs and semiconductor oxides have been confirmed by most studies to be harmful to humans, in part due to their hard excretion [174]. CD and its metabolites do not cause harm to the body due to their easy excretion in different ways. Urine and feces elimination are the main excretion routes (summarized in Table 5).



**Figure 6.** The excellent biocompatibility of CDs. (A) The proliferation rate of HeLa cells incubated in CDs over 48 h with different concentrations of the fluorescent CDs. Adapted with permission from [131]. Copyright 2018, Elsevier. (B) Flow cytometry analysis of HeLa cells after the incubation with 100 µg/mL of CDs for 24 h. Adapted with permission from [132]. Copyright 2020, Elsevier. (C) Cell viabilities after incubation with different concentrations of CDs for 24 h. (D-E) Fluorescence images of A549 and HeLa cells treated with CDs and H&E stained tissue slices (Heart, liver, lung, kidney and spleen) of mice injected with CDs aqueous suspension. Adapted with permission from [134]. Copyright 2020, Elsevier. (F) OSC-PLS-DA analysis of the NMR data from serum and tissue extracts of the control and C-dot treated groups. Adapted with permission from [135]. Copyright 2018, Oxford University Press.





**Figure 7.** The natural raw materials for synthesizing CDs. (A–I) A process for synthesizing CDs emitting LWs from various sustainable natural raw materials such as sugar cane bagasse, lemon juice, curcumin, orange waste peels, tea, cabbage, onion waste, ocimum sanctum and manilkara zapota fruits. Adapted with permission from [162, 164, 165, 166, 167, 169, 170, 171 and 172]. Copyright 2015, 2012, 2018, 2013, 2017, 2019, 2017, 2015 and 2016, John Wiley and Sons, Royal Society of Chemistry, American Chemical Society, American Chemical Society, Elsevier, Elsevier, Elsevier, Royal Society of Chemistry and Royal Society of Chemistry.

**Table 4.** The natural raw materials for synthesizing CDs

Precursor	Excitation wavelength (nm)	Emission wavelength (nm)	References
Bagasse	372	627	[162]
Carica papaya juice	Excitation-dependent	Multicolor	[163]
Lemon juice	Excitation-dependent	Multicolor	[164]
Orange peels	460	590	[162]
Curcumin	Excitation-dependent	Multicolor	[166]
Orange waste peel	Excitation-dependent	Multicolor	[167]
Black tea	490	590	[168]
Bee Pollens	Excitation-dependent	Multicolor	[169]
Cabbage	Excitation-dependent	Multicolor	[170]
Onion waste	Excitation-dependent	Multicolor	[171]
Osmium sanctum	Excitation-dependent	Multicolor	[172]
Manilkara zapota	Excitation-dependent	Multicolor	[173]

Some CDs have been reported to be mainly and easily excreted through the kidney filtration system (Figure 8A) [175]. Du et al. showed a novel therapeutic nanosystem based on gadolinium-doped

CDs (Gd-doped CDs) can quickly accumulate in the kidneys and be cleared within 12 h (Figure 8B) [176]. Song groups found that the majority of CDs are excreted from the kidneys within 24 h [177]. In zebrafish, the CDs prepared by employing glucose and ethylenediamine as the precursors and passivated agent can be excreted through the gut partly and mainly by the kidneys [178]. It was reported that 65% of CDs synthesized by employing watermelon juice were excreted from the urine within 6 h post-injection, while the highest fluorescence intensity was displayed in the liver after 24 h and tended to have dwindled after 48 h (35%). These results revealed the major renal clearance and minor hepatobiliary excretion for CDs [179]. It was found that the CDs were mainly accumulated in the kidneys at 1 h after injection via three different routes (intravenous injection, subcutaneous injection, and intramuscular

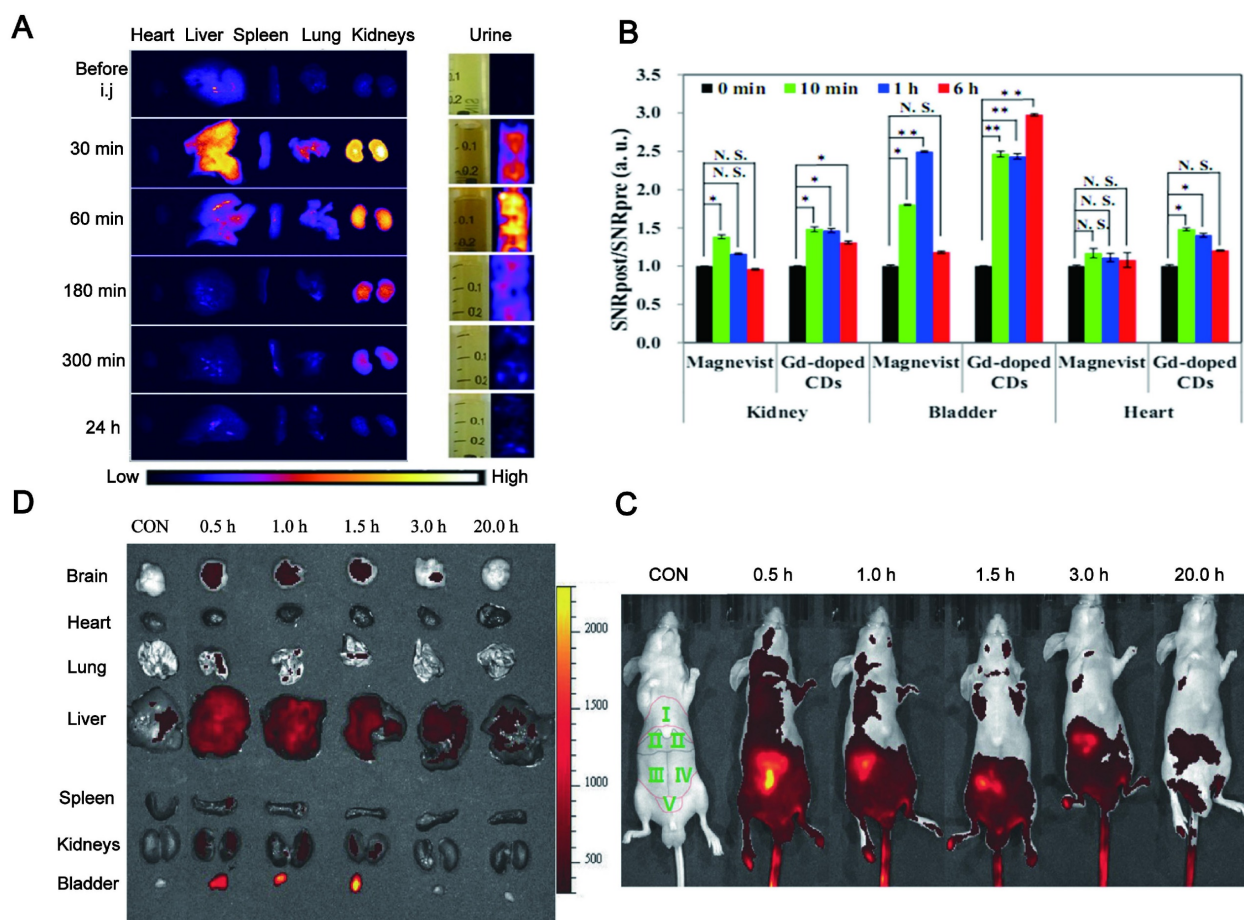
injection) and almost completely excreted from the kidneys within 24 h, while only a small amount went to the liver [180].

**Table 5.** Metabolic pathways of CDs

Metabolic pathway	Precursor	Excitation wavelength (nm)	Emission wavelength (nm)	References
Kidney	CA and urea	Excitation-dependent	Multicolor	[175]
Kidney	Glycine	Excitation-dependent	Multicolor	[176]
Kidney	Atlantic salmon	Excitation-dependent	Multicolor	[177]
Kidney	Dry taxus leaves	350–680	670 and 720	[178]
Kidney	Glucose and ethylenediamine	Excitation-dependent	Multicolor	[179]
Kidney	Gadopentetic acid	Excitation-dependent	Multicolor	[180]
Kidney	Diamine-terminated oligomeric poly	420 and 700	520 and 800	[171]
Liver	Aqueous beetroot	Excitation-dependent	Multicolor	[172]
Liver	O-Phenylenediamine	Excitation-independent	630	[183]
Liver	Watermelon juice	808	925	[36]

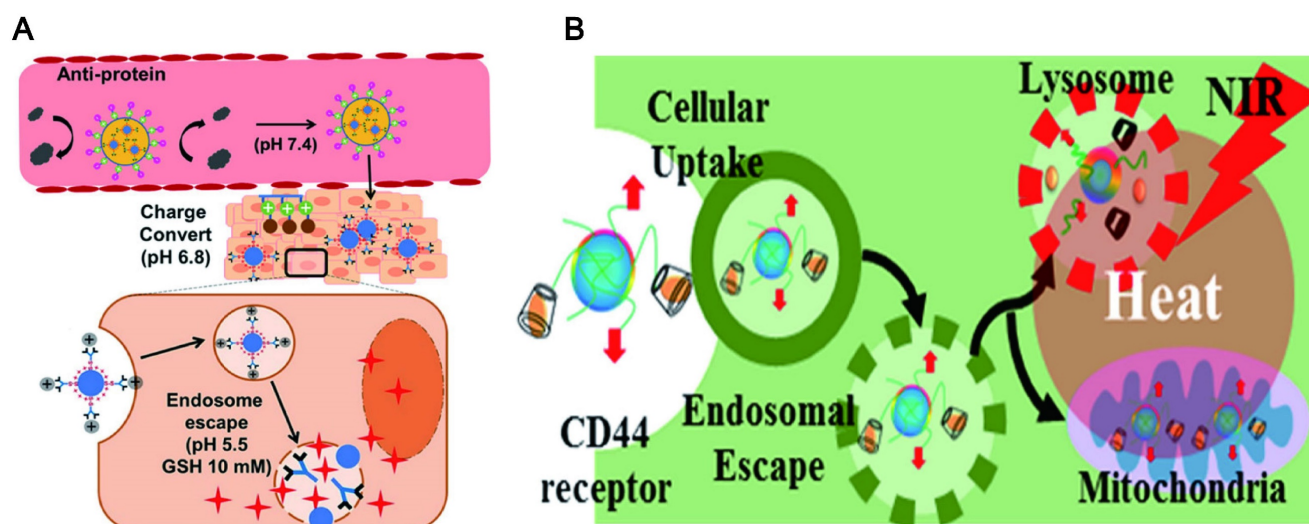
Additionally, some CDs are cleared from the body mainly through feces. Singh et al. reported that the CDs synthesized by using an aqueous extract of beetroot were mainly cleared from the body by feces

when administered by tail vein injection in mice [181]. The strong fluorescence signal of CDs synthesized from o-Phenylenediamine in the urine, liver upper segment and small intestine of mice after tail vein injection was gradually reduced with time. The fluorescence signal in the dissected gastrointestinal tract was moved to the lower part of the intestine, indicating that the CDs could be drained from the biliary tract to the duodenum and then excreted in the form of feces (Figure 8C–D) [182]. In fact, the large NPs (more than 20 nm) subjected to a high capture by the reticuloendothelial system (RES) and macrophages, and rapidly accumulated in the mononuclear phagocytic systems such as liver and spleen, resulting in a quick excretion from the body by the feces [183]. According to the clinic requirement, the CDs can be modified to convert their excretion pathway. For example, PEG-modified CDs greatly reduced the capture of RES [36] and thus be quickly and effectively removed from the body through urine excretion.



**Figure 8.** Metabolic pathways of CDs include the liver and kidney. (A) NIR fluorescence images of dissected major organs from mice. Adapted with permission from [175]. Copyright 2017, Springer Nature. (B) Quantification of signal changes (SNR ratio) in kidney, bladder, and liver at different time points after administration ( $n = 3$ ). Adapted with permission from [176]. Copyright 2017, Elsevier. (C) Real-time *in vivo* imaging of nude mice with intravenous injection of CDs. (D) Real-time *ex vivo* imaging of nude mice with intravenous injection of CD. Adapted with permission from [182]. Copyright 2017, John Wiley and Sons.





**Figure 9.** Lysosomal escape of CDs. (A) Endosomal escape of the CDs at low pH conditions and high GSH concentration. Adapted with permission from [185]. Copyright 2018, American Chemical Society. (B) The target delivery of CDs via endosomal escape. Adapted with permission from [187]. Copyright 2018, American Chemical Society.

#### 4.6. Lysosomal escape

Generally, the failure of many nanomaterials for delivering drugs is contributed to that they are easily captured by the macrophages and then accumulate in lysosomes [184]. This phenomenon prevents the NPs from reaching the target in a complete form. It is a promising characteristic for drug delivery systems to escape from lysosomal degradation. Black tea synthesized CD showed a clear and uniform distribution in the nucleus and cytoplasm of HeLa cells, and a few typical spotting of lysosomal accumulations [168]. This indicated that the CDs can avoid lysosomal entrapment. The escape of CDs from the lysosomal is up to the design of CDs and is also closely related to a functional modification. The zwitterionic decoration poly(carboxybetaine methacrylate) [89] was widely used to modify CDs for lysosome escape by reducing nonspecific protein adsorption. This is ascribed to the facile protonation of the surface guanidine groups of functionalized CDs in acidic media (endolysosome). The proton sponge effects ensure efficient endosomal escape and rapid drug release at low pH conditions and high GSH concentration (Figure 9A) [185]. It was also reported that coating with a macrophage membrane on the surface of CuSCD (hollow-structured CuS NPs composed of CDs) can predominantly accumulate in the liver and spleen at a relatively low level due to the escaping ability from RES [186]. In another way, the protonation of certain amino acids at low pH can trigger the interaction between the peptide and the endosomal lipid bilayer to form pores, which led the membrane fusion and lysis resulting in endosomal escape (Figure 9B) [187].

### 5. Merits of emitting LW-CDs for tracking and imaging

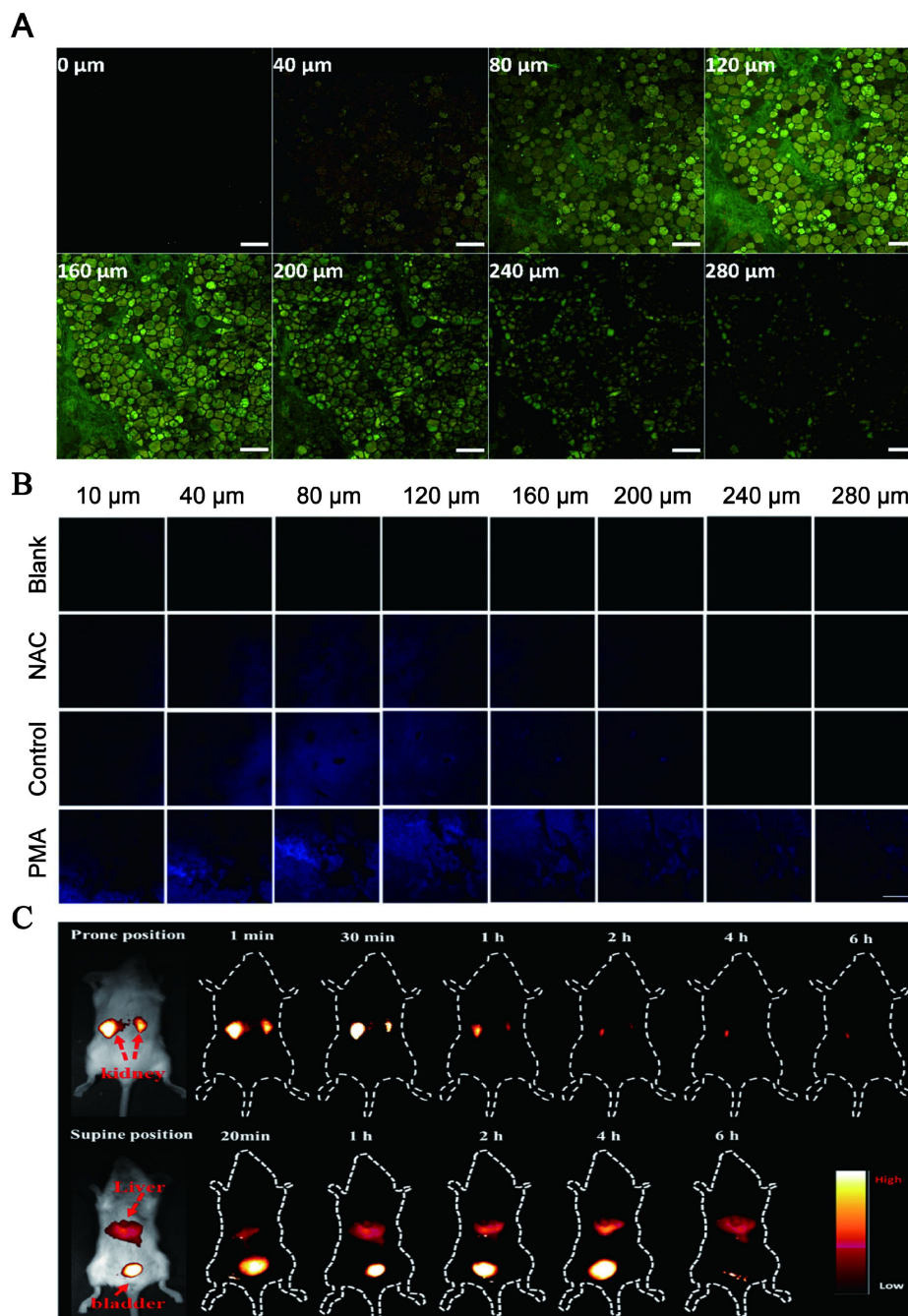
#### 5.1. Deep tissue penetration

Optical imaging has traditionally lacked penetration depth when used in the visible spectrum due to light-tissue interactions. Multiphoton NIR fluorescent technology has been explored to help optical bioimaging break through the limitation of imaging depth from 100  $\mu\text{m}$  to 2 mm with very high fluorescence excitation collection efficiency. NIR CDs are promising candidates for *in vivo* imaging due to their deep tissue penetration. Lesani et al. developed a two-photon double-emission fluorescent multifunctional probe by conjugating fluorescein isothiocyanate on N-doped CD surfaces. The versatile probe showed excellent high-resolution imaging capabilities in deep tissue with penetration depths up to 280  $\mu\text{m}$  in porcine skin tissue by using two-photon fluorescence imaging (Figure 10A) [188]. Zhong et al. developed a two-photon carbon quantum dot-based dual-mode bioimaging nanoprobe for two-photon fluorescence imaging of endogenous  $\text{H}_2\text{O}_2$  in the tumor microenvironment with a two-photon tissue penetration depth of 280  $\mu\text{m}$  (Figure 10B) [189]. Similarly, the near-red CDs synthesized by Liu et al. have a tissue penetration depth of about 240  $\mu\text{m}$  [190].

Although two-photon-induced NIR emission of CDs has excellent penetration depth, its use is limited by the excitation of expensive and complex femto-second pulsed lasers. NIR emission wavelengths are generally divided into two windows: NIR-I (650-900nm) and NIR-II (900-1700nm). Conventional NIR wavelengths (NIR-I, 650-900 nm) are considered the first biological window because it reduces the

absorption and scattering of NIR by blood and water in living organisms. The signal-to-noise ratio (SNR) of bioimaging can be significantly improved in the NIR second region (NIR-II, 900–1700 nm), also known as the second biological window. The study found that NIR-II emission CD with high QY and good biocompatibility under the excitation of the NIR-I window can be realized and the application is more rapid and convenient. Li and his group presented a facile method to synthesize CDs with efficient NIR-II emission (EM: 925 nm) under 808 nm laser excitation

by using watermelon juice as the carbon source (Figure 10C) [187]. The CDs not only have good tissue penetration depth but also can be used for *in vivo* PTT therapy due to their high PT conversion efficiency. The study also found that compared with NIR-I PTT, NIR-II PTT can penetrate about 1 cm thick tissue under 1064 nm laser, so it has a good therapeutic effect on non-superficial tissues and tumor tissues with relatively complete vascular systems *in vivo* [186].



**Figure 10.** Deep tissue penetration of LW-CDs. (A) Light-tis two-photon-excited Z-stack images of pigskin tissue with an excitation wavelength of 750 nm (scale: 200 μm). Adapted with permission from [188]. Copyright 2020, American Chemical Society. (B) fluorescence imaging of liver tissue slices. The scale bar is 200.0 μm. Adapted with permission from [189]. Copyright 2021, Royal Society of Chemistry. (C) Time-dependent bioimaging of mice treated with CDs (20 μg/g) through the tail vein. Adapted with permission from [187]. Copyright 2019, American Chemical Society.



## 5.2. Low photon scattering

The photon penetration mainly relies on light scattering, absorption, and spontaneous fluorescence of tissue during fluorescence imaging of mammalian tissues. The high photon scattering will limit its biomedical applications. According to Mie theory and Monte Carlo simulations of scattering, the photon scattering scale is described as  $\mu_s' \approx \lambda^{-w}$ , where  $w$  ranges from 0.2 to 4 in different tissues [191]. Therefore, fluorescence imaging in the NIR window with longer wavelengths can achieve lower photon scattering. Consequently, the increasing demand for NIR fluorescence with strong penetration in deep tissues and reduced tissue absorption means that fundamental science needs to make major advances in better imaging instruments and new fluorophores.

NIR CDs as a new type of fluorescent agent that may be a promising candidate for reducing photon scattering. Zhang synthesized two-photon and three-photon fluorescent CDs with emission wavelengths of approximately 680 nm and 690 nm via bovine serum albumin-bound pure red-emitting CDs excited by FS pulsed lasers at 1150 nm and 1550 nm. The CDs can be uniformly dispersed in water. After intravenous administration to mice for 12 hours, the FL signal contrast (IT/IN) of the red FL intensities in the tumor site (IT) and near tissue (IN) of the CDs-treated mice can reach a value of greater than 2, which means that tissue absorption/scattering and background interference can be almost negligible (Figure 11A-B) [192]. Zhao and his team found that stable LW fluorescence-emitting CDs synthesized by using an appropriate carbon source glutathione can clearly distinguish the strong autofluorescence of biological samples. In the mouse model, the concentration of CDs showed a good positive correlation with fluorescence intensity, and brighter signals were obtained for up to 22 hours. As a control, the Cy5 probe exhibited a relatively much lower signal intensity due to interference and absorption of the tissue background [193]. Zhang et al. synthesized CDs with a NIR emission band at 745 nm by fusing large conjugated perylene derivatives under solvothermal treatment. Both two-photon NIR angiography and *in vivo* NIR fluorescence bioimaging showed excellent imaging capabilities of the CDs and low tissue background absorption [194].

## 5.3. High contrast resolution

*In vivo* imaging with a high spatial and temporal resolution is an effective strategy for the development of new therapeutics for disease such as assessment of the vasculature and hemodynamics of small vessels. It is well known that conventional fluorescence imaging methods enable visualization of individual aggregates

with a spatial resolution of approximately 250 nm. However, it is difficult to characterize species beyond the optical diffraction limit. At present, the emergence of many super-resolution imaging techniques, such as stimulated emission depletion microscopy, light-activated localization microscopy, stochastic optical reconstruction microscopy, structured illumination microscopy, etc., has overcome the diffraction limit, greatly improving spatial resolution. The key to overcoming the resolution limitations imposed by diffraction is based on the random switching of individual fluorophores between the "on" (fluorescent) and "off" (non-fluorescent) states. In this method, high-precision positioning requires a low-duty cycle and high brightness [195]. Currently, some fluorophores have been extensively studied such as organic dyes [196], fluorescent proteins (FP) [197] and quantum dots [198]. However, for organic dyes, thiols and oxidants are required to tune the blinking behavior of the fluorophore. These additives enable fluorophores to achieve efficient blinking behavior, but can also cause strong cytotoxicity [199]. FPs are always required for efficient protein expression and tend to dimerize or aggregate, leading to artifacts in protein localization [200]. For QDs, being in a state for long periods can lead to poor localization [201]. Additionally, the applications of other organic molecules and inorganic nanomaterials are limited by poor fluorescence stability and toxicity. The study found that CDs formed by carbon-based nanomaterials can display high-resolution imaging *in vivo* by emitting NIR fluorescence (700-1400 nm) to achieve precise spatial temporal resolution. For example, fluorescent CDs synthesized by using phenylenediamine (pPDA) with emission wavelengths up to 700 nm can be formed by adding nickel ions ( $\text{Ni}^{2+}$ ) as catalysts. The red wavelength-emitting CDs enabled nucleolar targeting, and the unique fluorescence emission of Ni-pPCD endowed it with imaging resolutions up to 80 nm. Zooming in on the marker positions revealed full widths of 172, 146, and 164 nm, which were significantly better than 443, 852, and 426 nm in conventional confocal images (Figure 12A-B) [202]. The lanthanide-doped CDs (LD-CDs) as fluorescent probes can emit at a wavelength of 627 nm. LD-CDs labeled EpCAM and NCL proteins in LNCaP cells to provide more precise spatial resolution for these functional proteins at optical resolution (200 nm pixel-1) (Figure 12C-D) [203].

In addition, researchers have proposed that one-photon fluorescence may suffer from several drawbacks: poor photostability, easy photobleaching, and shallow tissue penetration. Two-photon fluorescence can increase penetration depth, suppress background interference, and have a higher spatial

and temporal resolution. Studies have found that CDs synthesized using 2,4-Diaminotoluene, FA and betaine allowed direct observation of chromatin structure with sub-diffraction resolution (90 nm) at very low excitation (<1  $\mu$ W) and depletion power (<5 mW). The dual-mode nanoprobe based on two-photon red/NIR fluorescence CDs greatly benefit the spatial resolution of imaging [204]. Similarly, Zhong et al. developed a two-photon CD-based dual-mode bioimaging nanoprobe for two-photon fluorescence imaging of endogenous  $H_2O_2$  in the tumor microenvironment, with a two-photon tissue penetration depth of 280  $\mu$ m [189].

#### 5.4. High signal-to-background ratios

Signal-to-background ratios refers to the ratio between the net analysis signal and the background interference signal. Fluorescence in the visible spectrum produces background noise that results in a lower signal-to-noise ratio than bioluminescence. Although different techniques can be used to separate the background light, it is difficult to completely eliminate the background noise due to the limitation of fluorescence characteristics. These background noises result in lower sensitivity of *in vivo* imaging systems. Compared to the visible spectrum widely used for biological imaging, the broadly defined NIR region can provide deeper tissue optical imaging and improve signal-to-background ratios. Zhang et al. used small aliphatic molecules to obtain R-CDs (EW: 646 nm) with PLQY up to 65.5% by introducing an electron-donating source and controlling the doping amount of graphitic nitrogen. A single longitudinal mode solid-state CD laser with a signal-to-noise ratio of 14.8 dB is realized [205]. CDs exhibit higher

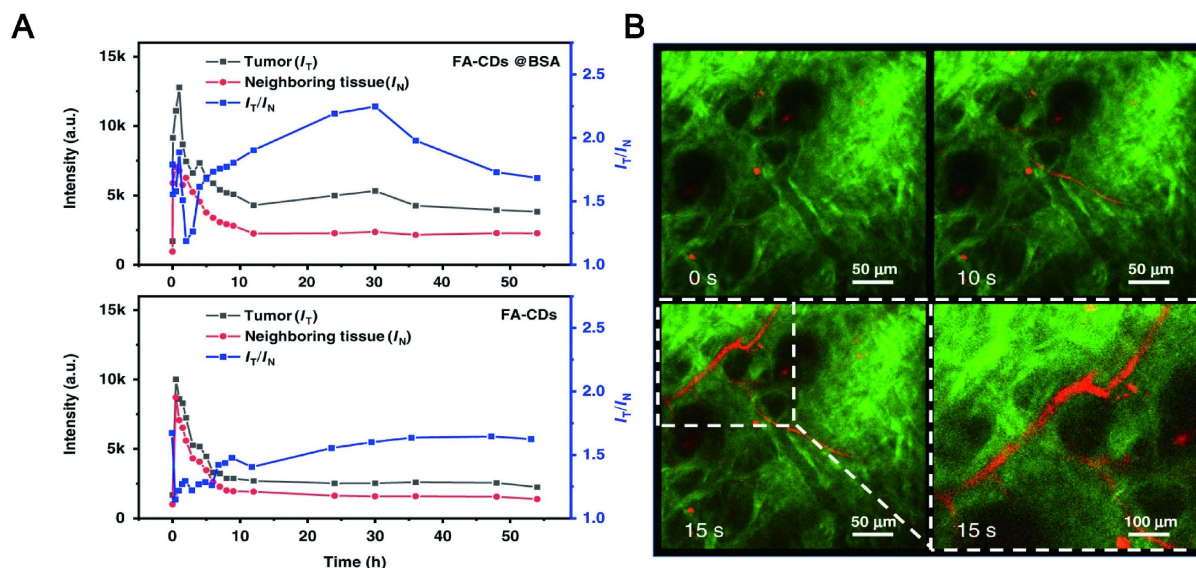
signal-to-noise *in vivo* as the emission wavelength increases. The study found that when the emission wavelength of CDs was increased to 745 nm, the bright NIR fluorescence signal from the mouse intestine after gavage injection exhibited a high signal-to-noise ratio (S/N) of up to 18, which proved that in deep tissue penetration. Transparent *in vivo* NIR imaging demonstrates its ability to penetrate deep tissue [184].

It is worth noting that the specific targeting of NIR fluorescent CD-binding functional materials may be a good strategy for the visualization of lesion sites with high spatial resolution and signal-to-noise ratio. The study found that hyaluronic acid as the natural ligand of CD44 receptor-conjugated carbon quantum dots can achieve targeted aggregation of breast cancer cells. This CD achieved a high level of tumor specificity via local or systemic injection, as judged by a strong signal-to-noise ratio between the tumor and surrounding tissue *in vivo* [206]. Similarly, tumor cells (MCF-7 and K150) can be targeted by using transferrin-conjugated CDs to realize *in vivo* visualization with a high signal-to-noise ratio [207].

Overall, NIR CDs are more attractive for *in vivo* imaging. NIR CDs can effectively enhance tissue penetration depth, reduce light scattering, increase the spatial resolution of local tissues and improve the signal-to-noise ratio, etc., making them promising candidates for excellent imaging agents in the future.

#### 6. Factors affecting the LW emission of CDs

An outstanding feature of CDs is the PL characteristics. Nevertheless, the majority of CDs emit



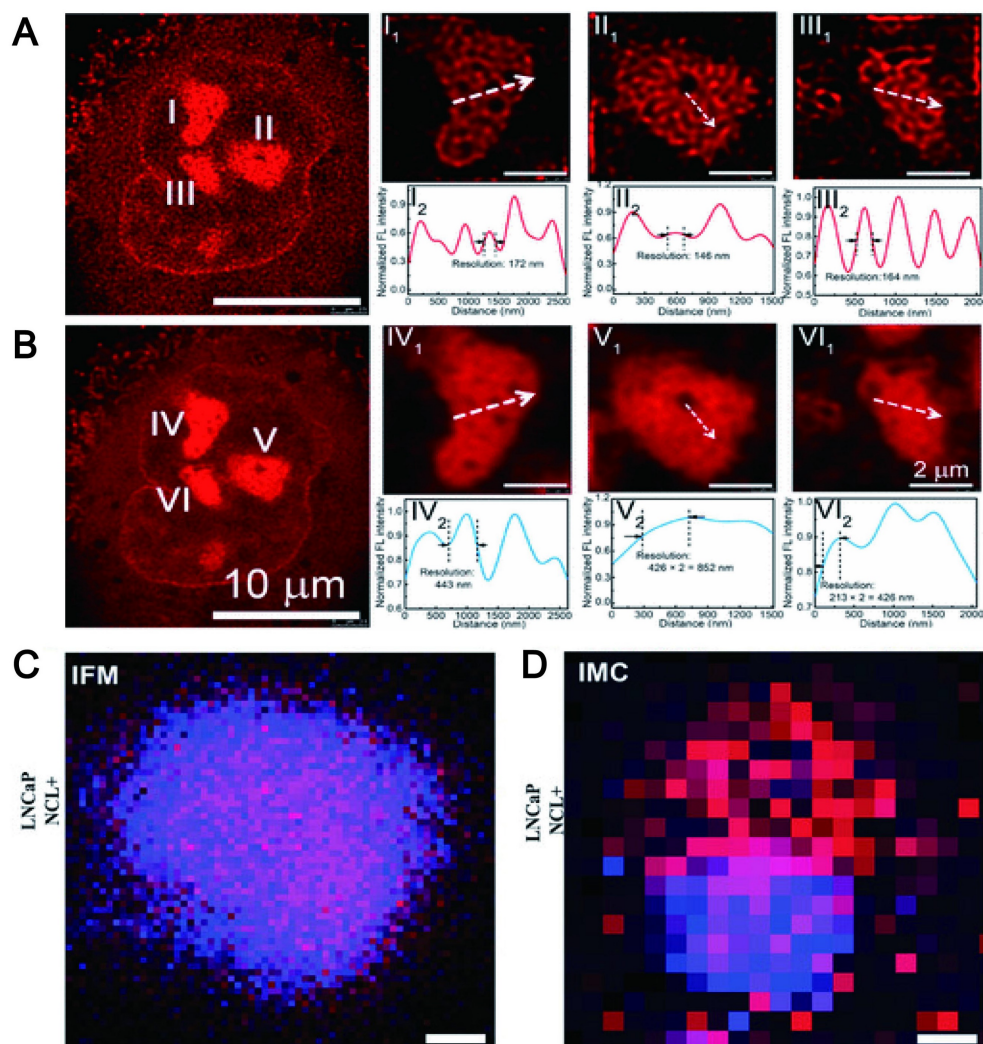
**Figure 11.** Low photon scattering LW-CDs. (A) STED image and (B) confocal image of a representative A549 cell stained by Ni-pPCDs. B. Resolution of IFM image is 200 nm pixel<sup>-1</sup>. Adapted with permission from [192]. Copyright 2022, Springer Nature.

in the visible region range from blue to orange, which extremely impedes their application in life science. The intense emission of CDs in far-red and NIR regions has attracted more attention from researchers. The red luminescence mechanism of CDs is not yet fully understood due to the diversity and complexity of the structure and composition of CDs. The factors affecting the luminescent properties of CDs can be roughly divided into the following points concluded from the existing studies: synthesis method, precursors, size, surface state, concentration and pH value of CDs. Therefore, systematically summarizing the factors affecting the infrared luminescence of CDs is an important strategy for the efficient acquisition of CDs suitable for *in vivo* image applications.

### 6.1. Synthetic strategy

Generally, the synthetic route of emitting LW-CDs can be divided into two main methods: top-down and bottom-up strategies. Top-down

approaches are achieved by exfoliating small carbon NPs from larger mass carbon materials, for instance, arch discharge, laser ablation, chemical oxidation synthesis, etc. The bottom-up approaches involve the preparation of CDs by stepwise chemical fusion of small molecules or polymers after dehydration and carbonization [208,209]. It is reported that the synthetic routes including precursors and reaction methods have a great influence on the fluorescence performance of the prepared CDs [210,211]. The precursors and the preparation process of the aforementioned LW-emissive CDs are summarized to find some regularities for guiding the synthesis of CDs (summarized in Table 6). Among the various synthetic methods, the hydrothermal method is the most widely used because it is simple to prepare and suitable for laboratory research without the requirement of complicated equipment, followed by the solvothermal method. Except for the synthetic routes, a suitable carbon source is very critical for the optical



**Figure 12.** High contrast resolution of LW-CDs. (A) STED image and (B) confocal image of a representative A549 cell stained by LW-CDs. Adapted with permission from [202]. Copyright 2019, American Chemical Society. (C) Resolution of IFM image is 200 nm pixel<sup>-1</sup> and (D) IMC image is 1 μm pixel<sup>-1</sup>. Adapted with permission from [203]. Copyright 2021, John Wiley and Sons.



performance of CD [212]. It was reported that the appropriate aromatic structure is beneficial to LW emission which can inherently reduce the energy gap by creating a large  $sp^2$  domain. Researchers discovered that the introduction of the aromatic molecules of dopamine (DPA) can cause a significant red shift (emission 710 nm) of CDs fabricated by oPDA and DPA [213], while the CDs derived from pPDA and aspartic acid (ASP) emitted 535 nm [214]. The CD synthesized by using 2,5-diaminotoluene

sulfate (DATS), a similar structure to pPDA, had a red emission performance [215]. The CD prepared by CA and ethanolamine showed both molecular state and carbon nucleus state [216], while the CDs prepared by CA and ethylenediamine showed three different emission types of molecular state, aromatic domain state, and carbon nucleus status [217]. Therefore, various precursors have a dramatic impact on the mechanism of luminescence and further affect its LW emission performance.

**Table 6.** A summary of syntheses and FL properties of CDs with red/NIR

	Carbon resource	N resource or others	Synthetic approach	Excitation wavelength (nm)	Emission wavelength (nm)	Mechanism	References
Top-Down	Tire soot	Nitric acid	Oxidation	430-710	500-800	Surface defects	[218]
	Carbon fibers	Nitric acid	Oxidation	Excitation-independent	430-610	Size and degree of surface oxidation	[219]
	Coal pitch powder	Formic acid and $H_2O_2$	Oxidation	Excitation-independent	630	Intermolecular forces	[220]
	Graphite	$K_2S_2O_8$ solution	Electrochemical	Excitation-independent	610	Conjugated $sp^2$	[221]
	Alcohols		Electrochemical	390-410	485-505	Conjugated $sp^2$	[222]
	Toluene		Laser ablation	Excitation-independent	600	Quantum confinement effect	[223]
	Graphite Powders		Laser ablation	400	650	Size	[224]
	Graphite	$NH_2$	Ultrasonic	543	580-750	Surface defects	[225]
	P-phenylenediamine	Phosphorus acid	Hydrothermally	Excitation-independent	622	Surface Molecular Fluorescence	[226]
	CA	Neutral red	Hydrothermally	Excitation-independent	632	Compositions and structures	[163]
Bottom-Up	P-phenylenediamine	$Ni^{2+}$	Hydrothermally	Excitation-independent	535-700	Size and degree of surface oxidation	[50]
	Trimesic acid	4-aminoacetanilide	Hydrothermally	520	582	Size and emissive trap sites	[227]
	2,5-diaminobenzenesulfonic acid		Hydrothermally	Excitation-independent	593	Size and surface defects	[228]
	FA	Phenylenediamine isomers	Hydrothermally	365	530, 429, and 612	Surface state	[229]
	3-aminobenzenboronic acid	2,5-diaminobenzenesulfonic acid	Hydrothermally	520	605	Size and surface state	[230]
	Cresyl violet	Boric acid	Hydrothermally	520	616	Surface state	[139]
	CA	Ethanediamine and lignin	Hydrothermally	375-460	454-535	Aromatic $\pi$ systems and $n-p^*$ transitions	[231]
	O-phenylenediamine	Dopamine and $HNO_3$	Hydrothermally	Excitation-independent	630	Conjugated aromatic $\pi$ systems and hydrogen bonds	[226]
	P-phenylenediamine	O-phenylenediamine and dopamine	Hydrothermally	573	640	Conjugated $sp^2$	[232]
	CA	Urea and N,N-dimethylformamide	Hydrothermally	553	606	$n-\pi^*$ transition of heteroatomic	[233]
	P-phenylenediamine	Urea	Hydrothermally	Excitation-independent	440-625	Degree of oxidation	[234]
	CA	5-amino-1,10-phenanthroline	Hydrothermally	560	630	Phenanthroline part	[235]
	CA	1,4,5,8-tetraminoanthraquinone	Hydrothermally	600	700	$C=C$ and $C=N$	[236]
	L-methionine	urea	Hydrothermally	550	625	Conjugated $sp^2$	[237]
	Selenourea	O-phenylenediamine and hydrochloric acid	Hydrothermally	Excitation-independent	625 and 679	Low-energy surface state	[238]
	CA	Ethanediamine and meso-tetra (4-carboxyphenyl) porphyrin	Hydrothermally	Excitation-independent	645	The surface structures and states	[239]
	1,3-dihydroxynaphthalene	$KIO_4$	Solvothermal	530	628	Conjugated $sp^2$	[240]
	Perylene	$HNO_3$ and $NaOH$	Solvothermal	560	610	Surface electronic state induced by the alkali	[241]
	Taxus leaves	Acetone	Solvothermal	413	722	Excited state relaxation channels and single PL center	[219]
	4-aminophenol	$KIO_4$ and ethanol	Solvothermal	540	620	$C=C$ and $C=N$	[242]
	CA	PEI and formamide	Solvothermal	550	640	Surface state	[54]
	Alizarin	Urea	Microwave-assisted	Excitation-independent	605	$C=C$ and $C=N$	[243]
	Glutathione	Formamide	Microwave-assisted	420	683	Surface molecular state	[244]



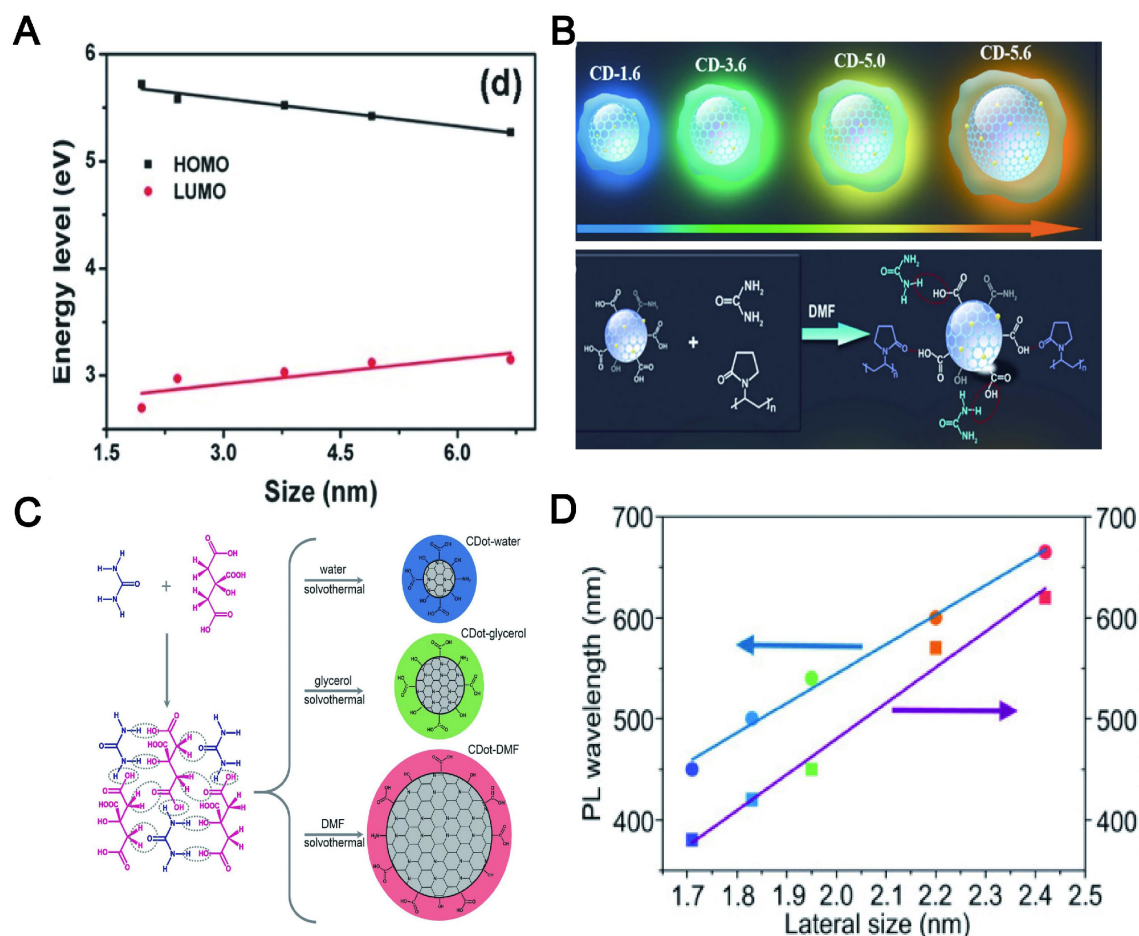
## 6.2. Particle size

Although the PL mechanism of CDs is still under debate, the research community has temporarily accepted two possible emission sources. One of them is the state of the carbon nucleus based on the band gap, which contains conjugated  $\pi$  domains. For this mechanism, the size of CDs, or more accurately the size of the  $sp^2$  domain contained, will affect their PL position. The other is functional groups/structures on CDs surface, which is described in the following "surface state". The increase of the particle size can reduce the energy gap of CDs, resulting in a red shift of the emission wavelength, which is mainly due to the band gap transition of the conjugate  $\pi$  domain in the  $sp^2$  carbon structure core (Figure 13A) [245]. For instance, the color-tunable fluorescence of CDs under UV excitation was realized by augmenting the size, whose color depended on the size of the  $\pi$ -conjugated domains (Figure 13B) [246]. Moreover, the emission

band of CA-CDs was red-shifted from 448 to 550–638 nm [247] when the particle size was increased from 1.7 to 2.8–4.5 nm (Figure 13C). A similar phenomenon was reported that the corresponding PL wavelength of CDs using *o*-phenylenediamine as the precursor was shifted from blue to red with its size increased from 1.7 to 2.4 nm (Figure 13D) [248]. In short, the "particle size" here refers to the internal effective conjugation length or  $sp^2$  domain size in the carbon core that results in a change in the  $\pi$ - $\pi^*$  energy gap, exhibiting size-dependent PL emission behavior, rather than the physical size of the CD.

## 6.3. Surface state

The surface state refers to the formation of the quantum state of the surface electrons into discrete energy levels or very narrow energy bands due to its defects, adsorption of matter and other reasons. The LW emission of CDs is the relevant functional groups/structures on its surface. However, the



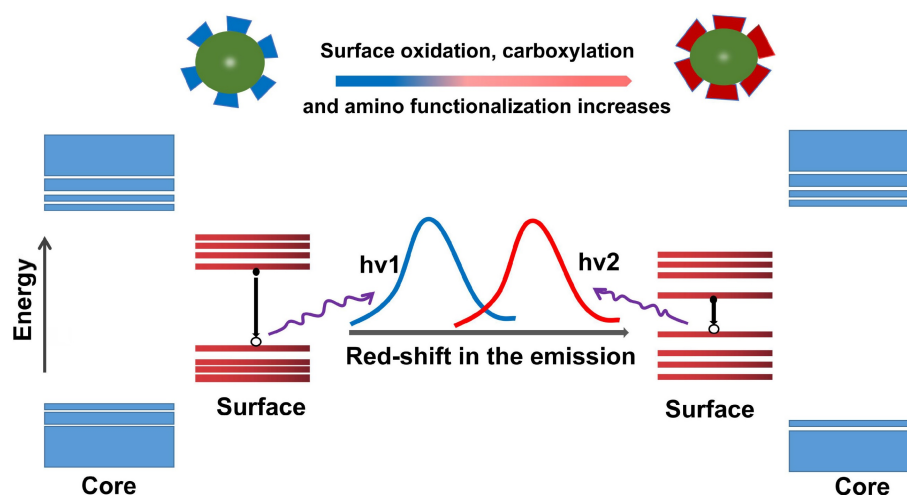
**Figure 13.** The influence of size on the red shift of CDs. (A) The increase in the particle size leads to a red shift of the emission wavelength by reducing the energy gap of CDs. (B) Schematic representation of four different sizes of CDs with the different extent of  $\pi$ -conjugated domains of the resulting CDs. The colors represent the real PL color of the CDs. Seeded growth reaction leading to the formation of CDs and particle size changes. Adapted with permission from [246]. Copyright 2021, John Wiley and Sons. (C) Correlation between HOMO and LUMO energy levels of CDs and their particle sizes. The downtrend in HOMO and LUMO levels leads to red shift of CDs. Adapted with permission from [247]. Copyright 2021, John Wiley and Sons. (D) The degrees of dehydration and carbonization are gradually increased in water, glycerol, and DMF, resulting in the increased  $sp^2$ -domains and red-shifted absorption and emission bands, which agree well with their increased particle sizes. Adapted with permission from [248]. Copyright 2021, John Wiley and Sons.

surface state is complicated because it involves the graphite nuclei within the CDs and the surface functional groups. It has been proposed that all CDs have a common  $sp^2$  domain core and are surrounded by chemical groups rich in N and oxygen on the surface [249]. The fluorescence emission mechanism of CDs has revealed that the energy transfers from the initially excited graphite nuclei to surface defects and emits fluorescence [250]. Surface defects are mainly caused by surface oxidation, which can serve as a trapping center for excitation and induce PL emission [219]. Therefore, the higher the level of surface oxidation of the CDs, the higher the emission efficiency. The research exhibited that fluorescence emission originated from surface defects, which can cause the red shift through surface oxidation [251]. Zhang et al. used a solvothermal synthesis route by using precursors rich in carboxylic acid and amine to prepare CDs and found that when the content of oxygen-rich groups was increased from 17% to 29% and the oxygen-carbon ratio was increased from 0.20 to 0.34, the emission of CDs was shifted from blue to red, indicating that the emission wavelength of CDs is positively correlated with the degree of oxidation [250]. Jiang et al. reported that CDs with and without tartaric acid (TA) rich in carboxylic emitted green and red fluorescence, respectively [252]. Further research found that the addition of TA will raise the level of surface oxidation and carboxyl groups on the prepared CD, which was considered to be the reason for their emission of red shift. Because the band gap of the chemical structure strongly depended on the degree of oxidation and carboxylation. This is also consistent with previous studies that the carboxyl groups coupled to the  $sp^2$  carbon skeleton will cause obvious local distortions, thereby reducing their energy gap and causing a red shift [253].

The amino functionalization of CDs is another strategy for redshift. For example, the PL peak of the CDs synthesized by polycyclic aromatic hydrocarbons (PAH) molecules were transferred to longer wavelengths, which was positively correlated with the number of amino groups. The red shifts of the CDs contributed to the charge transfer from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO) transition at high degrees of functionalization [254]. Shao et al. controlled the amino functional groups on the surface of CDs by adding different proportions of urea as N sources and found that the redshift of the maximum emission fluorescence was increased when the number of amino groups increased [255]. In summary, the surface state of the CDs is considered to be an important factor for adjusting the LW emission, which comes from the narrowing of the band gap (Figure 14).

#### 6.4. Heteroatom doping

The heteroatoms are relative to the main atoms C and H, which generally refers to fewer atoms N, S, P, etc. Heteroatom doping has been confirmed as a practical protocol to adjust the PL of the original CD. This mechanism is attributed to the red shift induction of heteroatom through modulation of the electronic effect of CD electronegativity ( $\chi$ ). Commonly used dopants include N, S, O, F, P, etc. These dopants are divided into electron withdrawing carriers ( $\chi > 2.58$ ) and electron donor carriers ( $\chi < 2.58$ ) according to the electronegativity value and carbon as the dividing point. In molecular orbital theory, the dopant in the host allows the introduction of energy states in the energy gap between the HOMO and the LUMO [256]. For the doped CD, the lower  $\chi$  is beneficial to the red shift spectrum due to the electron donor effect and the



**Figure 14.** The influence of the surface state on the red shift of CDs. CDs undergo red shift and emit LWs through different pathways such as their surface oxidation, carbonization and amino functionalization.

lower light excitation energy (Figure 15) [257]. Senkovskiy et al. used polythiophene-3-boronic acid (PTB) to synthesize B-doped CDs with bimodal characteristics. Compared with B-free CDs, B-CDs showed obvious red-shifted fluorescence emission, which was due to the reduced energy gap caused by the surface defect state generated during the B doping process [258]. A similar mechanism was found to be based on B doping and further preparation of B and N co-doped CDs. It was discovered that these co-doped CDs had higher fluorescence and tunable NIR photoresistance than N-doped CDs. Because the configuration of C and N in CDs was changed by B doping, surface defect states were generated [259]. In addition, Bao et al. found that S doping introduced a lower energy level, thereby reducing the optical band gap and contributing to the strong absorption band from the red to NIR region and the NIR emission under excitation at 655 nm [175].

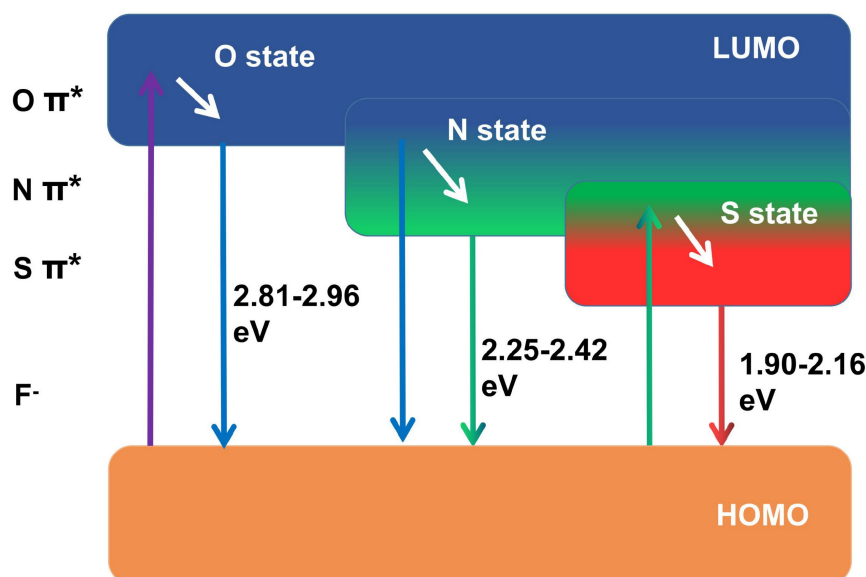
In addition to the mechanism of redshift caused by the above-mentioned electron donor group, as the electron-accepting group with the greatest electronegativity, F has a strong electron-withdrawing tendency, which can reduce the energy levels between the HOMO and LUMO and then tend to redshift [260,261]. The F sources used for preparing F-CD include 4,5-difluoro-1,2-phenylenediamine, fluorinated diglycidyl ether, tetrafluoroterephthalic acid, fluorine sodium, ammonia fluoride, levofloxacin and 5-Fluorouracil [262–265]. Dong et al. used 1,2-diamino-4,5-difluorobenzene as the F source to prepare F-CDs by the one-pot solvothermal method [262]. F doping can realize the red-shift of the emission wavelength by at least 50 nm. Ye et al. used a microwave-assisted method to produce F-CD with

red fluorescence. The fluorescence red shift was attributed to the reduction of the surface state energy gap caused by F-doping [265].

### 6.5. Solvent and concentration

Additionally, CDs exhibit solvent-dependent PL and concentration-dependent PL. For example, the CDs prepared by using p-phenylenediamine can emit broad-spectrum lights (ranging from dark green to red colors) independent of the excitation wavelength when it was dispersed in various solutions ( $\text{H}_2\text{O}$ , acetone,  $\text{CCl}_4$ ,  $\text{CHCl}_3$ , DMF, toluene and  $\text{CH}_3\text{OH}$ ). The emission wavelengths changed to red with the increase of solvent polarity due to the surface electronic state induced by the dipole (Figure 16A) [266]. It was found by Jing et al. that the irreversible blue shift of CA CDs prepared in protic solvents contributed to the high-pressure-induced additive reactions between the solvent molecules and the electron-withdrawing groups on the CD surface. As a control, the reversible redshift of CA CDs synthesized in aprotic solvents (N, N-dimethylformamide) furnished evidence of enhanced  $\pi$ - $\pi$  stacking in the CD cores [267].

CDs exhibited diverse morphologies with the change of concentration in the solvent, resulting in regulable PL emission across the visible spectrum. The morphological changes induced by concentration influence the energy traps relevant to surface states, which reduced their surface electric potentials (V) and thus limited the electron shift to the surface. Therefore, the wavelength of CDs was shifted gradually from 630 to 400 nm as their concentration was decreased in solution (Figure 16B) [268]. The research found that the thickness (0.1–10 nm) of the



**Figure 15.** The influence of heteroatom doping on the red shift of CDs. The HOMO level of CDs increases with doping, which leads to a red shift.

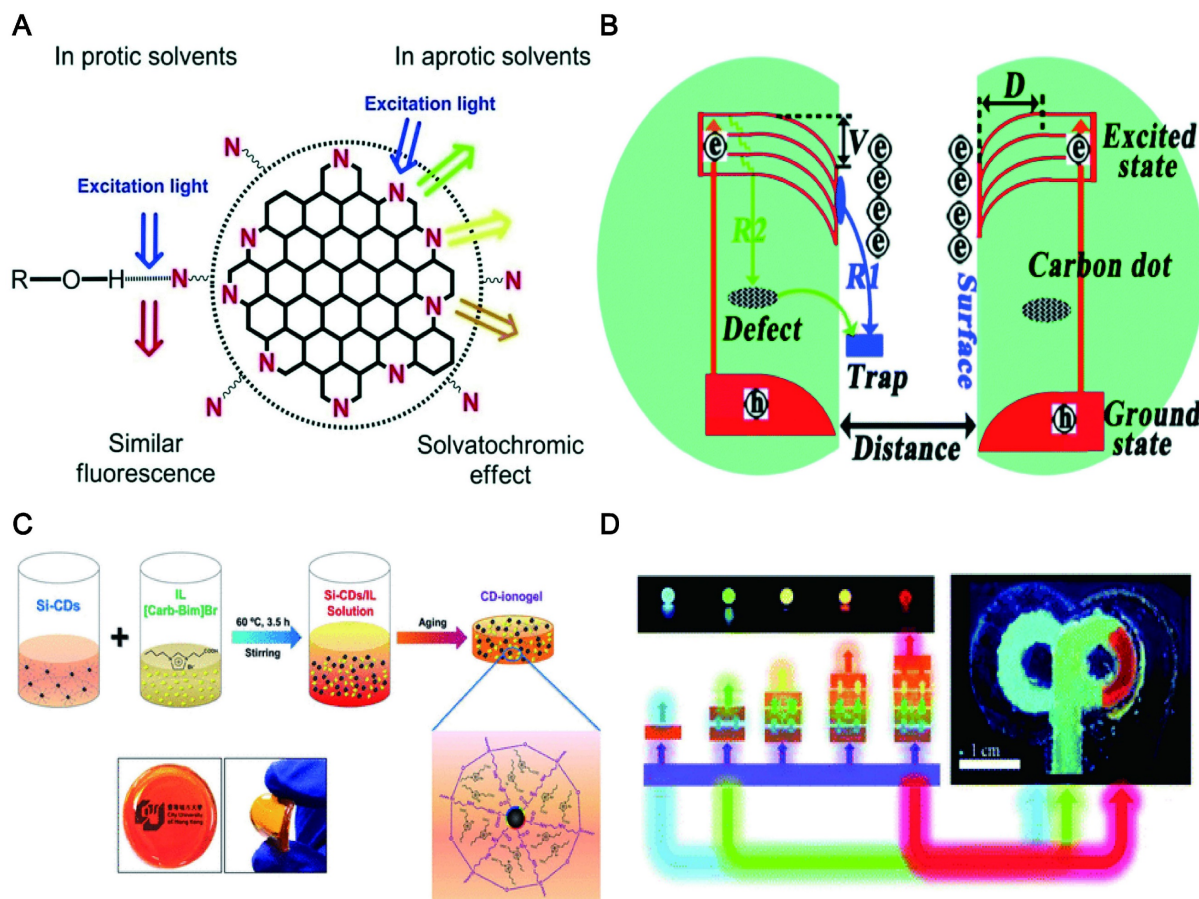


CD-ionogel synthesized by a sol-gel method achieved a full-color fluorescence emission. This remarkable red-shift effect was attributed to the combination of light multiple absorptions and the excitation-dependent PL of Si-CDs dispersed in an ionogel at high concentrations (Figure 16C) [269]. Sun et al. reported that the thickness of the down-conversion layers and the doping concentration of CDs in the polymer matrix determined the emission colors ranging from blue to red (Figure 16D) [270]. Due to the tunable PL (regulated to far-red/NIR) of CDs, it will be beneficial for the targeted tracer imaging of different tissues and organs in the body.

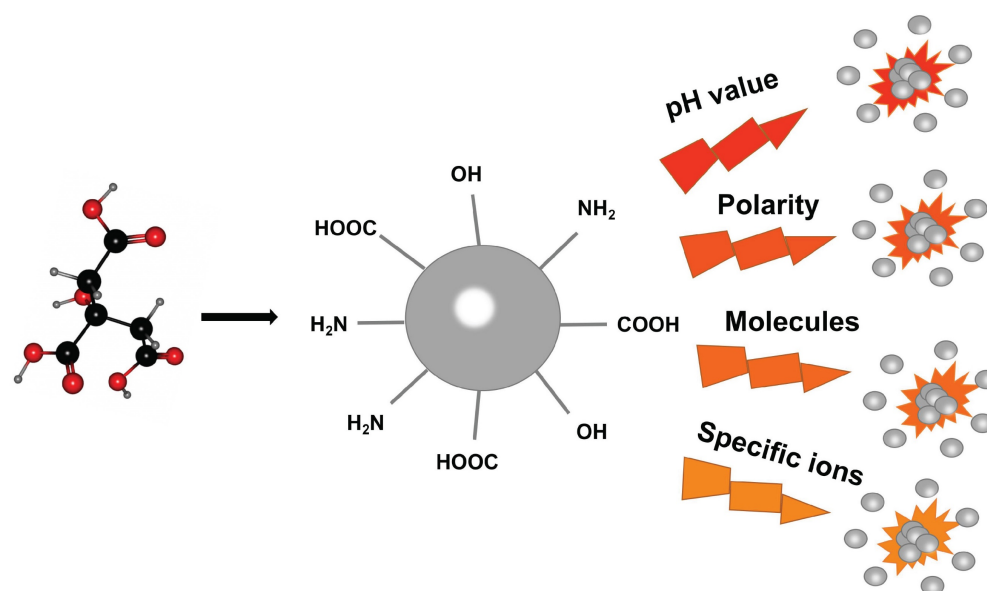
### 6.6. Other factors

In addition to the factors mentioned above, the pH value [271], polarity [272], molecules (e.g., ascorbic acid [273] or sugar [274]) or specific ions (e.g.,  $\text{Ni}^{2+}$  [50] or  $\text{Cu}^{2+}$  [275]) of the surrounding environment will affect the PL characteristics of CDs

(Figure 17). Ju et al. reported that the N-CDs fabricated by employing o-phenylenediamine with a facile method under acidic conditions emitted red fluorescence while the N-CD synthesized under neutral and alkaline conditions emitted orange fluorescence [271]. The emitting red light of N-CDs under acidic conditions may be contributed to the protonation and deprotonation of N doping in rigid carbon framework structures [276]. Hua and his group studied the effects of various metal ions of  $\text{Ag}^+$ ,  $\text{Cu}^{2+}$ ,  $\text{PtCl}_4^{2-}$ , and  $\text{Ni}^{2+}$  on the fluorescence of CDs. The CDs synthesized by using  $\text{Ni}^{2+}$  as a precursor exhibited excitation-independent emission (nearly 605 nm), excellent photostability and polarity sensitivity [50]. Wu et al. proposed that the excitation wavelength-independent red emission band can be controlled by the polarity of the solvent through intermolecular hydrogen bonds between the solvent and the CDs [272].



**Figure 16.** The influence of solvent and concentration on the red shift of CDs. (A) The solvent-dependent photoluminescence is regarded as a dipole-induced surface electronic state change. As the solvent polarity increases, the dipole moment affects the surface electronic structure and reduces the energy gap, resulting in the red shift of the fluorescence emission wavelength. Adapted with permission from [266]. Copyright 2017, Royal Society of Chemistry. (B) Mechanism of PL emission tuning with concentrations. Concentration-induced morphological changes influence energy traps associated with surface states, which was a mechanism of CDs with excitation-dependent PL properties and red shift. Adapted with permission from [268]. Copyright 2017, Royal Society of Chemistry. (C) Schematic illustration of Si-CDs dispersed in an ionogel at high concentrations. Adapted with permission from [269]. Copyright 2014, American Chemical Society. (D) CDs with an emission color ranging from blue to red, which is measured by the thickness of the down-conversion layers and CDs doping concentration. Adapted with permission from [270]. Copyright 2015, Royal Society of Chemistry.



**Figure 17.** The influence of other factors on the red shift of CDs. The CDs exhibit a red shift unrelated to excitation and a red shift of CDs commonly related to the surrounding environment such as pH value, polarity, molecules, or specific ions.

## 7. Visualization tracking and target delivery

### 7.1. Disease diagnosis

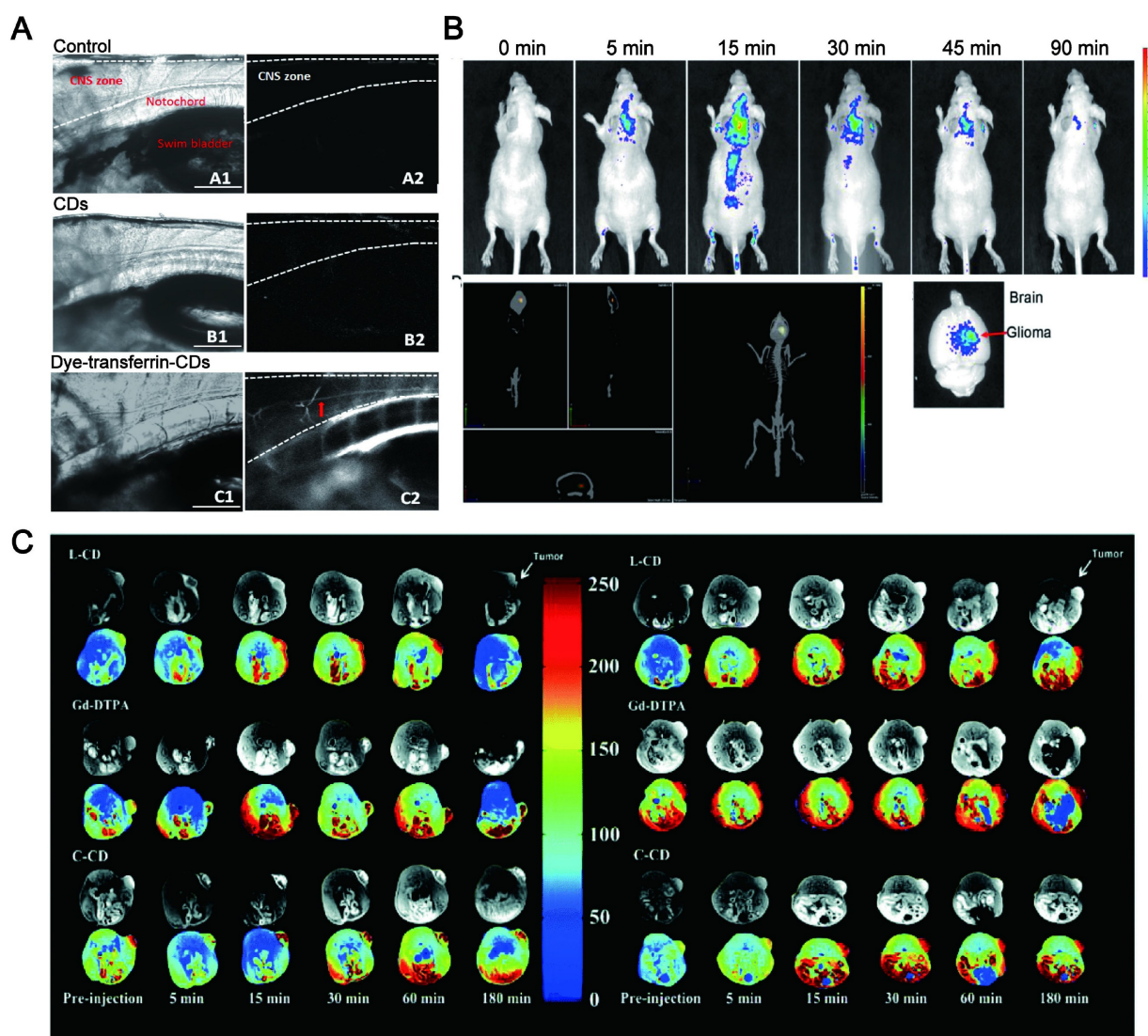
Early detection is a critical stage of prognostic strategies for various life-threatening diseases. The mortality rate could be enormously reduced if they are diagnosed at an early stage. As a novel carbon-based material for fluorescent emitting, LW-CDs have become one of the most effective means to detect the pathological microenvironment *in vivo* due to their excellent luminous, transmembrane transport performance, good biocompatibility, low toxicity, as well as visual assessment of biomarkers for disease. The surface-doped or functionalized CDs solve the issue of being unable to image other nanocarriers (e.g., liposomes, micelles, dendrimers, and meso porous silica NPs) as well as the instability and toxicity of fluorescent dyes and semiconductor quantum dots *in vivo*. Peng et al. reported that the CDs synthesized from carbon nano-powder had high affinity and specificity combined with calcified bones of zebrafish. The chemical modification of CDs by EDC/NHS did not interfere with its bone binding affinity and specificity *in vivo* and achieved stable imaging *in vivo* [277]. This was consistent with Li's report that CDs had a specific affinity with calcified bones for a marked enhancement of luminescence, which is critical for identifying, diagnosing and treating bone diseases [278]. Cao and his research groups also demonstrated the potential diagnostic application of CDs *in vivo* by tracking and imaging. In his study, a relatively straightforward demonstration was to track

the migration of CDs through lymph vessels in mice following the paw injection. The strong fluorescence emissions from the CDs were observed in the axillary lymph nodes 24h post-injection, further confirming that the CDs had the migration performance with preservation of their fluorescence properties *in vivo* [279]. This also revealed the rationality of CDs being used as benign contrast agents for optical biological imaging.

More importantly, the CDs are visualized in the diseased parts via target migration by functional modification [280]. For example, CDs with abundant amino functional groups had good lysosomal targeting performance and thus achieved ultra-fast lysosomal imaging of cells in zebrafish to monitor the apoptosis status of cells. Thus, it can provide aidance for early diagnosis of neurodegenerative diseases, lysosomal storage diseases and several immune system diseases [281]. It was more interesting that CDs rich in carboxyl groups conjugated with transferrin can across the blood-brain-barrier (BBB) in zebrafish through receptor-mediated endocytosis [282,283] and thus the bright CDs can be visualized in the central nervous system (CNS) (Figure 18A). This provided another strategy for the diagnosis of diseases in the brain. It was also reported that CDs synthesized by using tryptophan [284] or aspartic acid can enter the brain tissue without further receptor-conjugation (Figure 18B) [285]. Therefore, it demonstrated a great potential that CDs can be used as imaging diagnose for brain diseases. Importantly, CDs might be promising materials for cancer diagnosis. Yang et al. described that positively charged CDs synthesized by FA and PEI through a

one-step hydrothermal method can effectively reduce the cytotoxicity of PEI and selectively image the folate receptor (FR) in biological systems to isolate positive cells from normal cells [286]. Song's team also reported that FA-combined fluorescent CDs could be used to distinguish the HeLa cancer cells [287]. Liu et al. modified the CD with FA to enhance the optical spatial resolution of cell imaging [288]. Other studies have also confirmed that fluorescent CD combined with FA can be used as a recognition tag to identify cancer cells [289,290]. Recent studies revealed that the luminescent FA-CDs prepared by active dry yeast can noninvasively penetrate the cell membrane and enter the cytoplasm of HepG2 cancer cells through receptor-mediated endocytosis. FA-CDs can effectively identify FR-positive cancer cells from the normal cell mixture [291]. CDs can be also used for the specific identification of other cancer markers such as

microRNA-2 [292], exosomes [293], carcinoembryonic antigen [294], carbohydrate antigen19-9 (CA19-9) [295], CA15-3 [296], CA125 and CA15-3 [297,298], desmin [299], HER2, MCF-7 [300], alpha-fetoprotein [301] and mitochondria [302]. These studies depicted that CDs can be used as a valuable tool for early cancer diagnosis. More significantly, the novel L-CD/C-CD prepared from Gd (iii) salts/complexes, cationic polymers and CA can monitor the gene delivery process in real-time. The experiments were performed in mice bearing mammary tumors with the clinical reagent Gd-DTPA as a control. The result revealed that the continuous effect of C-CD was better, and the enhanced signal was still clearly visible after 180 min. It also confirmed that the EPR effect can make the accumulation of MR signals in the tumor which showed the advantage of CDs in tumor diagnosis (Figure 18C) [303].



**Figure 18.** *In vivo* diagnostic applications of CDs. (A) The bright CDs can be visualized in the central nervous system, which provided a strategy for the diagnosis of diseases in the brain. Adapted with permission from [282]. Copyright 2016, Elsevier. (B) *In vivo* and *ex vivo* imaging of glioma-bearing mice after tail intravenous injection of CDs. Adapted with permission from [285]. Copyright 2015, American Chemical Society. (C) The T<sub>1</sub> weighted MR phantom images (A) of CDs and Gd-DTPA via tumor injection (left) and intravenous injection (right) at different times. Adapted with permission from [303]. Copyright 2019, Royal Society of Chemistry.



Except in the early diagnosis of human and animal diseases, some studies have reported that the research of CDs in plants showed the application prospects of CDs in the diagnosis of plant diseases. Ling and his research groups reported [304] that labeling indole propionic acid (IPA) receptors in plant tissues can further track the signal transduction process of IPA. First, the carboxyl-modified CDs were prepared by cleaving CA at high temperature, and then the IPA-modified CDs were prepared by coupling the amino group of tryptophan with the carboxyl of prepared CDs. The prepared CDs can avoid the green fluorescent background of plants, and realize the labeling and imaging of IPA binding protein in plant tissues.

## 7.2. Integration of diagnosis and treatment

The combination of visualization *in vivo* and treatment strategy has become a promising method to obtain the best therapeutic effect and precision. It has obvious advantages in comparison to a single diagnosis or treatment method. Specifically, the integration of diagnosis and treatment has great potential in terms of patient stratification and personalized medicine, real-time monitoring of drug treatment processes and feedback on drug treatment effects [305]. Compared with small molecules (fluorophores) and traditional semiconductors (inorganic quantum dots), LW-CDs exhibit some special advantages. It is reported that the CDs with NIR fluorescent encapsulated into liposomes can easily display the distribution of liposomes *in vivo* (Figure 19A) [306]. CDs modified by cholera toxin acting as fluorescent neural tracers could be absorbed and retrograde transported by neurons in the mice's peripheral nervous system [307]. Some bacterial diseases can also be treated by selecting the synthesized precursor of CDs [308]. The individual CDs are commonly helpless for serious diseases such as tumors and cancer due to their lacking targeting ability without surface functional group properties (rich in carboxyl, amino and hydroxyl groups). Nevertheless, these functional groups can form a conjugated structure during CD synthesis. The drugs [309], photosensitizers (PS) [310], deoxyribonucleic acids [311], peptides and biomarkers (such as FA) [312] molecules can be loaded on the CD through the hydrophobic effect and  $\pi$ - $\pi$  interaction. CDs doping and modification can be combined with the current therapeutic tool (PTT, PDT) since they can simultaneously act as tracers, photosensitizers and PT agents. It is not hard to find that CDs have bright prospects in the integration of diagnosis and treatment of diseases.

PTT is a promising method of cancer treatment.

Ideal PTT drugs should absorb longer-wavelength radiation for the thermal ablation of cancer cells. A variety of organic and inorganic materials such as indocyanine [313], phthalocyanine [314], diketopyrrolopyrrole [315], cocaine [316], porphyrin [317], Au NPs [318], Pd NPs [319], metal sulfide [320], iron oxides [321], and Graphene quantum dots [322] have confirmed to be the potential NIR absorbers. However, they can't trace and image *in vivo*. This prevents them from monitoring treatment effects in real-time. The CDs have the dual effects of thermal ablation and trace. It was reported [323] that the cytoplasmic dissolution and nuclear deformation were observed under 980 nm laser irradiation for N-doped CDs located at the cytoplasm of cancer cells for 5 min and 10 min. It was mainly ascribed to the enhancement in intracellular temperature. As reported in many pieces of literature, this PT effect may trigger certain cellular responses that led to a transformation of plasma membrane function and activation of heat-sensitive proteins in cancer cells (Figure 19B) [324]. More intriguingly, the CDs with red emission can be simultaneously used as fluorescence tracers, photoacoustic (PA) imaging and hyperthermia agents in tumor diagnosis and treatment in living mice (Figure 19C) [325]. The photo-thermal conversion efficiency of the prepared CDs under 671 nm laser irradiation was as high as 38.5%. Importantly, red FL imaging can be used with excitation at 350-600 nm, corresponding to PA imaging and PT treatment at 671 nm. The NIR CDs not only have better bioimaging, but also provide more efficient PTT. The NIR-CDs prepared by a cyanine dye and PEG-800 held a high PT conversion efficiency of 38.7% and NIR fluorescent imaging *in vivo* [326]. The S, Se-codoped CDs independent of the excitation wavelength showed a PT conversion efficiency as high as 58.2% and bright fluorescence imaging [327]. The PTT and PCT performances of 0D/2D/0D sandwich heterojunction were further enhanced by using 0D N-doped CDs and 2D MoS<sub>2</sub> nanosheets. This method further improved the NIR absorbance and thus realized a high PT conversion efficiency of 78.2% (Figure 19D) [328]. Recently, a simple solvothermal method was reported to prepare the NIR CDs with high PT conversion efficiency (59%) by using CA, urea, and DMSO and visualization imaging (Figure 19E) [175].

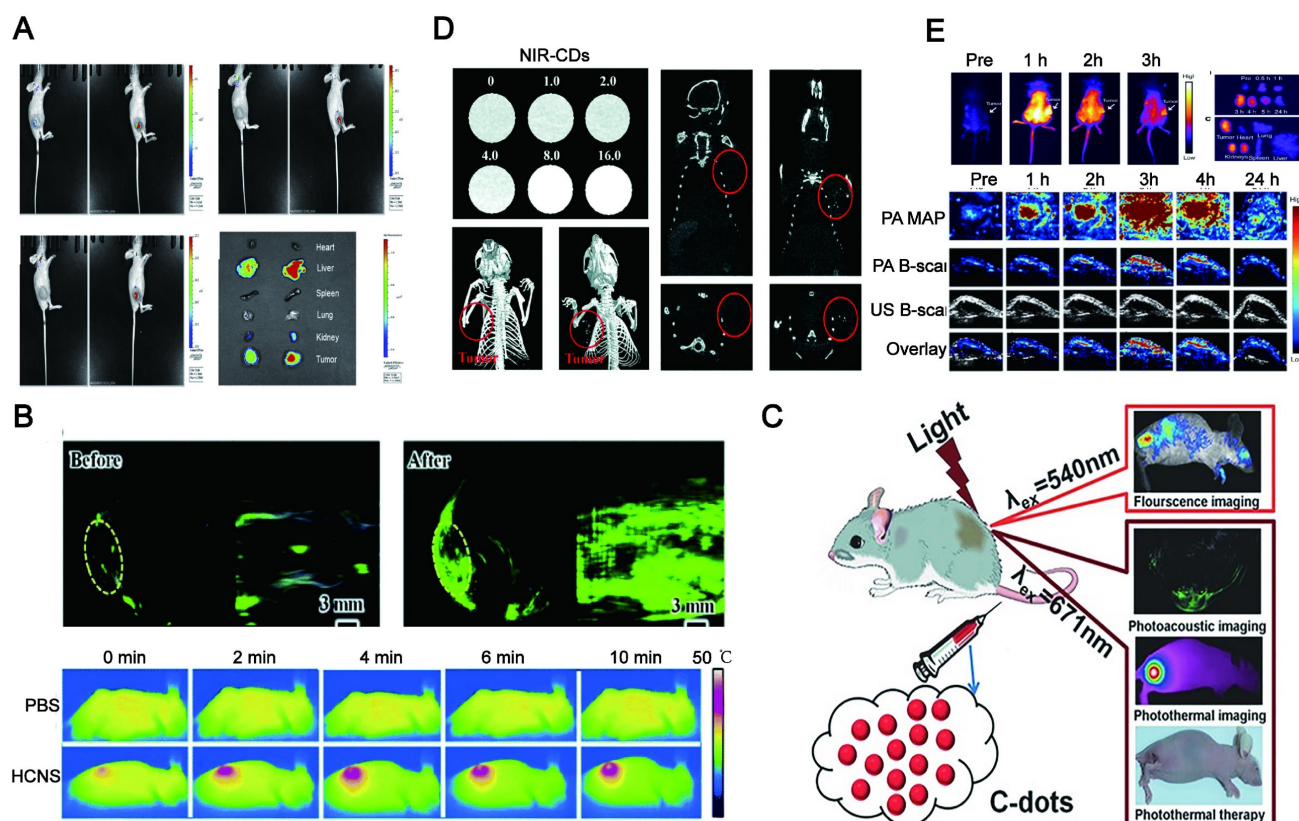
The multi-functional CDs not only achieve integration of diagnosis and treatment, but also support more precise targeted imaging and therapy *in vivo*. The multi-functional LW-CDs can combine with specific biomarkers for targeted diagnosis and treatment. For example, both the integrin V family overexpressed in the membranes of tumor cells can be

used as signals for early detection of the tumor [329]. The CD-based nanocomposites modified by the ligand of integrin V can be explored as multifunctional reagents for PA imaging and PTT. The temperature of Integrin  $\alpha_v\beta_3$  modified CD nanocomposites was increased up to 14.1 °C under an 808 nm pulsed laser for 5 min, while the native solution did not exhibit a notable PT effect [330]. Importantly, the nanocomposites could target the cell membranes of superficial malignant tumors due to the specific recognition of arginine-glycine-aspartate (RGD) ligands with integrin  $\alpha_v\beta_3$  receptors [331].

In addition to ligand modification, some stimuli-responsive CDs have been recently explored for targeted imaging and therapy. A functional nanocomposite system consisted of CDs embedded with a magnetic  $\text{Fe}_3\text{O}_4$  core and a mesoporous silica shell was reported to be used as an imaging probe and PT agent. The nanocarriers release paclitaxel (PTX) in answer to NIR radiation due to the local heating of CDs. This responsive composite nanosystem can achieve both the chemotherapeutic effects of PTX and PDT therapeutic effects by heat-killing cancer cells [332]. Similarly, a multi-stimulus response system that

combined infrared thermal imaging of cells with thermochemotherapy was recently explored to target tumors [333]. A pH/redox/NIR multi-stimulus response system was also constructed [334].

Multifunctional CDs can also be used in PDT for effective disease diagnosis and treatment. The fluorescent CDs (DPP CD) of diketopyrrolopyrrole could maintain the ability of DPP to produce singlet oxygen and greatly inhibit tumor cell growth under laser irradiation (540 nm), and also realize the visualization of the target site [335]. Similarly, the QY of singlet oxygen in copper-doped CDs reached up to 36%, and achieved PDT and imaging at the same time [336]. Hypoxia was an important feature of the tumor microenvironment ( $\text{pO}_2 \leq 2.5$  mmHg), which greatly limited the efficacy of PDT [337]. The currently reported "photosensitizer-upconversion NP" mode was considered to provide a poor solution for PDT, because of the low QY (usually less than 3%) and the severe tissue overheating caused by 980 nm laser [338]. It was worth noting that the light-driven water-splitting method based on CDs had been tried to solve the problem of hypoxia at tumor sites. The mechanism for introducing CDs was to increase red



**Figure 19.** CDs as a new strategy for integrated application of diagnosis and therapy *in vivo*. (A) *In vivo* PL images of nude mice treated with an intratumor injection of CDs. Adapted with permission from [306]. Copyright 2019, Elsevier. (B) Photoacoustic images and PT images of the HeLa tumor-bearing mice after the intravenously injected with CDs. Adapted with permission from [324]. Copyright 2019, Royal Society of Chemistry. (C) CDs act as multifunctional fluorescent, photoacoustic, and thermal theranostics for simultaneous diagnosis and therapy of cancer. Adapted with permission from [325]. Copyright 2015, John Wiley and Sons. (D) CT images of NIR-CD/MoS2 solutions with different concentrations and CT images of mice before and 24 h after i.v. injection with NIR-CD/MoS2. Adapted with permission from [328]. Copyright 2019, Royal Society of Chemistry. (E) NIR fluorescence images of mouse bodies, H22 tumors and major organs after intravenous injection of CDs at various time points. Adapted with permission from [175]. Copyright 2018, Springer Nature.

region absorption to trigger the *in vivo* water-splitting process. The wide band gap of the separate water-splitting materials (eg.  $\text{TiO}_2$ ) caused the rapid recombination of photogenerated electron/hole pairs [339], which were poor to the response of infrared light. As known, element-doped CDs can increase the therapeutic effect of PDT. For instance, CDs doped by  $\text{C}_3\text{N}_4$  enhanced its red region absorption and thus trigger water-splitting *in vivo* [340].  $\text{Mn}^{2+}$  doped CDs can impart strong magnetic resonance capability ( $r_2/r_1$  ratio of 5.77) [341]. The functional CD synthesized by different precursor molecules played an important role in PDT. Li et al. reported that porphyrin-based CDs (TPP-CD) had effective PDT activity after irradiation [342]. The main reason for the gap between the two groups may be attributed to that N - H, C - N, and C - O bonds existed in TPP CDs [343].

Currently, the special properties of CDs enable the combined application of PTT and PDT as an ideal therapeutic strategy. Studies have shown that multifunctional nanocarriers fabricated by the assembly of iron oxide-modified CDs ( $\text{Fe}_3\text{O}_4$ -CDs) and black P quantum dots (BP-QDs) could produce the synergy of PTT and PDT [344]. Interestingly, the introduction of  $\text{SiO}_2$  could improve the chemical stability of the nanocomposite in the physiological environment, and prevent the absolute extinction of its fluorescence [345]. Another study embedded PS and PT agents in nanocarriers, reducing the risk of therapeutic drugs entering the blood circulation after intravenous injection [346]. The HB-CDs prepared by using HB as biomass materials could highly generate singlet oxygen (0.38) and PT conversion efficiency (27.6%) as well as imaging under 635 nm laser irradiation [55]. This enables HB-CDs a potential bifunctional delivery vehicle for synergistic PDT/PTT treatment of tumor cells. Synergistic therapy of PDT/PTT often uses different excitation sources to respond to PDT and PTT, respectively, but the problem of high-power laser irradiation for PTT has not been properly dealt with. Recently, Sun and his research team proposed a new concept for collaborative PTT/PDT. They anchored a small amount of photosensitizer Ce6 onto CDs with red fluorescence that possessed superior PT and PD characters under the same wavelength of 671 nm NIR laser [54].

It can be found that the combination of CDs with PTT and PDT treatment strategy has great application prospects. In addition, LW-CDs can significantly enhance the imaging and therapeutic effect of PTT and PDT.

### 7.3. Visualization of drug-targeted delivery

The CDs with a small size (<10 nm), easy surface modification, LW fluorescence emission and water-solubility have become the preferred carrier for targeting and tracking imaging *in vivo*. The CDs combined with the drug by non-covalent bonds, covalent bonds, electrostatic interaction and hydrazone bonds [347-350] can establish a stable delivery system *in vivo*. This delivery system was visualized in the lesion site and triggered the drug release through different pH in the internal environment. Jiang et al. synthesized NIR gadolinium-doped CDs using 3,4-dihydroxyhydrocinnamic acid, 2,2'-(ethylenedioxy)bis(ethylamine) and gadolinium chloride as raw materials. Gadolinium-doped CDs loaded with the chemotherapeutic drug doxorubicin hydrochloride (Dox) showed clear visualization imaging by MRI and rapid drug release in tumor sections (Figure 20A) [351]. Wang and his research groups reported a chitosan-CDs hybrid nanogel for simultaneous NIR imaging and NIR/pH dual-reactive drug release for enhanced therapeutic efficacy (Figure 20B) [352]. To further specifically monitor the carrier that is transported to tumor cells to release the drug, the LW-CDs with H-switchable zwitterionic surfaces were designed. It can reduce the absorption of non-specific proteins to extend the circulation time by maintaining the negative surface under neutral physiological conditions. When the pH of the tumor microenvironment was below 6.8, it was positively charged to promote the uptake of tumor cells. This is consistent with a pH-responsive antimicrobial coating mechanism, where esterases fail to trigger cargo release in normal tissues. This design guaranteed cargo target delivery into tumor cells and monitored visually [353]. The conversion of surface charge via pH change can also guide the targeted release of drugs. For example, the grafting of polyanionic dimethylmaleic acid onto cisplatin-loaded LW-CDs, which converted to a positive charge in the tumor microenvironment (pH=6.8) and increased the high affinity for cancer cell membranes that facilitated efficient internalization of the prodrug cisplatin [354]. Similar conclusions also emerged in Ma's research [178]. They demonstrated that a significant selective nucleolar staining was presented in LN229 and Hela cell lines when LW-CDs carried positive and negative charges. By comparison, highly negatively charged LW-CDs failed for nucleolar imaging. Lately, pH/redox dual-response LW-CDs were designed to trigger the targeted delivery of anticancer drugs. The benzyl-imine bond of LW-CD was hydrolyzed in the tumor environment to expose the RGD peptide and thus demonstrated efficient uptake through the recognition interaction of RGD



ligands with cancer cell receptors. After internalization by cancer cells, cisplatin prodrug-loaded LW-CDs were visualized in the cytoplasm of cancer cells, exhibiting a therapeutic effect and released drugs [355].

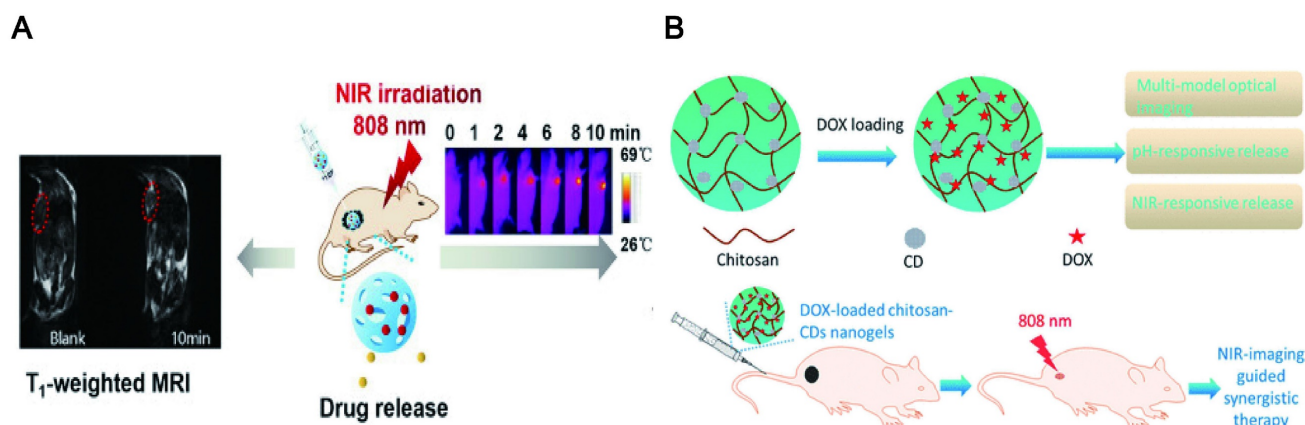
The use of overexpressed receptors of cancer cells can also activate composite materials to achieve visualized targeted delivery and release of drugs. Gao and his research groups [349] reported a complex fluorescent probe for targeted cancer cell imaging that was fabricated by electrostatic interaction to assemble PEI-modified CDs and hyaluronic acid-crosslinked DOX. This composite system could target and penetrate cancer cells by exploiting the high affinity of hyaluronic acid for the CD44 receptor overexpressed in tumor cells. Simultaneously, hyaluronic acid-crosslinked DOX could be cleaved into smaller fragments by activation of the high express hyaluronidase of the tumor microenvironment, which promoted the rapid release of DOX. Similar studies have been reported by using transferrin [283], FA [229], recognition of epidermal growth factor receptors [356]. Overall, LW-CDs enable drug delivery to target sites. What is even more fascinating is that the LW-CDs can also realize the visual monitoring of drugs *in vivo*.

#### 7.4. Visualization of gene-targeted delivery

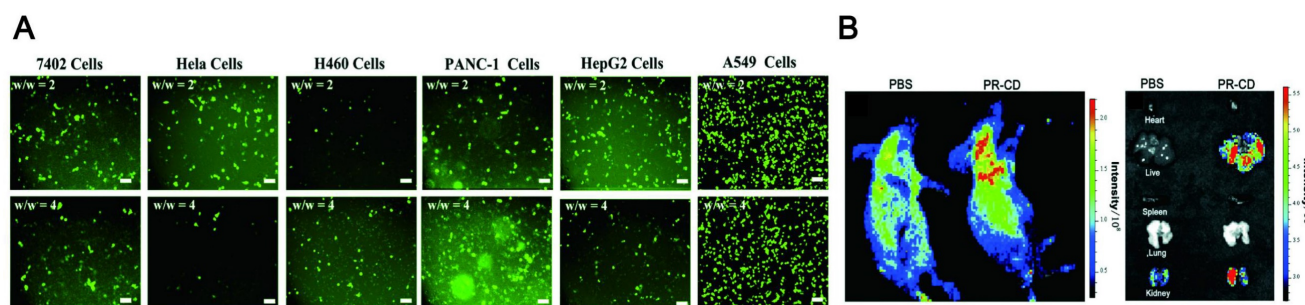
The higher transfection efficiency, tunable PL and negligible toxicity enabled CDs to conduct as imageable and trackable gene nanocarriers compared to various types of traditional nanoplateforms, for example, polymeric nanomaterials [192], precious metal NPs [357], carbon nanostructures [358], and biological nanomaterials [359]. Studies have shown that CDs derived from cationic polymers can enhance gene delivery and cell imaging (Figure 21A) [360]. This mechanism was that the structure of the nucleic acid material was composed of negatively charged

phosphate groups, and the cationic polymer combined with the nucleic acid into particles with a positively charged structure through electrostatic interaction. The interaction of these positively charged particles with negatively charged components (including proteoglycans) on the cell membrane resulted in adsorptive endocytosis to form endosomes [361]. The synthesis of cationic CDs can be modified by different precursors or surface passivators, for example, positively charged polymers with amines including polyethylenimine [362], chitosan [363], ethylenediamine [364], and poly(amido amine) [365]. Higher transfection efficiency promotes the application of CDs in gene delivery. Construction of amphiphilic CDs (ACDs) by coupling hydrophobic alkyl epoxides to the amino groups of PEI-modified CDs surface enabled ACD to have higher transfection efficiency than lipid-like substances. More importantly, ACD achieved a multi-functional carrier that could effectively transfect survivin siRNA toward A549 cells and visualized the efficient uptake by cells for slow release of DOX [366]. Positively charged CD synthesized by using PEI and FA can be used for the selective imaging of FA receptors in biological systems. The positively charged cationic PEI can link them to plasmid DNA and effectively transfect therapeutic plasmids into cells [286].

Interestingly, PEI was extensively studied as a cationic polymer gene carrier, that combined with LW-CDs can achieve high transfection efficiency and visualized delivery to target sites. Chen et al. synthesized LW-CDs using rhodamine and PEI, which was 162-fold more transfection efficiency than the "gold standard" transfection reagent PEI 25 kDa. Meanwhile, the CD can also be used for intracellular tracking of the gene delivery process (Figure 21B) [367]. However, a comparison of CDs/pSOX9 NPs and PEI-CDs/pDNA complexes found that the former had, even more, higher transfection efficiency.



**Figure 20.** Visualization of drug-targeted delivery. (A) MRI guided PT chemotherapy of Gd@CDs. Adapted with permission from [351]. Copyright 2021, Royal Society of Chemistry. (B) Schematic depiction of DOX-loaded CCHNs for therapeutic application. Adapted with permission from [352]. Copyright 2017, American Chemical Society.



**Figure 21.** Visualization of gene-targeted delivery. (A) Fluorescence microscopy images of pEGFP-transfected A549 cells. Adapted with permission from [360]. Copyright 2019, Royal Society of Chemistry. (B) Fluorescence imaging of the nude mouse treated with CDs *in vivo*. Adapted with permission from [367]. Copyright 2021, Royal Society of Chemistry.

It was attributed to the rupture of the cell membrane by PEI, resulting in cell necrosis and fragmentation of the mitochondrial membrane, which eventually led to the apoptosis of the cells. Compared with PEI, cationic and small-sized CDs enhanced the attachment of pDNA to cell membranes without any cellular damage [368]. Therefore, ethylenediamine [369] and spermine [370] instead of amine compounds were introduced into the preparation process of LW-CDs for surface passivation. In conclusion, LW-CDs as gene carriers are an efficient and feasible strategy that can visualize the delivery process and achieve precise target site delivery of genes.

## 8. Conclusion and future perspectives

LW-CDs with smaller sizes and carbon-based materials often have excellent biocompatibility and safety after entering the body. They can penetrate the cell membrane and enter the nucleus to effectively carry drugs or genes. The doped CDs will not cause fluorescence decline to transfer *in vivo*, which is of great significance for real-time monitoring *in vivo*. Special optical properties (stable and regulable) enable CDs more suitable *in vivo* as visualization materials. It is worth noting that the functional groups on the surface of the CDs can be grafted with other groups by covalent, non-covalent or electrostatically adsorbed to achieve targeting delivery and combine PTT and PDT to show significant prospects in the visualization treatment of diseases. Although, emitting LW-CDs applied *in vivo* have evolved from a single carrier to a functional composite nanosystem, it needs to overcome more difficulties to realize the practical applications of CDs *in vivo*.

First, a large number of precursors and synthesis methods have been studied to prepare CDs, but only a small amount of prepared CDs can achieve LW emission. Another, although the degree of surface oxidation, heteroatom doping, and isolated  $sp^2$  domains of particle size is developed to explain the mechanism of LW emission, more instructive theories and methods should be established to fine-tune the

properties of CDs such as high QY and photostability. Most basically but importantly, CDs show excellent safety than other nanomaterials. However, studies have reported that a certain concentration of CDs will result in a decrease in cell viability. The concentration-dependent toxicity of CDs is closely related to the synthetic precursors. For example, CDs prepared by employing puerariaelobatae radix, and adenosine 5'-triphosphate as precursors have certain toxicity and synthesized by CA show almost no toxicity. The toxicity mechanism of CDs needs further exploration.

Secondly, various properties of CDs reveal their huge application prospects *in vivo*, but there are still few reports about CDs on their diagnosis and treatment effects against diseases *in vivo*. This main reason can be divided into several aspects: (1) Although CDs emitting LW into the body can avoid background fluorescence interference, the fluorescence properties are susceptible to interference from the complex internal environment of the body (such as pH, enzyme), thereby the short fluorescence lifetime cannot achieve diagnostic and therapeutic effects. Recent research found that NIR-phosphorescent CD with long-lived triplet states can significantly enhance sonodynamic therapy [371]. (2) The combined application of CDs and new medical strategies such as PTT and PDT has been reported to achieve a certain synergistic effect *in vitro*, while the relationship between the demand fluorescence emission intensity of CDs *in vivo* for therapeutic effects and safe fluorescence intensity on the normal cells was still not clear. (3) The grafting of CDs with biomarkers can achieve targeting, the relationship between the number of CDs that reach the site and the efflux threshold of the body's immune system needs to be further explored.

Thirdly, the delivery of drugs or genes by CDs is often encapsulated inside, but there are few studies on whether the encapsulation of CDs is stable *in vivo*, such as whether it leaks or the number of leaks before reaching the target site. In addition, the current encapsulation rate is still low. The synthesis method

and precursor selection are needed to further explore. Simultaneously, the influence of the size, shape, surface charge and functional groups on the target delivery performance *in vivo* should be more clarified.

It can be concluded that the following strategies are needed to promote the application of LW-CDs in the future: (1) The fluorescence properties of emitting LW-CDs such as fluorescence intensity, fluorescence stability, QY and its influencing factors (solvent, pH) should be further clarified. (2) It is urgent to understand the changes in CDs in the microenvironment to prepare the best CDs. (3) The photo-thermal conversion efficiency and ability to generate singlet oxygen are closely related to the choice of precursors. Understanding the mechanism that generates high efficiency and ability is conducive to maximizing the synergy between CDs and PTT or PDT. (4) The drug encapsulation capacity of CDs should be improved to achieve more efficient drug and gene delivery. As the above-mentioned key issues are solved, the LW-CDs will show bright prospects for targeted drug delivery, diagnostic imaging, and visual therapy to improve human healthcare.

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## Competing Interests

The authors have declared that no competing interest exists.

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